

Understanding Diverse ORIs





UCJIS Access



Accessing Protected Information

- Every agency wanting access to UCJIS, III or out of state information, is required to apply for an ORI
 - Upon application, you must provide the statute, code, etc that allows access to protected information
 - Depending on the agency type, other documentation must accompany the application
- Not all requests are approved
- Keep in mind that we are talking about Criminal Justice ORIs and not ABC agencies



Structure of FBI issued ORIs

UTBCI0000 – 1 and 2 of the ORI will be the alphabetic characters representing the state

UT0020000– 3, 4, and 5 of the ORI are numeric characters indicating the county in which the agency is physically located

ORIs ending in a letter:

- **A** – Prosecuting attorney's offices
- **B** – Pretrial services and pretrial release agencies
- **C** – Correctional institutions
- **G** – Probation and parole offices
- **J** – Criminal courts and magistrates offices
- **N** – Dispatch center for the law enforcement community
- **Q** – Public housing authority agencies
- **U** – Child support enforcement agency
- **Y** – Federal, state, county or local agencies that meet the criteria of criminal justice agencies but do not fall into one of the above categories



Structure of State Issued ORIs

UX0000000 ORIs

ORIs that begin with UX are issued by the State of Utah to agencies that do not qualify for Criminal Justice ORIs through the FBI. These types of agencies have limited access and do NOT qualify to receive Nlets, NCIC, III, etc.



Access

- The different ORI types will be eligible to receive different levels of CHRI
 - Some ORI's may not be eligible for much information
 - This means that an agency who has access to more information, is not allowed to provide that information to that particular agency with less



Agency Types



Parking Services

Oversee parking compliance to identify vehicle owners for parking citations. Mainly utilize motor vehicle information in UCJIS to run license plate and/or VIN information.





Office of Recovery Services

Users utilize UCJIS for locating non-custodial parents in an effort to establish or enforce child support and medical support obligations (DLQ, MVQ, CHQ, etc.).



Utah Department of

Health & Human Services

Recovery Services



Animal Control

Animal control agencies can access UCJIS to enforce local ordinances and state laws related to domestic animals, including leash laws, noise ordinances, and regulations regarding animal cruelty and neglect. They respond to complaints about animal nuisances, such as barking dogs, and investigate reports of animal abuse, neglect, or cruelty.

Access

- Depending on how the agency is setup, Animal Controls may have their own ORI
- If they are paid out of a Police Department's CJ funds– they qualify for access under the PD
- If they run independently from the the PD, they will require their own ORI
 - Either way, their access is LIMITED to what information they can access

Success

We got a call for 2 loose dogs at a golf course. We get there and find the dogs guarding a truck. We decided to run the license plate and found the owner within our own system. We are able to talk to a family member who informed us the actual owner was reported missing the day before. So we call PD, and unfortunately we found the owner deceased in the pond of the Golf Course. Took us a day or two to catch the dogs, but we did, and we found them a good home. I wish that story had a better ending, but without running the plate, we would have never found the owner information as quickly as we did. UCJIS helps us find owner information for dogs locked in cars at least once every summer, which is awesome!



Housing Authority

Provides housing assistance to low-income households. Part of our eligibility process is to run a background check on all adults to make sure they qualify for our programs.





DCFS

DCFS can run criminal histories (Adult & Juvenile), SWW, and III for placement purposes. NCIC for warrants before returning custody. Run O-Track for applicants that want to be around the child while in foster care. eWarrants for runaway children and for removal warrants to take children into DCFS custody.



Utah Department of
Health & Human Services
Child & Family Services

Success

DCFS uses the information they find in UCJIS every single day to help them successfully keep families connected by being able to place children in kinship homes and/or to keep children safe by using information found to assist in further assessment of kinship homes.



Pretrial Services

- Risk/needs (LSCMI) assessments and the Public Safety Assessment (PSA)
 - Identify needs, develop case plans and screen for specified programs
- Pre-sentence investigations
- Entry into the PSA rescore queue to assist judges statewide
- Supervision compliance
 - PO's, warrants, JRA's, Out of state offenses, vehicle interlock compliance, etc

Success

UCJIS supports our agency's success by providing the necessary information for assessments and appropriate sentencing recommendations, enabling evidence-based services tailored to the appropriate level of supervision for our clients and community, while also ensuring compliance with court requirements.

Tax Commission ORI's

STAXCO–Mainly uses UCJIS to pull driver's licenses to determine if someone is a Utah resident or not.

MVED – Look up vehicle info in Utah and via NLETS, check NCIC, warrants, criminal background, etc.

TAXCOM – We only search vehicles. Both NCIC, and NLETS. The purpose for our search is to verify an owner in another state, to verify a title and or registration in another state, and also to see if a vehicle is stolen. Our Vehicle Compliance department does use the Broadcast Message to get more in depth information from the other states. This is generally for a vehicle that is being processed here in Utah, without a proper title or registration.

Correctional Treatment Facilities

Designed to help offenders who may not have a place to go when they leave prison, need additional treatment as they transition back into the community or are struggling and at risk of returning to jail or prison.



Success

Several individuals from the Department of Corrections and the Driver's License Division worked together to assist a center resident obtain his first ever Utah State ID. Two officers from the center accompanied the resident to the Driver's License Division, where he received his first ever Utah State ID. This is the resident's fourth parole, but it marked the first time he's obtained a State ID. His journey is unique; having been adopted and moving frequently, he never had the opportunity to secure a State ID. During previous releases from prison, he was repeatedly told he couldn't get a new ID because he wasn't in the DLD's system. This lack of identification became a major barrier to fulfilling his parole conditions and achieving success. Now, for the first time, he will be able to legally gain employment. He is incredibly grateful and appreciative of this milestone.

Dispatch Centers

- Utilize UCJIS to help criminal justice agencies
 - Hit Confirmations
 - NCIC entries
 - Running transactions for officers



Success story

On November 12, 2023 Salt Lake Troopers were dispatched to an aggravated kidnapping call that occurred in the Magna area. The victim (adult female) claimed the driver (adult male) had a gun and was going to shoot her and wanted suicide by cop. Salt Lake Communications Center watched the vehicle on Rapid SOS and the victim was landline with them. Dispatch confirmed the vehicle was traveling at a high rate of speed even before it was confirmed by law enforcement. The vehicle was reportedly traveling at a high rate of speed by the victim and traveled initially eastbound on SR-201 and then southbound on I-15. The suspect was heard in the background by dispatch making consistent threats to kill officers and the victim.

The vehicle was intercepted at approximately 8000 South I-15 southbound by two UPD Officers and two UHP Troopers. Pursuit speeds were consistently no less than 110 mph and reached over 130 mph. Star 9 was unavailable as they were on another call down south. At some point in the Orem area, all law enforcement turned off their emergency equipment and the vehicle slowed to normal traffic speed. At exit 263 in Utah

County, the driver let the female out of the vehicle and then continued eastbound through Provo. Law Enforcement continued to follow the vehicle without emergency equipment activated. At State Street and Valley Vista Way in Provo, the vehicle stopped and UPD went 10-82 without incident. It was determined there was never a gun present. The victim was shoved into the vehicle by the male at the Magna Rec

Center. UPD will handle the case and also determined the suspect to be DUI. Our dispatch center communicated information to everyone involved without hesitation and in a timely manner which led to the best possible outcome!

During this interaction dispatchers were on the line with the victim keeping her calm and gathering crucial information that aided the Troopers in locating the vehicle. Dispatchers worked together to keep the information flowing in a timely manner. They worked seamlessly as a team, they are excellent examples of what an important role dispatchers have.

Success story

- On March 14, 2024 DPS Salt Lake Communications Center received a phone call from a concerned employee of the Veterans Affairs. The employee stated a male called their crisis hotline and stated he was going to kill himself. Furthermore the male had called his mother and stated he had been pulled over and had shot two UHP officers. The male stated he was driving a silver toyota avalon and was possibly headed to wendover. The VA employee contacted DPS Salt lake Communications to confirm if this information was true. Dispatchers advised the on duty sergeant of this call. Due to the claim and the uncertainty of the situation, the on duty sergeant requested a statewide rollcall to be conducted to account for each on duty officer. The sergeant also requested a ping to be started on the individual's cell phone in an attempt to locate him.
- Dispatchers were able to use the cell phone ping to relay the male's location and get officers on scene. The male was located safely and taken to the VA for evaluation and help.
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Fire Departments

Fire investigators will take any fire case in our jurisdiction and work that case from open to close including making the arrest, evidence collection, etc.



Success

- Having access to UCJIS allows them to do their own investigations instead of handing them over to a PD. It allows the case to be investigated by those trained pertaining to fires, and without adding extra work to a sworn officer within a PD
 - Crime lab submission and reports
 - DLD, CH, MVQ, etc

Vendors

Only access UCJIS for TAC
permissions

Why Vendors?

- It is easier for vendors to have their own ORI so they don't have to be placed under multiple ORI's
 - Those that use the test environment need access to ensure that the system responds correctly and may actually need user accounts
- They may come into INDIRECT access to CJI
 - Therefore they must be set up as non-users
- Security Addendum is required for the agencies you service
 - Required to be submitted during an IT audit



Agency Struggles

Dissemination

- Secondary dissemination logs apply if you share information outside of your ORI
 - You must ensure whom you are disseminating to has a valid ORI
- Your agency type may not qualify to receive certain pieces of information
 - This means other agency types CANNOT disseminate that to you

User Management

- Updated training and testing agreements need to come in to your rep and the CERT transaction needs to be updated for users and non-access users
- Security awareness needs to happen yearly and the SAT transaction updated with that date
- Not removing people from the agency who no longer need to be there
 - You need to remove anyone who has left your agency as soon as you can
- User agreement retention
 - You should keep all documents for a user the duration of their employment
 - If they have left the agency, keep all documents for the duration of the current audit cycle

UCJIS Usage

- Stay away from using generic terms like RAPBACK HIT, EMPLOYMENT, DUI, etc as the auditing purpose
- Ensure that you are using the correct purpose code
- An ORI cannot be repurpose
 - I.e. the reason you have the ORI cannot change
- Simply answering something wrong:
 - If the question doesn't apply to your agency, answer 'does not apply' or 'do not run'



Dissemination

It is improper dissemination to have another agency run UCJIS for your ORI if your ORI doesn't have access to run that information. You can only have information that your ORI has access and permission to run.

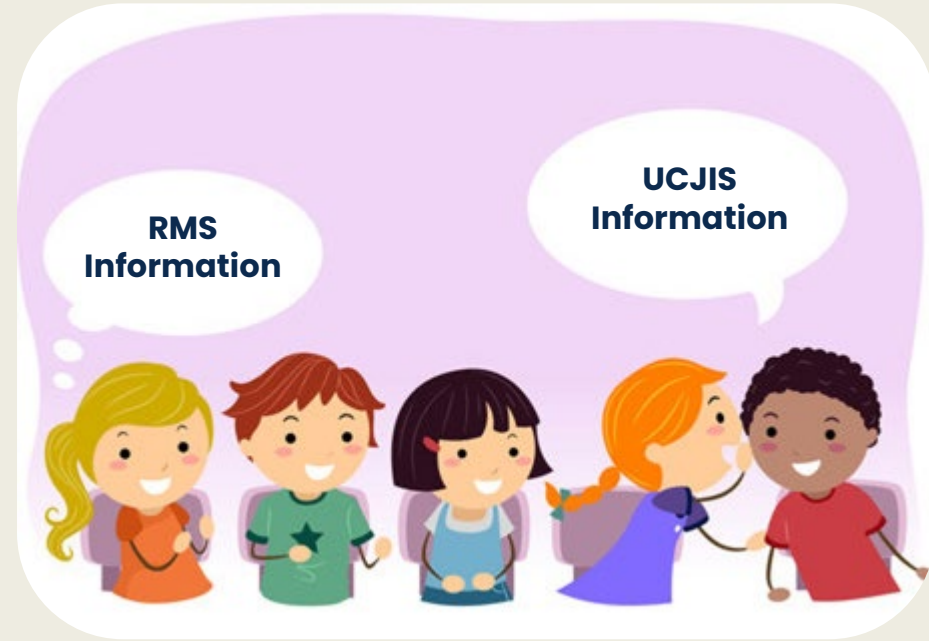


That being said, if your job duties within an agency that HAS that access, don't allow you that access, even another user within your agency cannot run that for you.
I.e. Animal control

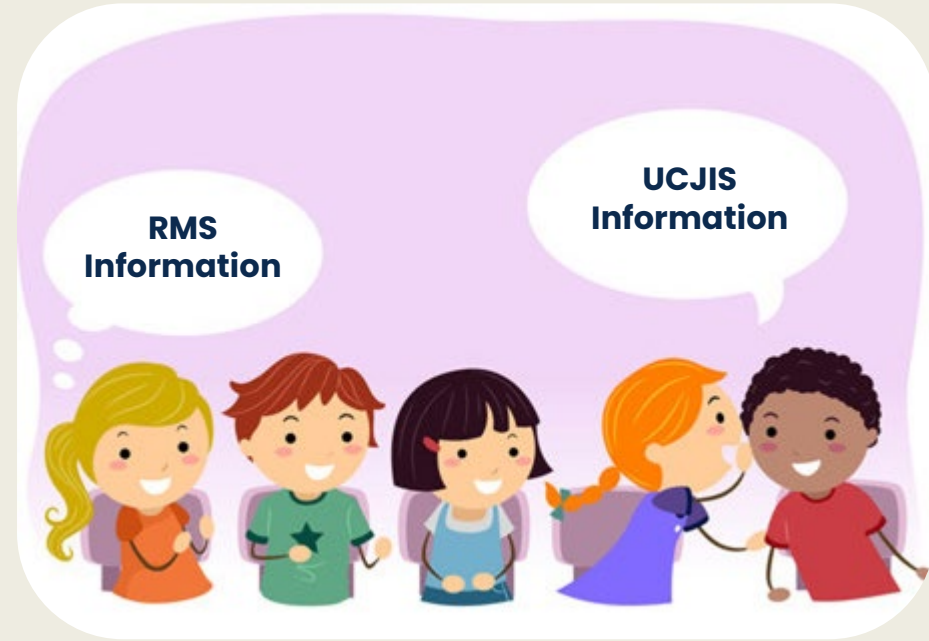


Access to CJI should be as limited as you can get.

This means that DV advocates, volunteers and others within your agency who may not need this information, should not be allowed to access UCJIS or the RMS system where they can view it.



Some agencies will have access to an RMS, agency access will need to be restricted.





TAC Helps

RMS: Record Management Systems

- RMS
 - Need to limit who has access
 - Those with access will need to be set
 - Non-users: if the access they have doesn't allow them to view CJI
 - Non-access users: if the access they have allows them access to CJI
 - You can save protected information within your RMS as long as the access is limited to those who could require access
 - Individuals within agency vs sharing RMS



Training Help

- Your users only need to be trained according to what they do. Users **MUST** be trained on the BCI Manual, if you use NCIC, you need to train on the NCIC manuals
 - If they don't use the transaction, don't train them on it
- Enlist others from your agency to help train your users
- Being a TAC **TYPICALLY** isn't your only duties- request an alt TAC to help with your workload if you can
- Bring in someone to help you train. If you know someone who can do the training portion for you, have them take that on, especially if you don't always use the transaction



Training Help

- Stay on top of things
- Break up the training
 - Don't have an hour to train? Break it up into smaller segments during team meetings.
- How can your FS rep help you??



Help

- Networking– although you may be a unicorn, you can find other agency TACs who can ask for help with



Reminders



Reminders

- We will be looking at each users job/title, etc to ensure access is accurate for our agencies
 - Please use MUSR to update those by January 15th
- Limit who has access to your RMS– this includes those even within your agency

Questions?

