

---

# **Protective Interest File**

---

# Table of Contents

<b>1 INTRODUCTION</b> .....	<b>4</b>
1.1 BACKGROUND.....	4
1.2 CRITERIA FOR ENTRY .....	4
1.3 MESSAGE KEY (MKE) CODES.....	4
1.4 RECORD RETENTION PERIOD .....	5
1.5 AUTOMATIC RETIREMENT .....	5
1.6 VALIDATION.....	5
1.7 MESSAGE FIELD CODES (MFCs) AND EDITS .....	5
1.8 CRITERIA FOR ENTERING NAMES AND DATES OF BIRTH FOR STOLEN/FRAUDULENT IDENTIFIERS .....	10
1.9 CRITERIA FOR REJECTING DUPLICATE RECORDS .....	10
1.10 UNSOLICITED NOTIFICATIONS .....	11
1.10.1 \$.H.TTP THREAT TO PROTECTEE NOTIFICATION.....	11
1.10.2 \$.8. OUT-OF-STATE MESSAGE .....	11
1.11 IMAGE CAPABILITY.....	11
1.12 INVESTIGATIVE INTEREST .....	11
<b>2 ENTRY</b> .....	<b>12</b>
2.1 EXAMPLE OF AN ENTRY .....	12
2.2 MESSAGE FIELD CODES FOR ENTRY.....	12
2.3 MANDATORY FIELDS FOR ENTRY.....	14
2.3.1 STOLEN/FRAUDULENT IDENTIFIERS.....	15
2.4 ADDITIONAL GUIDELINES FOR ENTRY .....	15
2.5 MESSAGE FIELD CODE EDITS FOR ENTRY.....	17
2.5.1 DESCRIPTORS .....	17
2.5.2 ORIGINATING AGENCY CASE NUMBER (OCA).....	17
2.5.3 LINKAGE DATA (LKI and LKA).....	17
2.5.4 DNA PROFILE INDICATOR (DNA) AND DNA LOCATION (DLO) .....	17
2.5.5 VEHICLE OR LICENSE PLATE DATA .....	17
2.5.6 MISCELLANEOUS (MIS) .....	17
2.5.7 STATE IDENTIFICATION NUMBER (SID) .....	18
<b>3 MODIFICATION</b> .....	<b>18</b>
3.1 WHEN TO USE A MODIFICATION MESSAGE.....	18
3.2 EXAMPLE OF A MODIFICATION MESSAGE .....	18
3.3 MESSAGE FIELD CODES FOR MODIFICATION.....	18
3.4 IDENTIFICATION OF A RECORD TO BE MODIFIED.....	19
3.5 ADDITIONAL GUIDELINES FOR MODIFICATION.....	19
3.5.1 MODIFICATION TO REMOVE ALL VEHICULAR DATA .....	19
3.5.2 MODIFICATION TO REMOVE PART OF VEHICULAR DATA .....	19
3.5.3 NAME OF VALIDATOR (VLN).....	19
<b>4 CANCELLATION</b> .....	<b>20</b>
4.1 WHEN TO USE A CANCELLATION MESSAGE.....	20
4.2 EXAMPLE OF A CANCELLATION MESSAGE.....	20
4.3 MESSAGE FIELD CODES FOR CANCELLATION .....	20
4.4 IDENTIFICATION OF A RECORD TO BE CANCELED .....	21
4.5 ADDITIONAL GUIDELINES FOR CANCELLATION.....	21
<b>5 INQUIRY</b> .....	<b>21</b>
5.1 NCIC INQUIRY PROCESSING.....	21
5.2 EXAMPLES OF INQUIRIES WITH NEGATIVE AND POSITIVE RESPONSES .....	21

5.2.1 Wanted Person File Inquiry (QW/ZW) .....	21
<b>5.3 REQUIREMENTS FOR INQUIRY .....</b>	<b>23</b>
<b>5.4 ADDITIONAL GUIDELINES FOR INQUIRY .....</b>	<b>23</b>
<b>5.5 PROCEDURES FOR HANDLING A HIT .....</b>	<b>24</b>
<b>6 LOCATE .....</b>	<b>24</b>
<b>7 CLEAR.....</b>	<b>24</b>
<b>8 SUPPLEMENTAL RECORD .....</b>	<b>24</b>
<b>8.1 MESSAGE FIELD CODES FOR SUPPLEMENTAL RECORD ENTRY/CANCELLATION .....</b>	<b>24</b>
<b>8.2 WHEN TO ENTER A SUPPLEMENTAL RECORD .....</b>	<b>25</b>
<b>8.3 EXAMPLE OF A SUPPLEMENTAL RECORD ENTRY.....</b>	<b>25</b>
<b>8.4 IDENTIFICATION OF A RECORD FOR SUPPLEMENTAL ENTRY .....</b>	<b>26</b>
<b>8.5 NUMBER OF ADDITIONAL IDENTIFIERS IN A SUPPLEMENTAL RECORD .....</b>	<b>26</b>
<b>8.6 MAXIMUM NUMBER OF ADDITIONAL IDENTIFIERS IN A SUPPLEMENTAL ENTRY</b>	<b>26</b>
<b>8.7 ADDITIONAL GUIDELINES FOR SUPPLEMENTAL RECORD ENTRY.....</b>	<b>27</b>
<b>8.8 MESSAGE FIELD CODE EDITS FOR SUPPLEMENTAL RECORD ENTRY.....</b>	<b>28</b>
8.8.1 ALIAS (AKA) .....	28
8.8.2 OPERATOR'S LICENSE NUMBER SET .....	28
8.8.3 LICENSE PLATE NUMBER SET .....	28
8.8.4 VEHICLE IDENTIFICATION NUMBER SET .....	28
8.8.5 ADDITIONAL IDENTIFYING DATA IN A SUPPLEMENTAL RECORD ENTRY.....	29
<b>8.9 MODIFICATION OF ALIAS(ES) AND/OR OTHER IDENTIFIERS IN A SUPPLEMENTAL RECORD .....</b>	<b>29</b>
<b>8.10 EXAMPLE OF A SUPPLEMENTAL RECORD ALIAS AND OTHER IDENTIFIERS CANCELLATION .....</b>	<b>29</b>
<b>8.11 IDENTIFICATION OF A RECORD FOR CANCELLATION OF ADDITIONAL IDENTIFIERS .....</b>	<b>30</b>
<b>8.12 NUMBER OF ADDITIONAL IDENTIFIERS IN A CANCELLATION MESSAGE .....</b>	<b>30</b>
<b>8.13 ADDITIONAL GUIDELINES FOR SUPPLEMENTAL DATA CANCELLATION .....</b>	<b>30</b>
<b>9 SUPPLEMENTAL STOLEN/FRAUDULENT RECORD.....</b>	<b>31</b>
<b>9.1 MESSAGE FIELD CODES FOR FRAUDULENT DATA ENTRY/CANCELLATION .....</b>	<b>31</b>
<b>9.2 ENTRY OR CANCELLATION OF SUPPLEMENTAL STOLEN/FRAUDULENT RECORD .....</b>	<b>31</b>
<b>9.3 EXAMPLE OF A SUPPLEMENTAL S/F RECORD ENTRY/CANCELLATION.....</b>	<b>32</b>
<b>9.4 IDENTIFICATION OF A RECORD FOR S/F RECORD ENTRY/CANCELLATION.....</b>	<b>32</b>
<b>9.5 NUMBER OF ADDITIONAL FIELDS IN A SUPPLEMENTAL S/F RECORD .....</b>	<b>32</b>
<b>9.6 ADDITIONAL GUIDELINES FOR A SUPPLEMENTAL S/F RECORD.....</b>	<b>33</b>
<b>9.7 MODIFICATION OF A S/F IDENTIFIER RECORD .....</b>	<b>33</b>

## 1 INTRODUCTION

### 1.1 BACKGROUND

The Protective Interest File (PIF) has been designed to aid law enforcement agencies in their protective mission. A record entered into the PIF will assist agencies in determining the threatener's location and may provide the record owner with information related to the threatener's criminal activity.

### 1.2 CRITERIA FOR ENTRY

Only law enforcement agencies with a protective mission as specified within municipal, state, or federal statutes, regulations, or other appropriate legal authority may enter and update records in the PIF. A record may be entered into the PIF for an individual for whom the authorized agency reasonably believes, based on its law enforcement investigation, may pose a threat to the physical safety of a protectee or their immediate family. Documentation (electronic or hard copy) must be on file to support a PIF entry.

### 1.3 MESSAGE KEY (MKE) CODES

Message	MKE	Translation
Entry	EPI	POTENTIALLY DANGEROUS TO USMS PROTECTEE POTENTIALLY DANGEROUS TO PROTECTEE
	EPIC	POTENTIALLY DANGEROUS TO USMS PROTECTEE – CAUTION POTENTIALLY DANGEROUS TO PROTECTEE - CAUTION
Modify	MPI	
Cancel	XPI	
Inquiry	QW QWA QWE QWF QWS QWB QWI ZW QV ZA	
Entry of supplemental record of aliases and/or additional identifiers	ENPI	
Cancellation of supplemental record	XNPI	
Entry of supplemental stolen/fraudulent identifiers	ENPS	
Cancellation of stolen/fraudulent identifiers	XNPS	

\*There is no specific MKE to query the Protective Interest File; however, a wanted person inquiry will return a hit response on this file if a match occurs.

A caution indicator should be added to the MKE EPI when it is known that a PIF subject is armed and dangerous, has suicidal tendencies, has previously escaped custody, is a drug addict, or whatever is appropriate to the particular circumstances. The reason for the caution must be entered in the Caution and Medical Conditions (CMC) Field.

## 1.4 RECORD RETENTION PERIOD

PIF records have an unlimited retention period. A PIF record will remain on file indefinitely or until action is taken by the entering agency to cancel the record.

- Other exceptions to the record retention period will occur in the event a serious error is detected in the record on file. Additional information on serious error detection, \$.E. administrative message, can be found in the Introduction chapter of this manual.

## 1.5 AUTOMATIC RETIREMENT

Data in the License Plate Number (LIC), License Plate Year of Expiration (LIY), and License Plate Type (LIT) Fields will remain in a PIF record or supplemental record for the year of entry plus 4 years from the date of entry of the base record regardless of when the license plate data is entered. Entering agencies are not notified of records from which expired license data are retired. If the purged license plate is the only searchable identifier in the PIF record, the entire record will be removed, and the originating agency will not be notified.

A nonexpiring license plate (LIY/NX) contained in a PIF record will remain on file until action is taken by the originating agency to remove the license data or cancel the entire record.

## 1.6 VALIDATION

For validation policy and procedures, refer to the Validation section in the Introduction chapter of this manual.

The VLN Field will be returned when the requester of the record is the entering agency, the CJIS Systems Agency (CSA) of the entering agency, the FBI, and in the \$.C. Request for Validation Notification and fixed format validation files. For all other responses, the Name of Validator (VLN) Field will be suppressed.

## 1.7 MESSAGE FIELD CODES (MFCs) AND EDITS

Code	Field	Edits
AKA	Alias	May include alphabetics, numerics, a comma, hyphens, and spaces; the comma must follow the last name; there can be no more than one space after the comma. The hyphen cannot be in the first position or directly precede the comma. Personal Descriptors Codes, <i>NCIC Code Manual</i> , provides coding instructions.
CMC	Caution and Medical Conditions	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
CTZ	Citizenship	Must be a valid NCIC-assigned country code as listed in State and Country Data Codes, <i>NCIC Code Manual</i> .
DLO	DNA Location	Free text. If the DNA field is N or defaulted to N, then the DLO must be blank.

Code	Field	Edits
DNA	DNA Profile	Must be either a Y or N. N is the default value. If Y Indicator is entered, then the DLO Field must contain data.
DOB	Date of Birth	Must be a valid Gregorian date (YYYYMMDD) if it is the only numeric identifier. The DOB cannot be later than the current date. If the DOB is not the only numeric identifier, 00 may be entered for the month and/or day when the actual date is unknown. More information in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
DOC	Date of Cancellation	Must be valid Gregorian date (YYYYMMDD) equal to or less than current date.
DTT	Date of Threat	Must be valid Gregorian date (YYYYMMDD) equal to or less than current date.
ETN	Ethnicity	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
EYE	Eye Color	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
FBI	FBI Number/UCN	<p>May be up to seven numerics; or one to six numerics followed by an alphabetic character A through H; or one to six numerics followed by an alphabetic character J through Z, followed by one or two check digits; or one to six numerics followed by two alphabetics followed by one check digit. If the number contains one alphabetic character (J-Z), the check digit(s) will be 1 to 11. If the number contains two alphabetic characters, the first cannot be B, G, I, O, Q, S, U, Y, or Z; the second cannot be O; and the check digit must be 0 to 9. The alphabetic characters I and O are always invalid. Entry of one zero only, or a run of zeros only is prohibited. Embedded blanks are not permitted.</p> <p>-OR-</p> <p>May be eight alphanumerics followed by one alphanumeric check digit. Cannot contain alphabetic characters B, G, I, O, Q, S, U, Y, or Z.</p>
FPC	Fingerprint Classification	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> . If the first character of any finger is numeric, the second character must also be numeric. Codes 00 and 50 may not be used. Embedded blanks are not permitted.
HAI	Hair Color	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
HGT	Height	The first character represents feet and the second and third characters represent inches. May be a minimum of 400 but not more than 711. More information in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
IMN	Image NCIC Number	A self-checking number automatically assigned by the NCIC System to each accepted image record and consists of the alphabetic character I followed by nine numeric characters. Must have valid check digit.

Code	Field	Edits
IMT	Image Type	Must be a valid NCIC-assigned code as listed in the Image File chapter of this manual.
LIC	License Plate Number	The characters UNK, UNKN, UNKNOWN may be used in inquiry transactions. For entry of a record with UNK, UNKN, or UNKNOWN, contact the FBI's CJIS Division staff. If VMA is AERO, LIS is US, and LIT is PP or NP, the first character in the LIC Field must be the alphabetic N. If the license plate number exceeds ten characters, only the first ten characters should be entered in the LIC Field. The full plate number must be shown in the MIS Field.
LIS	License Plate State	Must be a valid NCIC-assigned code as listed in State and Country Data Codes, <i>NCIC Code Manual</i> .
LIT	License Plate Type	Must be a valid NCIC-assigned code as listed in the Vehicle Data Codes, <i>NCIC Code Manual</i> .
LIY	License Plate Year of Expiration	Must be a valid four-character year (YYYY) or the of Expiration alphabetics NX to represent a nonexpiring registration.
LKA	Linkage Case Number	Must not contain a single zero only, a run of zeros a single alphabetic only, or the word NONE. The first seven characters of the LKA cannot equal the first seven characters of the LKI. The only valid special character is the hyphen. The LKA must be valid for the LKI. (There must be an ORI and matching OCA in the System.)
LKI	Linkage Agency Identifier	Must be a valid NCIC-assigned ORI as defined in the ORI chapter of this manual.
MIS	Miscellaneous	SVIN must be the first four characters in the MIS Field if the VIN is a state-assigned or nonconforming 17-character VIN. If EXL code is 2 or B or is 5 or E, the MIS Field must contain additional information. Free text.
MKE	Message Key	Must be a valid message key.
MNU	Miscellaneous Number	The first two characters must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> . The third character must be a hyphen. Entry of one zero only or a run of zeros only is prohibited in positions 4 through 15. An originating agency police or identification number in MNU (prefix code OA) cannot be the only numeric identifier in the record. An air force serial number (prefix code AF) or a national guard serial number (prefix code AS) must contain numerics only in positions 4 thru 15. If the MNU exceeds 15 characters, the first 15 characters should be entered in the MNU Field. The full MNU should be entered in the MIS Field.
NAM	Name	The name may include alphabetics, numerics, a comma, hyphens, and spaces; the comma must follow the last name; there can be no more than one space after the comma. The hyphen cannot be in the first position or directly precede the comma. The Personal Descriptors Codes, <i>NCIC Code Manual</i> , provides coding instructions.

Code	Field	Edits
NIC	NCIC Number	A self-checking number automatically assigned by the NCIC System to each accepted record and consists of an alphabetic character (K in the Protective Interest File) followed by nine numeric characters. Must have valid check digits when used to identify the record in a subsequent transaction.
OCA	Originating Agency Case Number	Must not contain a single zero only, run of zeros only, the word NONE, or a single alphabetic only. The first seven characters of the OCA cannot equal the first seven characters of the ORI. The only valid special character is the hyphen.
OLN	Operator's License Number	One zero only or run of zeros only may not be used. More information in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
OLS	Operator's License State	Must be a valid NCIC-assigned code as listed in State and Country Data Codes, <i>NCIC Code Manual</i> .
OLY	Operator's License Year of Expiration	Must represent the year the license expires (XXXX), the alphabets NX to represent nonexpiring, or the code UNKN for unknown. More information in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
ORI	Originating Agency Identifier	Must be a valid NCIC-assigned ORI as defined in the ORI chapter of this manual.
POB	Place of Birth	Must be a valid NCIC-assigned code as listed in the State and Country Data Codes, <i>NCIC Code Manual</i> . More information also in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
RAC	Race	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
SEX	Sex	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
SID	State Identification Number	First two characters must be a valid state code, territory code, or the code US. Embedded spaces are prohibited. The third and fourth characters may contain an asterisk. The tenth character may contain a hyphen.
SKN	Skin Tone	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
SMT	Scars, Marks, Tattoos, and Other Characteristics	Must be a valid NCIC-assigned code as listed in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
SOC	Social Security Number	Must not be less than 001010001. A run of zeros only is prohibited. The SOC cannot have a value of 9 in the first position or have a value of 00 in the fourth and fifth positions. The SOC cannot have a value of 666 in the first, second, and third positions or have a value of 0000 in the sixth, seventh, eighth, and ninth positions. Invalid and/or unissued numbers are accepted but cause a SOC attention message. More information in Personal Descriptors Codes, <i>NCIC Code Manual</i> .
VCO	Vehicle Color	Must be valid NCIC-assigned code as listed in Vehicle Data Codes, <i>NCIC Code Manual</i> . If two color codes are used, they must be separated by a slash (/).

Code	Field	Edits
VIN	Vehicle Identification Number	Single zero only, run of zeros only, single alphabetic only, all alphabetics only, or spaces cannot be used. The Vehicle File chapter has additional edits on the VIN Field. If the VIN exceeds 20 characters, only the last 20 characters should be entered in the VIN Field. The full VIN must then be shown in the MIS Field.
VLN	Name of Validator	Any valid characters representing validator.
VMA	Vehicle Make	The VMA Field can be up to 24 characters. The first four characters must be alphabetic and a valid NCIC-assigned code. If the VMA code is less than four characters and data are included in positions 5 through 24, positions 3 and/or 4 should be blanks. The remaining characters are free text and must contain the name of the manufacturer when the VMA code is AERO, ATV, COEQ, CYCL, FARM, SNOW, SPEC, TRLR, or TRUK.
		If the VMO is other than TL, the VMA code must be a valid NCIC-assigned code as listed in Vehicle Data Codes, <i>NCIC Code Manual</i> .
		If the VMO is TL, the VMA code must not be the characters: ASM, ASMB, ASSE, ASSM, MB, MC, MK, MP, MS, NA, TK, TL, UNK, UNKN, XX, XXX, XXXX, YY, YYY, YYYY, ZZ, ZZZ, or ZZZZ.
		For every assembled vehicle that does not have a manufacturer-assigned VIN, the VMA code must be ASVE.
	(aircraft)	If VST is 1J, 2J, 3J, MJ, 1P, 2P, 3P, MP, BP, HP, or SA, the VMA code must be AERO.
VMA (cont)	(all-terrain vehicle, dune buggy, go-cart approved golf cart, and snowmobiles)	If VST is EB, EN, or OP, the VMA code must be SPEC, ATV, SNOW, CYCL, or one of the VMA codes for snowmobiles or motorcycles as listed in the Vehicle Data Codes, <i>NCIC Code Manual</i> .  If VST is MV, the VMA code must be SPEC, ATV, CYCL, or one of the approved VMA codes for snowmobiles or motorcycles listed in the Vehicle Data Codes, <i>NCIC Code Manual</i> . If VMA code is ATV or SPEC, the VST must be EB, EN, MV, or OP, and the name of the manufacturer must be entered in the MIS Field.
VMO	Vehicle Model	Spaces cannot be skipped. Hyphens or symbols should not be used. More information in Vehicle Data Codes, <i>NCIC Code Manual</i> .
		The only valid VMO codes for vehicles with VST codes EB, EN, MV, or OP are (blank), ATV, CYL, DUN, GOF, GRT, SKT, SKW, TOY, TRA, TRW or WHE.
	(assembled automobile)	If the first four characters of the VMA are ASVE, the VMO must be AV or REP.
	(construction equipment)	If the first four characters of the VMA are COEQ, the VMO must be CE.
	(farm and garden equipment)	If the first four characters of the VMA are FARM, the VMO must be FE.

Code	Field	Edits
	(motorcycle)	If the VST is MB, MC, MD, MK, MS, or MY, the VMO required is CYL.
	(snowmobile)	If the first four characters of the VMA are SNOW or one of the valid snowmobile manufacturer's codes as listed in Vehicle Data Codes, <i>NCIC Code Manual</i> , the VMO must be SKT, SKW, TRA, TRW, or WHE.
	(trailer)	If the first four characters of the VMA are TRLR or HMDE, the VMO must be TL.
	(truck)	If the first four characters of the VMA are TRUK, the VMO must be TK.
VST	Vehicle Style	Must be a valid NCIC-assigned code as listed in Vehicle Data Codes, <i>NCIC Code Manual</i> .
YVR	Vehicle Year	Must represent the product (model) year during which the vehicle was manufactured (YYYY). YVR value must be less or equal to the current year plus two and greater than 0001. For entries 1981 or later, and when the VIN is 17 characters, the tenth position (vehicle year) of a VIN must represent the YVR.
WGT	Weight	Minimum of 050 and maximum of 499. More information in Personal Descriptors Codes, <i>NCIC Code Manual</i> .

## 1.8 CRITERIA FOR ENTERING NAMES AND DATES OF BIRTH FOR STOLEN/FRAUDULENT IDENTIFIERS

- The general rule for the use of stolen/fraudulent (S/F) identifier fields is that they must be used whenever a protective interest subject is known to be using identification documents that are stolen/fraudulent. If the protective interest subject is using a made-up name or numerical identifier for which it is not known whether identification documents (Social Security card, operator's license, etc.) exist, these identifiers should be entered in the appropriate base record or supplemental identifier fields, such as the DOB Field.
- The base record NAM must be entered for each record. This field should contain the protective interest subject's true name. Listed below are guidelines for coding the "true" and S/F NAM Fields and the "true" and S/F DOB Fields.
- If the name is believed to be the protective interest subject's real name, the name should be entered in only the base record NAM. If the protective interest subject's date of birth is available, it should be entered in the base record DOB. Any known S/F identifiers should be entered in the S/F identifier fields.
- If the name is known to be S/F and the protective interest subject's true name is known, the S/F name should be entered in the S/F NAM Field. The protective interest subject's real name must be entered in the base record NAM. The date of birth from the S/F identification, if known, must be entered in the S/F DOB. If the protective interest subject's real date of birth is known, it should be entered in the base record DOB.

## 1.9 CRITERIA FOR REJECTING DUPLICATE RECORDS

- If the following fields of a PIF entry message are the same as the corresponding fields of an active PIF record already on file, the second entry will be rejected with the message REJECT - ON FILE as found in the Introduction chapter of this manual: FBI and ORI; NAM, MNU, and ORI;

NAM, SOC, and ORI; OLN, OLS, and ORI; VIN, VMA, NAM, and ORI; LIC, LIS, LIY, LIT, NAM, and ORI; OCA, NAM, and ORI; or DOB, NAM, and ORI.

- Whenever the message REJECT - ON FILE is sent, the record on file will also be transmitted.
- A duplicate record will be accepted if the ORI in the second entry is different or the person type is different, e.g., Wanted Person, etc. In this case, the first entry will be furnished as a response to the second entry.

## **1.10 UNSOLICITED NOTIFICATIONS**

### **1.10.1 \$.H.TTP THREAT TO PROTECTEE NOTIFICATION**

A \$.H.TTP. Threat to Protectee Notification administrative message is sent to the ORI of record when an inquiry or entry/modify results in a hit on a Protective Interest record.

This is an example of a \$.H.TTP Threat to Protectee Notification:

```
$.H.TTP. THREAT TO PROTECTEE NOTIFICATION
MDUSM0123
HIT NOTIFICATION ON NCIC PROTECTIVE INTEREST FILE RECORD AT
1600 EST 20001215
1N01HEADER.QW.VAUSC6050.NAM/SMITH. JOHN J SEX/M.RAC/W.DOB/19511012
```

```
RESULTED IN HIT ON THE FOLLOWING RECORD:
MKE/POTENTIALLY DANGEROUS TO USMS PROTECTEE
ORI/MDUSM0123 NAM/SMITH, JOHN J SEX/M RAC/W POB/TX
DOB/19511012 HGT/510 WGT/175 EYE/BRO HAI/BRO FBI/123456789 CTZ/US
SKN/DRK SMT/SC R HND
FPC/121011CO141159TCI13 MNU/AS-123456789 SOC/123456789
OLN/11111111 OLS/MD OLY/1999
DTT/20100103 OCA/123456273 SID/MD99999999
MIS/KNOWN TO THREATEN FEDERAL JUDGE IN 1ST DISTRICT, WASHINGTON, DC
LIC/ABC123 LIS/MD LIY/2000 LIT/PC
VIN/2Y27H5LI00009 VYR/1975
VMA/PONT VMO/VEN VST/2D VCO/BLU
NIC/K146203706 DTE/20101201 DLU/20101203 1815 EST
```

### **1.10.2 \$.8. OUT-OF-STATE MESSAGE**

The NCIC System will not transmit a \$.8. message when there is an entry, modification, or cancellation of a PIF record containing data on a vehicle registered in a state other than the ORI of record. Additional information on the \$.8. administrative message can be found in the Introduction chapter of this manual.

## **1.11 IMAGE CAPABILITY**

The Image File chapter of this manual contains information regarding entry, modification, cancellation, and inquiry of images in the NCIC System.

## **1.12 INVESTIGATIVE INTEREST**

The Other Transactions chapter of this manual contains information regarding investigative interest supplemental records in the NCIC System.

## 2 ENTRY

### 2.1 EXAMPLE OF AN ENTRY

1N01HEADER.EPIC.MDUSM0123.SMITH, JOHN J.M.W.TX.US.19511012.510.175.BRO.BRO..DRK.SC  
 R HND.121011C0141159TTC113..204111111.C313456.MD.2002.  
 123456789.20120103.VAUSM0100.987654321.N..00.ABC123.MD.2010.PC.  
 2Y27H5L000009.1975.PONT.SUN.2D.BLU.KNOWN TO BE VERY AGGRESSIVE WITH LAW ENFORCEMENT  
 OFFICERS.....H.MD99999999

#### Acknowledgment:

1L01HEADER  
 MDUSM0123  
 NAM/SMITH, JOHN J NIC/K123456789  
 OCA/123456789

**Note:** When vehicular data have been entered, all entries and modifications will cross-search all person files (except the Unidentified Person File), and Vehicle, Vehicle/Boat Part, and License Plate Files.

### 2.2 MESSAGE FIELD CODES FOR ENTRY

The following is a list of the PIF data fields and the order of the fields for an entry transaction.

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	3-4	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	MANDATORY	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
SEX	MANDATORY	SEX	1-1	CODE AS DEFINED IN NCIC CODE MANUAL
RACE	MANDATORY	RAC	1-1	CODE AS DEFINED IN NCIC CODE MANUAL
PLACE OF BIRTH	OPTIONAL	POB	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
CITIZENSHIP	OPTIONAL	CTZ	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
DATE OF BIRTH	CONDITIONAL	DOB	8-8	NUMERIC
HEIGHT	MANDATORY	HGT	3-3	NUMERIC
WEIGHT	MANDATORY	WGT	3-3	NUMERIC
EYE COLOR	MANDATORY	EYE	3-3	CODE AS DEFINED IN NCIC CODE MANUAL
HAIR COLOR	MANDATORY	HAI	3-3	CODE AS DEFINED IN NCIC CODE MANUAL

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
FBI NUMBER/UCN	CONDITIONAL	FBI	1-9	ALPHABETIC, NUMERIC
SKIN TONE	OPTIONAL	SKN	3-3	CODE AS DEFINED IN NCIC CODE MANUAL
SCARS, MARKS, TATTOOS, AND OTHER CHARACTERISTICS	OPTIONAL	SMT	3-10	CODE AS DEFINED IN NCIC CODE MANUAL
FINGERPRINT CLASSIFICATION	OPTIONAL	FPC	20-20	ALPHABETIC, NUMERIC
MISCELLANEOUS NUMBER	CONDITIONAL	MNU	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
SOCIAL SECURITY NUMBER	CONDITIONAL	SOC	9-9	NUMERIC
OPERATOR'S LICENSE NUMBER	CONDITIONAL SET	OLN	1-20	ALPHABETIC, NUMERIC
OPERATOR'S LICENSE STATE	SET	OLS	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
OPERATOR'S LICENSE YEAR OF EXPIRATION	SET	OLY	2-2 4-4	ALPHABETIC (2), NUMERIC (4), OR ALPHABETIC (4)
ORIGINATING AGENCY CASE NUMBER	MANDATORY	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF THREAT	MANDATORY	DTT	8-8	NUMERIC
LINKAGE AGENCY IDENTIFIER	OPTIONAL SET	LKI	9-9	ALPHABETIC, NUMERIC
LINKAGE CASE NUMBER	OPTIONAL	LKA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DNA PROFILE INDICATOR	OPTIONAL	DNA	1-1	ALPHABETIC
DNA LOCATION	CONDITIONAL	DLO	1-250	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
CAUTION AND MEDICAL CONDITIONS	OPTIONAL	CMC	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
LICENSE PLATE NUMBER	CONDITIONAL SET	LIC	1-10	ALPHABETIC, NUMERIC
LICENSE PLATE STATE	SET	LIS	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
LICENSE PLATE YEAR OF EXPIRATION	SET	LIY	2-4	ALPHABETIC (2), NUMERIC (4)
LICENSE PLATE TYPE	SET	LIT	2-2	CODE AS DEFINED IN NCIC CODE MANUAL

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
VEHICLE IDENTIFICATION NUMBER	CONDITIONAL SET	VIN	1-20	ALPHABETIC, NUMERIC
VEHICLE YEAR	SET	VYR	4-4	NUMERIC
VEHICLE MAKE	SET	VMA	2-24	CODE AS DEFINED IN NCIC CODE MANUAL
VEHICLE MODEL	OPTIONAL	VMO	2-3	CODE AS DEFINED IN NCIC CODE MANUAL
VEHICLE STYLE	SET	VST	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
VEHICLE COLOR	OPTIONAL	VCO	3-3	CODE AS DEFINED IN NCIC CODE MANUAL
MISCELLANEOUS	OPTIONAL	MIS	1-500	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NAME	CONDITIONAL	NAM*	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF BIRTH	CONDITIONAL	DOB*	8-8	NUMERIC
SOCIAL SECURITY NUMBER	CONDITIONAL	SOC*	9-9	NUMERIC
MISCELLANEOUS NUMBER	CONDITIONAL	MNU*	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
OPERATOR'S LICENSE NUMBER	CONDITIONAL SET	OLN*	1-20	ALPHABETIC, NUMERIC
OPERATOR'S LICENSE STATE	SET	OLS*	2-2	ALPHABETIC
OPERATOR'S LICENSE YEAR OF EXPIRATION	SET	OLY*	2-2 4-4	ALPHABETIC (2) NUMERIC (4)
ETHNICITY	OPTIONAL	ETN	1-1	CODE AS DEFINED IN NCIC CODE MANUAL
STATE IDENTIFICATION NUMBER	OPTIONAL	SID	3-10	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS

\*Fraudulent data

## 2.3 MANDATORY FIELDS FOR ENTRY

The following fields are mandatory to cause acceptance of a PIF entry into the NCIC System:

HDR, MKE, ORI, NAM, SEX, RAC, HGT, WGT, EYE, HAI, OCA, DTT, and at least one of the following numeric identifiers: DOB (including year, month, and day); FBI; MNU (other than originating agency police or identification number); SOC; OLN with OLS and OLY; LIC with LIS, LIY, and LIT; VIN with VYR, VMA, and VST; or S/F NAM and S/F DOB, (a S/F NAM is required if a S/F DOB is entered as the only searchable numeric identifier); S/F MNU (other than originating agency police or identification number); S/F SOC; or S/F OLN with S/F OLS and S/F OLY.

### 2.3.1 STOLEN/FRAUDULENT IDENTIFIERS

- The mandatory fields for entry of a record permit entry when the only known numerical identifier for an individual is a S/F identifier. Since S/F identifiers are processed as a supplemental record, and since at least one unique numeric identifier is required to enter a base record, the PIF record entry format allows an entering agency to transmit both the base record and one of each type of S/F identifier (NAM, DOB, MNU, SOC, and OLN with OLS and OLY) in one transaction. This provides for the inclusion of a numeric identifier for record entry purposes. If the S/F DOB is the only numeric identifier, the S/F NAM must be included as well, or the record will be rejected.
- Any agency transmitting a base record entry message for an individual who is known to be using an S/F identification and whose real identifiers are unknown must include a minimum of one S/F numerical identifier, SOC, MNU, OLN with OLS and OLY, or NAM and DOB with the base record entry message.

For example:

```
1N01HEADER.EPIC.MDUSM0123.DOE, JOHN.M.W....510.150.BRO.BRO..
FAR.....2012-0002.20120201.MD101783J.12-15243...00.....
BELIEVED TO BE USING HOMICIDE VICTIM PETER SMITH DRIVERS
LICENSE AND SSN.SMITH, PETER.19451212.899001234..DC123456.DC.2014
```

- The base record entry format includes one of each of the S/F identifiers fields. These fields immediately follow the mis Field and are entered in the following order: S/F NAM; S/F DOB; S/F SOC; S/F MNU; and S/F OLN, OLS, and OLY. Any agency transmitting a base record entry message may optionally include one of each type of the S/F identifiers. The following is an example of an entry with base numerical identifiers as well as S/F data:

```
1N01HEADER.EPI.MDUSM0123.JONES, RANDY.M.W.VA.US.19450123.510.150.BRO.BRO..FAR.
SC UL ARM.11121314151514131211.AF-123456789.123456789.MD18273645.MD.2014.12
15243.20120228.MD-101783J.12-00347.N.....PETER SMITH DRIVERS LICENSE
AND SSN TAKEN DURING BURGLARY.SMITH, PETER.19451212.899001234..A444444.WV.2015
```

- Although the S/F fields are appended to the base record entry message, the NCIC System will process the data therein as a supplemental S/F identifier record entry message. Thus, S/F identifiers included in a base record entry message cannot be changed or deleted with a modify message, i.e., MKE MPI. Stolen/fraudulent identifiers can be changed or deleted only by using the supplemental S/F identifier record entry and cancel transactions, i.e., MKE ENPS, XNPS, respectively.
- To preclude the illogical association of a S/F name with a true date of birth, the NCIC System does not cross-index S/F names and dates of birth with base record names and dates of birth or supplemental aliases and dates of birth.

### 2.4 ADDITIONAL GUIDELINES FOR ENTRY

- The entering agency must account for all fields in the PIF record format. All available data called for in the record format must be entered. Missing data obtained at a later time should be promptly added through the use of a modify message (MKE/MPI). Guidelines for the entry of aliases and/or other additional identifiers as a supplemental record to a PIF record are located in the SUPPLEMENTAL RECORD section of this chapter.

- For training and administrative purposes, agencies may enter test records into the NCIC System by using the header TN01. The test records will not generate any notifications, nor will batch processing be performed in the test system.
- If a DOB is the only known numerical identifier, it must be a valid Gregorian date (YYYYMMDD) or the entry will be rejected.
- All numerical identifiers except the OCA and MNU are to be entered omitting spaces, hyphens, and symbols. A hyphen may be used in the OCA Field, and a hyphen is used to separate the first two alphabetics from the number itself in the MNU Field. If the MNU exceeds 15 characters, the first 15 characters should be entered in the MNU Field. The full MNU should be entered in the MIS Field.
- A record in the PIF may contain a minimum number of personal descriptors, license, and vehicular data because little was known of the individual at the time of entry. This lack of information limits the chance of a hit on the record.

One possible source of additional identification data may be the Next Generation Identification (NGI) Interstate Identification Index (III). Thus, an inquiry (MKE/QH) should be made prior to or soon after making the protective interest entry to determine if the criminal history record of the subject is in NGI/III. A positive response to this inquiry may reveal not only physical descriptors, identifying numbers, and aliases not known to the inquirer, but also arrest, court, and/or custody-supervision data which might provide investigative leads.

- When additional numeric identifiers and personal descriptors regarding the subject of the record are found in other databases or documentation, the entering agency must make an informed decision as to whether or not the subject is the same as the one in the NCIC record. In the absence of biometric identifiers, the determination should be based on multiple factors such as known criminal activity; date of birth; scars, marks, tattoos; photographs; Social Security number; operator's license number; passport; military identification; last known address; and aliases. Particular attention should be paid to discrepancies in height, age, etc. When uncertain, do not include the additional information in the NCIC record and maintain documentation in the case file.
- An ORI attempting to enter a record on a person it already has entered will receive a reject message. An entry on the same individual will be accepted providing the originating agencies are different. The agency making the second entry will receive the record already on file at the time the second entry is acknowledged. This notification should lead to some form of communication between the two agencies, as valuable lead information might be available.
- The entry of invalid and/or unissued numbers in the SOC Field is allowed. A caveat will be generated stating that the SOC has not been assigned and that the agency should check for a possible data entry error.

For example:

ATTENTION: THE SOC USED IN YOUR TRANSACTION HAS NOT BEEN ASSIGNED BY THE SOCIAL SECURITY ADMINISTRATION. PLEASE CHECK FOR A DATA ENTRY ERROR.

## 2.5 MESSAGE FIELD CODE EDITS FOR ENTRY

### 2.5.1 DESCRIPTORS

Entry instructions and valid codes for NAM; SEX; RAC; ETN; DOB; HGT; WGT; EYE; HAI; SKN; SMT; FPC; MNU; SOC; OLN; OLY; and CMC can be found in the Personal Descriptors Codes, *NCIC Code Manual*.

Codes for entering the POB; CTZ; LIS; and OLS can be found in State and Country Data Codes, *NCIC Code Manual*.

Codes for entering the LIT; VMA; VMO; VST; and VCO can be found in the Vehicle Data Codes, *NCIC Code Manual*.

### 2.5.2 ORIGINATING AGENCY CASE NUMBER (OCA)

The entering agency should ensure the OCA is a unique number assigned to the case within the agency. For NCIC records not related to an investigative case, the OCA Field must still be unique (e.g., state system-assigned number, filing system number, state identification number).

### 2.5.3 LINKAGE DATA (LKI and LKA)

The Linkage ORI and OCA Fields are provided as separate fields to associate incidents that are handled by multiple departments. An agency entering a record which shares the same incident of a record entered by a different ORI and/or OCA can link the records by entering the associated ORI and OCA in the linkage fields (LKI and LKA). The NCIC System will automatically link records entered within 30 days of the original entry that contain the same ORI and OCA. An ORI may use the LKI and LKA Fields to link related records that contain the same ORI and OCA entered more than 30 days after the original record entry. The message will be rejected if the first seven characters of the LKA are the same as the first seven characters of the LKI.

### 2.5.4 DNA PROFILE INDICATOR (DNA) AND DNA LOCATION (DLO)

The DNA Field has a default value of N, meaning no DNA data is available. When the user sets the DNA Field to Y, indicating DNA data are available, then specific information regarding location of the DNA sample must be entered in the DLO Field. The DLO Field can include contact information, type of DNA sample, and other information deemed appropriate by the agency. If the DNA Field is set to Y and the DLO is blank, then the record will be rejected.

### 2.5.5 VEHICLE OR LICENSE PLATE DATA

Entry instructions for vehicle and license plate formation can be found in the Vehicle File chapter of this manual, Vehicle Data Codes, *NCIC Code Manual*, and State and Country Data Codes, *NCIC Code Manual*.

### 2.5.6 MISCELLANEOUS (MIS)

- Aliases, nicknames (monikers), vehicular data, dates of birth, Social Security numbers, and operator's license numbers should not be entered in the MIS Field. All additional searchable data should be entered in a supplemental record (MKE/ENPI), as illustrated and explained in the SUPPLEMENTAL RECORD section of this chapter, as this procedure increases the chance of a hit on this record. Information in the MIS Field is not searchable.
- When specific information required for a mandatory field is not available, similar information should be substituted in that field with appropriate comments in the MIS Field.

## 2.5.7 STATE IDENTIFICATION NUMBER (SID)

Guidelines for the entry of additional SIDs as a supplemental record to a Protective Interest record are located in the SUPPLEMENTAL RECORD section of this chapter.

# 3 MODIFICATION

## 3.1 WHEN TO USE A MODIFICATION MESSAGE

Modification of a record is restricted to the agency that entered the record. A modification message is used to add, delete, or change data in an active PIF record. If a PIF record has been retired, it cannot be modified and, therefore, must be reentered.

A modification message to delete information in a field of the base record will cause the oldest data with that MFC, except for vehicle and license data, in the supplemental record to move up to the base record.

## 3.2 EXAMPLE OF A MODIFICATION MESSAGE

The modification example contains: header (1N01HEADER), message key (MPI), Originating Agency Identifier (MDUSM0123), two record identifiers (NAM/SMITH JOHN J and NIC/K146203706), the fields being modified, and the data being changed (SOC/375145249 and OLY/2014).

1N01HEADER.MPI.MDUSM0123.NAM/SMITH, JOHN J.NIC/K146203706.SOC/375145249.OLY/2014

### Acknowledgment:

1L01HEADER  
MDUSM0123  
MODIFY NAM/SMITH, JOHN J NIC/K146203706

## 3.3 MESSAGE FIELD CODES FOR MODIFICATION

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	2-4	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NAME OF VALIDATOR	OPTIONAL	VLN	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
ANY FIELD(S) FROM ENTRY TRANSACTION (except fraudulent data)				

### 3.4 IDENTIFICATION OF A RECORD TO BE MODIFIED

The record to be modified must be identified by NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, followed by the fields to be modified. All fields, other than the HDR, MKE, and ORI, must be preceded by the appropriate MFC and a slash. The name used in identifying the record to be modified must be set forth with the exact spelling and spacing as shown in the record on file.

### 3.5 ADDITIONAL GUIDELINES FOR MODIFICATION

The SUPPLEMENTAL RECORD section of this chapter contains information on modifying an alias and/or additional identifiers previously appended to a PIF record by means of a supplemental record.

If an entering agency subsequently learns that the person is using one of the S/F identifiers with one of his "true" identifiers, the record must be modified to add the identifier to the appropriate "true" identifier field.

#### 3.5.1 MODIFICATION TO REMOVE ALL VEHICULAR DATA

When all vehicular data from a PIF base record needs to be deleted, a special message field code may be used to remove all vehicular data without identifying each individual field. When the characters LIC-VCO/. are included in the modification transaction, the NCIC System will delete the following fields: LIC, LIS, LIY, LIT, VIN, VYR, VMA, VMO, VST, and VCO.

The modification example to remove all vehicular data from the record contains: header (1N01HEADER), message key (MPI), Originating Agency Identifier (MDUSM0123), two record identifiers (NAM/SMITH, JOHN J and NIC/K146203706), and the fields being removed (LIC-VCO) immediately followed by slash period (./).

1N01HEADER.MPI.MDUSM0123.NAM/SMITH, JOHN J.NIC/K146203706.LIC-VCO/.

#### Acknowledgment:

```
1L01HEADER
MDUSM0123
MODIFY NAM/SMITH, JOHN J NIC/K146203706
```

#### 3.5.2 MODIFICATION TO REMOVE PART OF VEHICULAR DATA

The special modification message explained above cannot be used to remove less than all of the vehicular data from a PIF base record. For example, if only the four fields of license plate data (LIC, LIS, LIY, LIT) are to be deleted from the record, the usual modification procedure must be followed with each field to be deleted explicitly set forth in the modify message.

For example:

1N01HEADER.MPI.MDUSM0123.NAM/SMITH, JOHN J.NIC/K146203706.LIC/.LIS/.LIY/.LIT/.

#### 3.5.3 NAME OF VALIDATOR (VLN)

For NCIC validation, a name of validator may be added to the Name of Validator (VLN) Field of a record to indicate that the record has been validated. When data are entered into the VLN Field, the NCIC System enters the current date in the Date of Last Validation (VLD) Field of the record. If the user attempts to delete or modify the VLN Field to all blanks, the message will be rejected. The acknowledgment for the modify message containing VLN data will indicate the record has been validated. Each CSA can determine the specific data to be included in the VLN Field for the validation of the record.

For example:

1N01HEADER.MPI.MDUSM0123.NAM/SMITH, JOHN J.NIC/K000069216.VLN/JONES, DAVID E

**Acknowledgment:**

1L01HEADER  
MDUSM0123  
VALIDATE NAM/SMITH JOHN J NIC/K000069216

## 4 CANCELLATION

### 4.1 WHEN TO USE A CANCELLATION MESSAGE

Cancellation of a record is restricted to the agency that entered the record. A cancellation message is used when it is determined that the record is invalid or the entering agency determines the subject no longer poses a threat to the protectee.

### 4.2 EXAMPLE OF A CANCELLATION MESSAGE

The cancellation example contains: header (1N01HEADER), message key (XPI), Originating Agency Identifier (MDUSM0123), two record identifiers (NAM/SMITH JOHN J and NIC/K146203706), and date of cancellation (20120423).

1N01HEADER.XPI.MDUSM0123.NAM/SMITH, JOHN J.NIC/K146203706.20120423

**Acknowledgment:**

1L01HEADER  
MDUSM0123  
CANCEL NAM/SMITH, JOHN J NIC/K146203706

When a PIF record is canceled, the entire record, including all data appended to the record by means of a supplemental record entry, is automatically canceled.

### 4.3 MESSAGE FIELD CODES FOR CANCELLATION

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	2-4	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF CANCELLATION	MANDATORY	DOC	8-8	NUMERIC

#### **4.4 IDENTIFICATION OF A RECORD TO BE CANCELED**

The record to be canceled must be identified by either NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, preceded by the proper MFC and a slash (/). The name used in identifying the record to be canceled must be set forth with the exact spelling and spacing as shown in the record on file. The Date of Cancellation (DOC) must follow the two record identifiers and must be the current date or the current date minus one day.

Information regarding the cancellation of an alias and/or additional identifiers appended to a protective interest record by means of a supplemental record can be found in the SUPPLEMENTAL RECORD section of this chapter.

#### **4.5 ADDITIONAL GUIDELINES FOR CANCELLATION**

Benefits and effectiveness data are not applicable to the PIF records.

### **5 INQUIRY**

#### **5.1 NCIC INQUIRY PROCESSING**

When an agency transmits an NCIC wanted person inquiry (QW, QWA, QWE, QWF, QWS, ZW, or QWI), the data in the Protective Interest File will be searched in addition to all other NCIC person files (except the Unidentified Person File). If vehicle identifiers are included in the inquiry, the Boat, License Plate, Vehicle, and Vehicle/Boat Part Files will be searched as well. If MNU, SOC, or OLN is included, the Article File records will also be searched. Additional information can be found in the Wanted Person File chapter.

#### **5.2 EXAMPLES OF INQUIRIES WITH NEGATIVE AND POSITIVE RESPONSES**

##### **5.2.1 Wanted Person File Inquiry (QW/ZW)**

1N01HEADER.QW.WA1230000.NAM/SMITH, JOHN J. SEX/M.RAC/W.DOB/19511012.  
VIN/ABC123DEF45678901

##### **Negative QW/ZW Response:**

1L01HEADER  
WA1230000

NO NCIC WANT NAM/SMITH, JOHN J DOB/19511012 RAC/W SEX/M  
NO NCIC WANT VIN/ABC123DEF45678901

\*\*\*VERIFY VIN/ABC123DEF45678901; IT DOES NOT CONFORM TO VIN STANDARDS FOR 1981 AND LATER VEHICLES.

\*\*\*MESSAGE KEY QW SEARCHES WANTED PERSON FILE FELONY RECORDS REGARDLESS OF EXTRADITION AND MISDEMEANOR RECORDS INDICATING POSSIBLE INTERSTATE EXTRADITION FROM THE INQUIRING AGENCY'S LOCATION. ALL OTHER NCIC PERSONS FILES ARE SEARCHED WITHOUT LIMITATIONS.

### **Positive QW Response**

If the record contains ORI/ ++USM++++, the following response will be returned:

1L01HEADER  
WA1230000

WARNING - DO NOT ARREST OR DETAIN BASED SOLELY UPON THIS INFORMATION. OBTAIN IDENTIFYING INFORMATION. SUBJECT IDENTIFIED AS A CREDIBLE THREATENER AND POTENTIAL DANGER TO U.S. MARSHALS SERVICE PROTECTEE. IMMEDIATELY CONTACT USMS COMMUNICATIONS CENTER AT 202-307-9100 FOR FURTHER INFORMATION.

MKE/POTENTIALLY DANGEROUS TO USMS PROTECTEE

CMC/05 - VIOLENT TENDENCIES

ORI/MDUSM0123 NAM/SMITH, JOHN J SEX/M RAC/W ETN/H POB/TX  
DOB/19511012 HGT/510 WGT/175 EYE/BRO HAI/BRO FBI/123456789 CTZ/US  
SKN/DRK SMT/SC R HND  
FPC/121011CO141159TCI13 MNU/AS-123456789 SOC/123456789  
OLN/11111111 OLS/MD OLY/199  
DTT/20110803 OCA/123456273 SID/MD99999999  
MIS/KNOWN TO THREATEN FEDERAL COURT JUDGE LIC/ABC123 LIS/MD LIY/2000 LIT/PC  
VIN/2Y27H5LI00009 VYR/1975  
VMA/PONT VMO/VEN VST/2D VCO/BLU  
ORI IS US MARSHALS SERVICE BALTIMORE FIELD OFFICE 301-307-6100  
NIC/K146203706 DTE/20110804 DLU/20110804  
\*\*\*\*\* CONTACT USMS COMMUNICATIONS CENTER AT 202-307-9100 WHICH HAS BEEN NOTIFIED OF THIS TRANSACTION. THIS RECORD IS FOR CRIMINAL JUSTICE AGENCIES FOR CRIMINAL JUSTICE PURPOSES.

\*\*\*DO NOT DISSEMINATE OR USE FOR LICENSING AND EMPLOYMENT PURPOSES\*\*\*\*\*

If the record contains any other ORI, the following response will be returned:

1L01HEADER  
WA1230000

WARNING - DO NOT ARREST OR DETAIN BASED SOLELY UPON THIS INFORMATION. OBTAIN IDENTIFYING INFORMATION. IMMEDIATELY CONTACT AGENCY FOR FURTHER INFORMATION.

MKE/POTENTIALLY DANGEROUS TO PROTECTEE

CMC/05 - VIOLENT TENDENCIES

ORI/VASP01000 NAM/SMITH, JOHN J SEX/M RAC/W ETN/H POB/TX  
DOB/19511012 HGT/510 WGT/175 EYE/BRO HAI/BRO FBI/123456789 CTZ/US  
SKN/DRK SMT/SC R HND  
FPC/121011CO141159TCI13 MNU/AS-123456789 SOC/123456789  
OLN/11111111 OLS/MD OLY/1999  
DTT/20110803 OCA/123456273 SID/VA99999999  
MIS/KNOWN TO THREATEN GOVERNOR  
LIC/ABC123 LIS/MD LIY/2000 LIT/PC  
VIN/2Y27H5LI00009 VYR/1975  
VMA/PONT VMO/VEN VST/2D VCO/BLU  
ORI IS VA STATE POLICE ROANOKE OFFICE 703-555-1212NIC/K146203706

DTE/20110804 DLU/20110804

\*\*\*\*\* CONTACT LAW ENFORCEMENT AGENCY THAT ENTERED RECORD WHICH HAS BEEN NOTIFIED OF THIS TRANSACTION. THIS RECORD IS FOR CRIMINAL JUSTICE AGENCIES FOR CRIMINAL JUSTICE PURPOSES.

\*\*\*DO NOT DISSEMINATE OR USE FOR LICENSING AND EMPLOYMENT PURPOSES\*\*\*\*\*

## **5.3 REQUIREMENTS FOR INQUIRY**

- The capability to query only the Protective Interest File does not exist; however, the same procedures used to search the Wanted Person File will also search the Protective Interest File. Complete details can be found in the Wanted Person File chapter.
- In a positive response, Wanted Person and Foreign Fugitive File records will appear first, followed by Extreme Risk Protection Order, Missing Person, Gang, Threat Screening Center, Violent Person, National Sex Offender Registry, Supervised Release, Immigration Violator, Protection Order, Identity Theft, Protective Interest, NICS Denied Transaction File, License Plate, Vehicle, Vehicle/Boat Part, and Article File records.
- As part of a positive Protective Interest File response, the receiving agency is advised that the person in question cannot be arrested solely upon the basis of the information provided. A caveat at the end of a record response emphasizes to record recipients that the record may be disseminated only to criminal justice agencies for criminal justice purposes.
- A Protective Interest File response can contain supplemental data fields of AKA, DOB, SMT, MNU, SOC, CMC, CTZ, SID, operator's license number set, license plate number set, vehicle identification number set, and image NCIC number set. All supplemental fields will be sorted by special character, alphabetically, then numerically. Within supplemental data sets, the fields will be sorted as follows: operator's license number set by OLS, license plate number set by LIS, vehicle identification number set by VIN, and image NCIC number set by IMN.

## **5.4 ADDITIONAL GUIDELINES FOR INQUIRY**

When only one numeric identifier is used in an inquiry, the response(s) will include the record(s) on file containing that specific numeric identifier. For example, if an inquiry contains only the Social Security number, the response will include the record(s) on file with that Social Security number, even though there may be other records on file for the same individuals which do not contain that particular number.

Following a positive response to an inquiry with limited personal descriptors, an agency should make a second inquiry using all identifiers contained in the response record(s). The second inquiry should ensure the receipt of all records which could possibly pertain to the person in question.

If a hit response contains expired license plate information, the following caveat will be included:

WARNING – THE FOLLOWING RECORD CONTAINS EXPIRED LICENSE PLATE DATA. USE CAUTION, CONTACT ENTERING AGENCY TO CONFIRM STATUS.

A QV, ZV, QW, QWA, QWE, QWF, QWS, or ZW inquiry by license plate number or vehicle identification number will retrieve all person files as well as the Protective Interest File in which the vehicle and/or license has been entered. Additionally, such an inquiry will retrieve data from the Vehicle, Vehicle/Boat Part, and License Plate Files. It is not necessary to include a name in this type of inquiry.

## 5.5 PROCEDURES FOR HANDLING A HIT

When an agency receives a record in response to an NCIC System inquiry and (1) the whereabouts of the person inquired upon is known and (2) the person inquired upon appears to be identical with the subject of one or more of the records, the agency must contact the ORI of the record possibly identical with the person in question to confirm the record information prior to taking official action based on the record information.

- When an agency receives a record response to an NCIC System query containing investigative interest information, the inquiring agency is not required to notify the investigative interest agency(s). If the investigative interest agency requests notification of all hits, this agency should place a "Y" in the Notify Investigative Agency (NIA) Field. Refer to the Other Transactions chapter of this manual for additional information on investigative interest supplemental records.

## 6 LOCATE

There are no locate procedures for the Protective Interest File.

## 7 CLEAR

There are no clear procedures for the Protective Interest File.

## 8 SUPPLEMENTAL RECORD

### 8.1 MESSAGE FIELD CODES FOR SUPPLEMENTAL RECORD ENTRY/CANCELLATION

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	4-4	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL*	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL*	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL*	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
ALIAS	OPTIONAL	AKA	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF BIRTH	OPTIONAL	DOB	8-8	NUMERIC
SCARS, MARKS, TATTOOS, AND OTHER CHARACTERISTICS	OPTIONAL	SMT	3-10	CODE AS DEFINED IN NCIC CODE MANUAL

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
MISCELLANEOUS NUMBER	OPTIONAL	MNU	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
SOCIAL SECURITY NUMBER	OPTIONAL	SOC	9-9	NUMERIC
OPERATOR'S LICENSE NUMBER SET	OPTIONAL SET	OLN <sup>1</sup>		
LICENSE PLATE NUMBER SET	OPTIONAL SET	LIC <sup>2</sup>		
VEHICLE IDENTIFICATION NUMBER SET	OPTIONAL SET	VIN <sup>3</sup>		
CAUTION AND MEDICAL CONDITIONS	OPTIONAL	CMC	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
CITIZENSHIP	OPTIONAL	CTZ	2-2	CODE AS DEFINED IN NCIC CODE MANUAL
IMAGE NCIC NUMBER SET	OPTIONAL SET	IMN <sup>4</sup>		
STATE IDENTIFICATION NUMBER	OPTIONAL	SID	3-10	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS

<sup>1</sup>OLN/<OLN>.<OLS>.<OLY>

<sup>2</sup>LIC/<LIC>.<LIS>.<LIY>.<LIT>

<sup>3</sup>VIN/<VIN>.<VYR>.<VMA>.<VMO>.<VST>.<VCO>

<sup>4</sup>IMN/<IMN>.<IMT>

\*Base record identifier

## 8.2 WHEN TO ENTER A SUPPLEMENTAL RECORD

An enter supplemental record is used when additional identifiers, such as an alias or scars, marks, tattoos, and other characteristics etc., need to be added to a protective interest record. The enter supplemental record message may be made only by the agency that entered the protective interest record.

## 8.3 EXAMPLE OF A SUPPLEMENTAL RECORD ENTRY

The supplemental record entry example contains: header (1N01HEADER), message key (ENPI), Originating Agency Identifier (MDUSM0123), two record identifiers (NAM/SMITH, JOHN J and OCA/1234523), and the supplemental data added to the record: two aliases (AKA/DEER, JIM.BUCK, ROBERT J), two dates of birth (DOB/19521012 and 19520912), two license plate number sets (LIC/ABC123.MD.2015.PC and LIC/123ABC.MD.2015.PC), one vehicle identification number set (VIN/3J57K5D112345.1975.OLDS.CUT.2T.BLU), and two scars, marks, and tattoos, and other characteristics (SMT/CAUL L EAR and TAT R ARM).

1N01HEADER.ENPI.MDUSM0123.NAM/SMITH, JOHN J.OCA/1234523.AKA/DEER,  
JIM.BUCK,ROBERT J.DOB/19521012.19520912.LIC/ABC123.MD.2015.PC.LIC/123ABC.MD.  
2015.PC.VIN/3J57K5D112345.1975.OLDS.CUT.2T.BLU.SMT/CAUL L EAR.TAT R ARM

**Acknowledgment:**

1L01HEADER  
 MDUSM0123  
 SUPP NAM/SMITH, JOHN J OCA/1234523

**8.4 IDENTIFICATION OF A RECORD FOR SUPPLEMENTAL ENTRY**

The record to which a supplemental record of alias(es) and/or other additional identifiers is to be added must be identified by either NAM and NIC, in that order; or NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC and a slash (/). The NAM used in identifying the record to which a supplemental record is to be added must be set forth with the exact spelling and spacing as shown in the record on file.

**8.5 NUMBER OF ADDITIONAL IDENTIFIERS IN A SUPPLEMENTAL RECORD**

The AKA Field and all other identifying data fields which may be included in a supplemental record with their field codes and the maximum number of aliases and/or other identifiers that may be added to a protective interest record are the following:

Field	Field Code	Maximum Number Permitted
Alias	AKA	99
Date of Birth	DOB	9
Scars, Marks, Tattoos, and Other Characteristics	SMT	9
Miscellaneous Identifying Number	MNU	9
Social Security Number	SOC	9
Operator's License Number State, and Year of Expiration (entered as a set)	OLN	9
License Plate Number, State, Year of Expiration, and Type (entered as a set)	LIC	9
Vehicle Identification Number, Year, Make, Model, Style, and Color (entered as a set; model and color not required for entry, but should be entered if known)	VIN	9
Caution and Medical Conditions	CMC	10
Image NCIC Number and Image Type (entered as a set)	IMN	12
Citizenship	CTZ	9
State Identification Number	SID	9

**8.6 MAXIMUM NUMBER OF ADDITIONAL IDENTIFIERS IN A SUPPLEMENTAL ENTRY**

- A maximum of nine additional identifiers of various kinds may be entered in one supplemental record entry message. Additional messages are required if more identifiers are to be entered. Each alias; date of birth; scar, mark, tattoo, etc.; miscellaneous number; Social Security number; citizenship; state identification numbers; or caution and medical condition is counted as one identifier. Each set of data relating to an operator's license number set, license plate number set, vehicle identification number set, or image NCIC number set is likewise counted as one identifier.
- For example, a record relating to JOHN HARRY DOE might have appended supplemental data consisting of three other names (AKAs), nine dates of birth, five scars, two miscellaneous

numbers (e.g., army serial number and a mariner's document number), two sets of data describing operator's licenses known to have been issued in two states, three sets of data describing license plates known to have come into his possession, and one set of data concerning an automobile known to be in his possession. Entry of these additional data would require several supplemental record entry messages, as all of these additional identifiers could not be included in one supplemental record entry message.

- Supplemental entry of an IMN/IMT set is used to link the base record with an existing image record in the NCIC System. A person may be associated with a maximum of one each of the following image types: mugshot and signature.

IMN and IMT Fields (up to 12: 1 mugshot, 1 signature, 10 identifying images) are added to the supplemental record when an image is entered and the NIC of the base record is included in the entry transaction. Additional information can be found in the Image File chapter of this manual.

## 8.7 ADDITIONAL GUIDELINES FOR SUPPLEMENTAL RECORD ENTRY

- After the protective interest record has been identified, each field included in a supplemental record entry, except those entered as sets of data, must be identified by the appropriate field code followed by a slash and the item(s) of data, e.g., AKA<AKA>.SOC<SOC>.DOB<DOB>. The data elements must be separated by a period, and each field must end with a period. Fields that are not part of sets of data may be entered in any order in a supplemental record entry.
- The OLN, LIC, VIN, and IMN Fields in the supplemental record format are multiple element data fields; i.e., these fields have more than one data element following the field code and slash and are entered as sets, e.g., OLN<OLN>.<OLS>.<OLY>.
- Each set of data must be preceded by the appropriate field code followed by a slash. The data elements within a set of data must be entered in sequence after the slash, and each data element must be accounted for.
- The field in the base record must be filled before any supplemental entry for that same field will be accepted. For example, any attempt to enter a Social Security number in a supplemental record will be rejected as a format error if the base record does not contain a Social Security number in the SOC Field. Additional information concerning the REJECT - BASE DATA DOES NOT EXIST FOR SUPPLEMENTAL FIELD - XXX format error message can be found in the Introduction chapter of this manual.
- All numeric identifiers excluding the OCA and MNU Fields are to be entered omitting spaces, hyphens, and symbols. A hyphen may be used in the OCA, and a hyphen must be used to separate the two alphabetics from the number itself in the MNU Field.
- The criteria for rejecting duplicate records, as defined in the Introduction section of this chapter, apply to the entry of supplemental information.
- Personal Descriptors Codes, State and Country Data Codes, and Vehicle Data Codes, NCIC Code Manual, contain appropriate coding of personal identifiers and vehicular data.

## 8.8 MESSAGE FIELD CODE EDITS FOR SUPPLEMENTAL RECORD ENTRY

### 8.8.1 ALIAS (AKA)

- Field code AKA followed by a slash is used to identify an alias. An alias includes any name in which any last, first, or middle name is different from that in the NAM Field (or those previously entered in the AKA Fields) for the record, i.e., NAM/SMITH, MICHAEL LEE and AKA/SMITH, LEE MICHAEL.
- An alias is to be listed with the last name, comma (space after comma is optional), first name or initial, space, middle name or initial, if any, space, and suffix indicating seniority, if any, e.g., SR or III. Aliases and/or variations in name spelling must be separated by a period without repeating the MFC AKA.
- Aliases and nicknames (monikers) should not be entered in the MIS Field. All additional searchable data should be entered in a supplemental record (MKE/ENPI), as illustrated and explained in the SUPPLEMENTAL RECORD section of this chapter, as this procedure increases the chance of a hit on this record. Information in the MIS Field is not searchable.
- Further guidelines for the coding of aliases are provided in Personal Descriptors Codes, *NCIC Code Manual*.

### 8.8.2 OPERATOR'S LICENSE NUMBER SET

OLN followed by a slash is used to identify additional operator's license number set in an entry. The three MFCs making up this field are OLN, OLS, and OLY. All three MFCs must be included in the order listed in the format and must be separated by a period.

For example:

OLN/L234526677238.VA.2000

In the event more than one operator's license number set is to be entered at the same time, each operator's license number set must be preceded by MFC OLN/.

### 8.8.3 LICENSE PLATE NUMBER SET

LIC followed by a slash is used to identify additional license plate number set in an entry. This set contains four MFCs: LIC, LIS, LIY, and LIT. MFCs must be entered in the order listed in the format and must be separated by a period.

For example:

LIC/CBA321.MD.2000.TK

In the event more than one license plate number set is to be included in one supplemental message, each license plate number set must be preceded by MFC LIC/.

### 8.8.4 VEHICLE IDENTIFICATION NUMBER SET

- VIN followed by a slash is used to identify an additional vehicle identification number set in a supplemental entry. This set contains six MFCs: VIN, VYR, VMA, VMO, VST, and VCO. MFCs must be entered in the order listed in the format and must be separated by a period.

- The VIN, VYR, VMA, and VST are required for entry. However, model and color should be entered in proper order when available.

For example:

VIN/1L69X6J023456.1976.CHEV.IMP.4D.BLK.

Or

VIN/1D37Q6R001225.1976.CHEV..2D..

- In the event data related to other vehicles are entered at the same time, each set of vehicular data must be preceded by MFC VIN/.

### **8.8.5 ADDITIONAL IDENTIFYING DATA IN A SUPPLEMENTAL RECORD ENTRY**

The MFCs followed by a slash are used to identify additional data to be included in a supplemental record, i.e., DOB/, SMT/, MNU/, and SOC/. Multiples can be entered without repeating the MFC and separated only by periods.

For example, an entry might include:

DOB/19570102.19550823.SMT/SC L CHK.POCKMARKS.MNU/AF-2252333.SOC/303055378

### **8.9 MODIFICATION OF ALIAS(ES) AND/OR OTHER IDENTIFIERS IN A SUPPLEMENTAL RECORD**

- No one-step procedure is available to modify an alias or other identifier in a supplemental record to a protective interest record.
- To modify (change) an alias or other identifier in a supplemental record, the incorrect alias or other identifier must be canceled and reentered.

### **8.10 EXAMPLE OF A SUPPLEMENTAL RECORD ALIAS AND OTHER IDENTIFIERS CANCELLATION**

The example of a cancellation of an alias and other additional identifiers in a supplemental record contains: header (1N01HEADER), message key (XNPI), Originating Agency Identifier (MDUSM0123), two record identifiers (NAM/SMITH, JOHN J and NIC/K146203706), and data to be canceled (AKA/BUCK, ROBERT J), (DOB/19520912), and (LIC/ABC654.MD.2013.PC).

1N01HEADER.XNPI.MDUSM0123.NAM/SMITH, JOHN J.NIC/K146203706.AKA/BUCK, ROBERT J.DOB/19520912.LIC/ABC654.MD.2013.PC

#### **Acknowledgment:**

1L01HEADER  
MDUSM0123  
CANCEL SUPP NAM/SMITH, JOHN J NIC/K146203706  
AKA/BUCK, ROBERT J  
DOB/19520912  
LIC/ABC654.MD.2013.PC

## **8.11 IDENTIFICATION OF A RECORD FOR CANCELLATION OF ADDITIONAL IDENTIFIERS**

When canceling an alias(es) and/or other additional identifiers contained in a supplemental record, the base record to which the supplemental record is appended must be identified by either NAM and NIC, in that order; or NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC and a slash (/). The supplemental data to be canceled, with each field preceded by the proper MFC and a slash (/), are then entered.

## **8.12 NUMBER OF ADDITIONAL IDENTIFIERS IN A CANCELLATION MESSAGE**

A maximum of nine identifiers of various kinds may be canceled in one message. Additional messages are required if more than nine identifiers are to be canceled. Each AKA, DOB, SMT, MNU, CTZ, CMC, SID, or SOC is counted as one identifier. Each set of OLN, LIC, VIN, or IMN (multiple data element fields) is counted as one identifier.

## **8.13 ADDITIONAL GUIDELINES FOR SUPPLEMENTAL DATA CANCELLATION**

- AKAs; DOBs; SMTs; MNUs; SOCs; CTZs; SIDs; and CMCs to be canceled should be set out in the cancellation message in the same manner as in a supplemental record entry. That is, more than one identifier of the same type may follow the MFC without repetition of the MFC. However, character for character, each identifier to be canceled must be set out exactly as it appears in the supplemental record on file.
- Each operator's license number set or license plate number set (multiple data element fields) must be preceded by OLN/ or LIC/, respectively, and all data elements of the set must appear exactly as they appear in the supplemental record on file. When canceling vehicular data, only the VIN and VMA are required following VIN/, with a period to account for the intervening VYR.

For example:

VIN/1L69X6J023456..CHEV

This transaction would result in deletion of the entire VIN set.

- When a Protective Interest File record is canceled, its entire supplemental record is automatically canceled.
- A cancel supplemental transaction can contain up to nine supplemental data. All supplemental data will be processed except data that do not meet field edits or do not exist. The cancel supplemental message will list all supplemental data that were successfully canceled, list all supplemental data that failed due to a REJ SUPP MFC ERROR, and then list all supplemental data not on file.

For example:

The example of a supplemental cancellation acknowledgment message indicates that the AKA (ROE, EDWARD) and DOB (19651012) were successfully canceled. However, the DOB (19300932) was rejected due to a field edit error, as was the AKA (DOE, EDWARD) which was not on file.

1N01HEADER.XNPI.MD1012600.NAM/DOE, JOHN J. NIC/W000069216.AKA/ROE,EDWARD.DOE, EDWARD.DOB/19651012.19300932

**Acknowledgment:**

```

1L01HEADER
MD1012600
CANCEL SUPP NAM/DOE, JOHN NIC/K000069216
AKA/ROE, EDWARD
DOB/19651012
REJ SUPP MFC ERROR
DOB/19300932
REJ SUPP NOT ON FILE
AKA/DOE, EDWARD

```

**9 SUPPLEMENTAL STOLEN/FRAUDULENT RECORD****9.1 MESSAGE FIELD CODES FOR FRAUDULENT DATA ENTRY/CANCELLATION**

FIELD NAME	REQUIREMENTS	MESSAGE FIELD CODE	FIELD LENGTH	DATA TYPE
HEADER	MANDATORY	HDR	9-19	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
MESSAGE KEY	MANDATORY	MKE	4-4	ALPHABETIC
ORIGINATING AGENCY IDENTIFIER	MANDATORY	ORI	9-9	ALPHABETIC, NUMERIC
NAME	CONDITIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
NCIC NUMBER	CONDITIONAL	NIC	10-10	ALPHABETIC, NUMERIC
ORIGINATING AGENCY CASE NUMBER	CONDITIONAL	OCA	1-20	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
(FRAUDULENT) NAME	OPTIONAL	NAM	3-30	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
DATE OF BIRTH	OPTIONAL	DOB	8-8	NUMERIC
SOCIAL SECURITY NUMBER	OPTIONAL	SOC	9-9	NUMERIC
MISCELLANEOUS NUMBER	OPTIONAL	MNU	4-15	ALPHABETIC, NUMERIC, SPECIAL CHARACTERS
OPERATOR'S LICENSE NUMBER SET	OPTIONAL SET	OLN <sup>1</sup>		

<sup>1</sup>OLN/<OLN>.<OLS>.<OLY>

**9.2 ENTRY OR CANCELLATION OF SUPPLEMENTAL STOLEN/FRAUDULENT RECORD**

A supplemental stolen/fraudulent (S/F) identifier record may be appended to or removed from a base record only by the agency that entered the base record.

### 9.3 EXAMPLE OF A SUPPLEMENTAL S/F RECORD ENTRY/CANCELLATION

Following is an example of a supplemental S/F identifier record entry message. This example is appending two S/F names, three dates of birth, two Social Security numbers:

```
1N01HEADER.ENPS.MDUSM0123.NAM/JONES, RANDY.NIC/K146203706.
NAM/BROOKS, PETER.SMITH, THOMAS.DOB/19711203.19730123.19751002.SOC/123456789.777777777.
```

**Acknowledgment:**

```
1L01HEADER
MDUSM0123
S/F SUPP NAM/JONES, RANDY NIC/K146203706
```

Following is an example of a supplemental S/F identifier record cancellation message. This example is removing two S/F dates of birth, one Social Security number, and one operator's license number:

```
1N01HEADER.XNPS.MDUSM0123.JONES, RANDY.OCA/9015243.DOB/19451231.
19460101.SOC/123456789.OLN/98765432.DC.2013
```

**Acknowledgment:**

```
1L01HEADER
MDUSM0123
CANCEL S/F SUPP NAM/JONES, RANDY OCA/9015243
S/F DOB/19451231
S/F DOB/19460101
S/F SOC/123456789
S/F OLN/98765432.DC.2013
```

### 9.4 IDENTIFICATION OF A RECORD FOR S/F RECORD ENTRY/CANCELLATION

The base record to which a supplemental S/F identifier record is being added or canceled must be identified by either the NAM and NIC, in that order; NAM and OCA, in that order; or NIC and OCA, in that order, with each data element preceded by the proper MFC and a slash (/). The name used to identify the base record must be entered with the exact spelling and spacing as shown in the base record on file.

### 9.5 NUMBER OF ADDITIONAL FIELDS IN A SUPPLEMENTAL S/F RECORD

The identifiers which may be included in a supplemental S/F identifier record entry or cancel message with their message field codes and the maximum number that may be appended to a base record are the following:

Field	Field Code	Maximum Number Permitted
Stolen/Fraudulent Name	NAM	99
Stolen/Fraudulent Date of Birth	DOB	9
Stolen/Fraudulent Miscellaneous Identifying Number	MNU	9
Stolen/Fraudulent Social Security Number	SOC	9
Stolen/Fraudulent Operator's License Number, Operator's License State, and Operator's License Year of Expiration	OLN	9

## **9.6 ADDITIONAL GUIDELINES FOR A SUPPLEMENTAL S/F RECORD**

- A maximum of nine additional identifiers of various kinds may be entered or canceled in one supplemental record message. Additional messages are required if more identifiers are to be entered. Each S/F NAM, DOB, SOC, and MNU is counted as one identifier. Each set of data relating to an OLN (i.e., OLN, OLS, and OLY) is counted as one identifier.
- The S/F identifiers being entered or canceled follow the two base record identifiers. Each type of identifier included in a supplemental S/F record entry or cancellation, except the operator's license field, must be identified by the proper MFC followed by a slash and the identifier(s).
- Multiple identifiers may be entered following the MFC. The identifiers must be separated by a period, and each field must end with a period. All fields except the operator's license field may be entered in any order in a supplemental record entry.

For example, two SOCs and two DOBs contained in an entry or cancel transaction might appear as follows:

SOC/123456789.987654321.DOB/19710101.19711231

- The OLN is a set (multiple data element fields). Each OLN must be preceded by the MFC OLN and a slash (/). The OLS and OLY must immediately follow the OLN and are entered without a MFC.

For example, two OLNs might appear as follows in an entry or cancellation transaction:

OLN/18273645.DC.2013.OLN/VA192837465.VA.2012

## **9.7 MODIFICATION OF A S/F IDENTIFIER RECORD**

Supplemental S/F identifier records cannot be modified. To change an existing identifier, the entering agency must cancel it using the supplemental S/F identifier record cancellation message (MKE/XNPS). The correct information can then be reentered using the supplemental S/F identifier record entry message (MKE/ENPS).