

# PROHIBITED FIREARM INVESTIGATIONS

BCI Brady Section State Bureau of Investigation



Request for Firearm Transaction Background Check.
B.C.I. PHONE 801-965-4867
Toll Free Phone 1-800-500-4867
B.C.I. FAX: <u>969-7265</u> (SL County ONLY)
Toll Free Fax 1-877-879-6228 (Outside SL County)

### **EVIDENCE RELEASE**

Law Enforceme	ent Agency:		ORI #:	
Caller Name:		Phone#	l	
Result to be ret	urned by: Fax #			
	Person Rec	eiving the Evidence Please Print	e Information	
Type of Primary	ID: (Please Circle) D	river License Stat	e ID card (REQUIRED)	
State:	ID #			
Name:			Date of Birth:	
Concealed Firear	m Permit #			
If Non US Citizer	:			
Place of Birth		Alien Registrat	ion #	
CRIME	SAFE KEEP RE	COVERED STOLE	EN THIRD PARTY RE	LEASE
			EN THIRD PARTY RE rt if available):	
If a crime date : 				
If a crime date a	and charges (attache pe: (Please Circle)	ed the incident repo	rt if available):	
If a crime date a	and charges (attache pe: (Please Circle)	ed the incident repo Handgun/Long-g (BOT	un Receiver/Frame	
If a crime date a	and charges (attache pe: (Please Circle) Long-gun	ed the incident repo Handgun/Long-g (BOT	un Receiver/Frame	
If a crime date a	and charges (attache pe: (Please Circle) Long-gun puld you like to know	ed the incident repo Handgun/Long-g (BOT	rt if available): un Receiver/Frame H) ES NO	
If a crime date a	and charges (attache pe: (Please Circle) Long-gun puld you like to know	ed the incident repo Handgun/Long-g (BOT w the reason? Y <u>OR BCI USE ONLY</u>	rt if available): un Receiver/Frame H) ES NO	

Fed / State

# Evidence Release

## Highly encouraged > Free $\succ$ Less liability Quick Fax/Email

# Brady Bill

- Former White House Press Secretary James S. Brady and other gun control advocates lobbied for over 7 years
  - James Brady was permanently disabled by gunman who attempted to kill Ronald Reagan in 1981
- Growing public support and Bill Clinton helped pass what we know today as the Brady Handgun Violence Prevention Act
  - Signed into law in 1993





# Brady Bill

Prospective firearm buyers are required to have a background completed. During which time the sale is approved or prohibited based on an established set of State and Federal Statutes.



Law requires federally licensed firearms dealers to initiate a background check on the purchaser prior to sale of a firearm



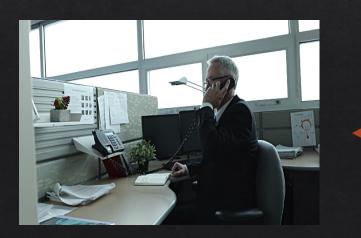


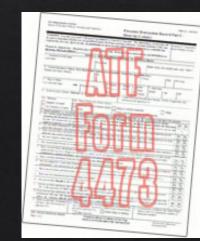














- Federal Firearms Licensee
- ➤ Type of Firearm
- Firearm Part
  - Frame
  - Receiver



 ATF Firearms Transaction Record – 4473
 Must be filled out completely Paper version
Electronic version
Dealer cannot assist





# **ATF Firearms Transaction Record 4473**

U.S. Department of Justice UMB No. 1140-0020	nd understand the Notices, Instructions, and Definitions on ATF The Firearm(c) is Not Transferred
Bureau of Alcohol, Tobacco, Firearms and Explosives Firearms Transaction Record	al transferee/buyer is a crime punishable as a felony under
WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to Transferor's/ determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq. Seller's	who answers "yes" to any of the questions 11.b. through 11.i         27.         28.           uderstand that a person who answers "yes" to question 12.d.1. is         mber         Type (See Instructions for Question 27.)         Caliber or Gauge           g any false or misrepresented identification with respect to this         for Question 27.)         Gauge         Gauge
are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine. Transaction Serial	e State and/or local law. I further understand that the repetitive
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" Number (lf ary) includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located, unless the transaction qualifies under 18 U.S.C. 922(C). All entries must be handwritten in ink. "PLEASE PRINT."	leral firearms license is a violation of Federal law. (See
Section A - Must Be Completed Personally By Transferee/Buyer	
<ol> <li>Transferee's/Buyer's Full Name (If legal name contain an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".)</li> </ol>	Fransferor/Seller
Last Name (Including suffix (e.g., Jr, Sr, II, III)) First Name Middle Name	ransfer is at a qualifying gun show or event:
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)	of Function: Check if any part of this transaction is a pawn redemption,
	ate: Check if this transaction is to facilitate a private part transfer.
Number and Street Address City County State ZIP Code	sued photo identification.) (See Instructions for Question 18.a.)
3. Place of Birth U.S. City and State -OR- Foreign Country Pt	Expiration Date of Identification (If any) Month Day Year
Social Security Number (Optimal, but will help prevent misident/fication)     Output Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)	not show current residence address) (See Instructions for Question aplete Question 34-37.
10.a. Ethnicity     10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.)       Hapanic or Latino     American Indian or Alaska Native       Black or African American     White       White     Not Hispanic or Cher Pacific Islander	"YES" to 12.d.2. the transferor/seller must record the type of IF Form 4473. (See Instructions for Question 18.c.) in this ATF Form 4473. (See Instructions for Question 18.c.)
11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions. Yes No a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are	Firearm(s) (See Instructions for Questions 19, 20 and 21.) ion has been denied or cancelled, I further certify on the (2) my verification of the identification recorded in
acquiring the firearru(s) on behalf of another person. If you are not the actual transferce/buyer, the licensee cannot transfer the firearru(s) to you. Exception: If you are picking up a repaired firearru(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a. a).	he NICS or State transaction number ( <i>if provided</i> ) was: (d) State or local law applicable to the firearms business ose of the firearm(s) listed on this form to the person 36. Transferor's/Seller's Title 37. Date Transferr
year? (See Instructions for Question 11.b.)  I law you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, veen if you received a shorter sentence including probation? (See Instructions for Question 11.c.)	he following response(s) was/were later received from NICS or the perceptiate State agency: FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED
d. Are you a fugitive from justice? (See Instructions for Question 11.d.)	beeed (date) Overturned of a firearm is cherical cancelled by NICS, or if for any other reason th emied (date) completed after a NICS check is initiated, the licensee must retain the
c. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	(date)     (date)     (date)     provided within 3 business days.
f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11 f.)	was received from NICS or the appropriate State agency on: 
g. Have you been discharged from the Armed Forces under dishonorable conditions?	g. Name of FFL Employee Completing NICS check. (Optional) copy the inaccurate form and make any necessary additions or
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)	e photecopy. The transferoriselite should only make changes to D. The transferoriselite should only make changes to Section A an nade the changes should initial and date the changes. The corrected
. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.1.)	uld be attached to the original Form 4473 and retained as part of the generative the bergenerated to the original Form 4473 and retained as part of the ler's permanent records.
12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.)	s for Question 20.) If Firearms: The State or Commerce Departments may require a
United States of America (U.S.A.) Other Country/Countries (Specify) Yes [No]	m the Sate where the transfer is to take place, which qualifies as an ter to obtain a license prior to export. Warning: Any person who rm without proper authorization may be fined not more than
2.b. Have you ever renounced your United States citizenship?	on Date (if any) Permit Number (if any) d/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).
12.c. Are you an alien illegally or unlawfully in the United States?	Section A
2.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)	By Transferee/Buyer     buyer must personally complete Section A of this form and certify     answers are true, correct, and complete. However, if the transferee/
2.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?	e to read and/or write, the answers (other than the signature) may be n 22 and 23.)
13. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#);	ect, and complete. eror/seller) must then sign as witnesses to the transferee's/buyer's
Previous Editions Are Obsolete Transferee/Buyer Continue to Next Page	23. Recertification Date gnature/certification in question 74.
Page 1 of 6 STAPLE IF PAGES BECOME SEPARATED ATF E-Form 4473 (3300.9)	t Page ATF E-Form 4473 (5300.9) ATF E-Form 4473 (5300.9)
Revised Oxtob 2016	ARATED ATE E-Form 4473 (5300.9) ATE E-Form 4473 (5300.9) Revised October 2016 Revised October 2016

**FREEDUVER** of the finant and must answer "NO" to icense may not transfer the finanto to Mr. Jones. However, if finant with his own money to give to Mr. Black as a gift (with *a thing of value provided by Mr*. Block). Mr. Brown is the actual be finant and should answer "**YES**" to question 11.a. However, may not transfer a finant to any person holds knows or has ellevice is prohibited under 18 U.S.C. 922(g), (n) or (x). person is picking up a repaired finant(s) canother person, d to answer 11.a. and may proceed on guestion 11.b.

Generally, 18 U.S.C. 922(g) prohibits the shipment, ot, or possession in or affecting interstate commerce of a firearm convicted of a felony in any Federal. State or local court, or ishable by imprisonment for a term exceeding one year (this does sdemeanors punishable by imprisonment of two years or less); tice; is an unlawful user of, or addicted to, marijuana or any or narcotic drug, or any other controlled substance; has been al defective or has been committed to a mental institution; has the Armed Forces under dishonorable conditions; is subject to lers: convicted of a misdemeanor crime of domestic violence or Tribal law has renounced his/her U.S. citizenship; is an alien d States or an alien admitted to the United States under a furthermore, section 922(n) prohibits the shipment, eipt in or affecting interstate commerce of a firearm by one who nformation for a felony in any Federal, State or local court, or shable by imprisonment for a term exceeding one year. An al accusation of a crime verified by a prosecutor

ned Forces must answer "yes" to 11.b. or 11.c. if charged with an er referred to a General Court Martial, or at which the member harged "under dishonorable conditions" means separation from sulting from a dishonorable discharge or dismissa alguidged by a al. That term does not include any other discharge or separation

rinon who has been convicted of a felony, or any other crime, for d have imprisoned the person for more than one year, or who has misdemenor crime of domestic violence, is not prohibited from the prosensing a firerarili. F(1) under the law of the juridiction occurred, the person has been pardoned, the conviction has been been hand been provided the provided of the person is and the provided the person of the person is law of the jurisoliciton where the conviction occurred from law of the jurisoliciton where the conviction occurred from a firerams. Persons subject to thit exception, or who receives is under 18 U.S.C. 292(c), should answere "no" to the applicable

tive from Justice: Any person who has fled from any State to r a felony or a misdemeanor; or any person who leaves the State tony in any criminal proceeding. The term also includes any at misdemeanor or felony charges are pending against such es the State of prosecution.

dicated as a Mental Defective: A determination by a court, r other lawful authority that a person, as a result of marked  $z_0$ , or mental tillness, incompetency, condition, or disease: (1) is r to others; or (2) lacks the mental capacity to contract or is. This term shall include: (1) a finding of instatily by a court d (2) those persons found incompetent to stand trial or found not k of mental responsibility.

tal Institution: A formal commitment of a person to a mental board, commission, or other lawful authority. The term into a mental institution involutarily. The term includes hal defectiveness or mental illness. It also includes commitments h as for drug use. The term does not include a person in a observation or a voluntary admission to a mental institution.

r the NICS Improvement Amendments Act of 2007, a person ated as a mental defective or committed to a mental institution is not prohibited by the adjudication or commitment if

> ATF E-Form 4473 (5300.9) Revised October 2016

ood and profit through the repetitive purchase and resale of is not required of a person who only makes occasional sales, asses of firearms for the enhancement of a personal obby, or who sells all or part of his/her personal collection

Section E

e of Firearm(s): "Other" refers to frames, receivers and are neither handguns nor long guus (rifles or shotguns), such a pistol grip that expel a shotgun shell, or National Firearms a, including silencers.

er can only be made into a long gam (rifle or shorgon), it is view not a handpun or long gam. However, finames and firearms" by definition, and subject to the same GCA ther firearms. See Societion 921(a)(3)(B). Societion 922(b)(1) for a license to self any firearm other than a shorgon or rifle r the age of 21. Since a frame or receiver for a firearm, to only be made find in a long gam; as "frearm other than a scannot be transferred to anyone under the age of 21, nor can masferred to anyone who is not a resident of the State where to place. Also, note that multiple sales forms are not or recoivers' under Section 923(g)(3)(A).

Ifying Gun Show or Event: As defined in 27 CFR w or event is a function sponsored by any national, State, or devoted to the collection, competitive use, or other sporting an organization or association that sponsors functions ction, competitive use, or other sporting use of firearms in

ntfleation: Before a licensee may sell or deliver a firearm relicensee must establish the identity, place of residence, and obuyer. The transfore-buyer must provide a valid photo identification document to the transfore/seller that rev'r/buyer's name, residence address, and date of birth. A identification card issued by a State in place of a license is Security cards are not acceptible because no address, date of is shown on the cards. A combination of governmentusy be provided. See instructions for question 18.b. immentation.

yer is a member of the Armed Forces on active duty in the State where his/her permanent duty station is located, ver's licenses from another State, the transferor/seller should buyer's military identification card and official orders her permanent duty station is located in response to question y accept electronic PCS orders to establish residency.

pplemental Decumentation: Licensees may accept a d government-issued documents to satisfy the identification ents of the law. The required valid government-issued photo nent bearing the name, photograph, and date of birth of y be supplemented by another valid, government-issued the transferce's/super's residence address. This mentation should be recorded in question 18. b., with the d type of identification presented. For example, if the t two States of residence and is trying to buy a handgun in vide a driver's license (showing his name, date of birth, and by State Y and another government-issued accument (ruch from State X showing his residence address. A valid t from a government where item sybe used as supplemental ided it contains the transferce's/buyer's name and current

eptions to the Nonimmigrant Alten Prohibition and emtation: An alica admitted to the United States under a is not prohibited from purchasing, receiving, or possessing a (1) is in possession of a hunting license or permit lawfully al Government, a State or local government, or an Indian pixel dy the Bureau of Indian Artifar, which is valid and dumitted to the United States for hawful hunting or aporting ceived a waiver from the prohibition from the Attomosy ed States; (4) is an official representative of a foreign accredited to the United States for lower ment or the

Revised October 2016

on to an international organization having its ATF E-Form 4473 (5300.9) ATI

ATF E-Form 4473 (5300.9) Revised October 2016

onducted if an NFA firearm has been approved for legal entity such as a corporation, and no background art of the NFA approval process on the individual who Individuals who have undergone a background check on process are listed on the approved NFA transfer

Section C ansfer on a Different Day and Recertification: If n a different day from the date that the transferee/buyer msee must again check the photo identification of the ne of transfer. Section D

n(s) Description: These blocks must be completed nation. Firearms manufactured after 1968 by Federal all be marked with a serial number. Should you really not narked with a serial number (i.e. pre-1968):

(No Serial Number), "N/A" or "None,"

sere involved in a transaction, the information
ce lestions 24-28, must be provided for the additional
pet of paper, which must be attached to this ATF Form

de, but are not limited to: pistol, revolver, rifle, and other firearms that are neither handguns nor long such as firearms having a pistol grip that expel a firearm) or NFA firearms (machinegun, silencer, nort-barreled rifle, destructive device or "any other

ases by the same transferee/buyer may not be added to or/seller has signed and dated it. A transferee/buyer ditional firearms after the transferer/seller has signed complete a new ATF Form 4473 and undergo a new

s for the licensee's use in recording any information conduct business.

box, or write "Private Party Transfer" in question 31, hg the sale or transfer of a firearm between private accordance with ATP Procedure 2013-1. This will umenting which transaction records correspond with d why there may be no corresponding A&D entries proceed because it was denied, delayed, or cancelled.

**Privacy Act Information** 

ation is authorized under 18 U.S.C. 923(g). tion by the transferee/buyer is mandatory for the closure of the individual's Social Security number is ay be used to verify the transferee's/buyer's identity.

routine uses of this form see System of Records Regulatory Enforcement Records System (68 FR

rwork Reduction Act Notice

on this form is in accordance with the Paperwork the purpose of the information is to determine the to receive and possess firearms under Federal law. t to inspection by ATF officers and is required by 18

den associated with this collection is 30 minutes per r, depending on individual circumstances. Comments burden estimate and suggestions for reducing it rts Management Officer, IT Coordination Staff, co. Firearms and Explosives. Washington, DC 20226.

ct or sponsor, and a person is not required to respond tion unless it displays a currently valid OMB control



	Section A - Must Be Completed Personally By Transferee/Buyer												
		<ol> <li>Transferee's/Buyer's Full Na</li> </ol>			ontains an ini	itial only, reco	ord "IO" afte	er the initi	al. If no	) middle initial	or name,	, record '	"NMN".)
20		Last Name (Including suffix (e.g	., Jr; Sr; II,	, III))	First Name				M	iddle Name			
20													
8		<ol><li>Current State of Residence a</li></ol>	and Addres	ss (U.S. P	ostal abbrev	iations are a	cceptable.	Cannot b	e a post	office box.)			
		Number and Street Address			City				County			State	ZIP Code
┓													
		<ol><li>Place of Birth</li></ol>				4. Height	5. Weight	6. Sex		7. Birth Date			
_		U.S. City and State	OR- For	reign Cour	ntry	Ft	(Lbs.)		ale emale	Month	Day	Y	'ear
						In			anare				
	<ol> <li>Social Security Number (Optional, but will help prevent misidentification)</li> <li>Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)</li> </ol>						ble (See						
		10.a. Ethnicity	10.b. Rac	e (In addi	ition to ethni	city, select on	e or more r	ace in 10.	b. Both	10.a. and 10.b	b. must b	e answei	red.)
		Hispanic or Latino			an or Alaska	Native	Black or Afi			C. Line in	W	hite	
<u>&gt;</u>		Not Hispanic or Latino	Asia	n			Native Haw	allan or O	nner Pac	cific Islander			

- Name
- Current Residence
- ➢ POB
- > Height
- > Weight
- Sex

- > DOB
- > SSN
- Unique Personal
   Identification Number
- > Ethnicity
- ➢ Race

OMB No. 1140-00 U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives **Firearms Transaction Record** WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 Transaction Seria et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine. Number (If any) Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT." Section A - Must Be Completed Personally By Transferee/Buyer Transferee's/Buver's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN",) Last Name (Including suffix (e.g., Jr, Sr, II, III)) First Name Middle Name 2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.) Number and Street Address State ZIP Code 3. Place of Birth Birth Date Height Weight . Sex U.S. City and State -OR- |Foreign Country (Lbs.) Male Month 1 Year Dav Female Social Security Number (Optional, but will help prevent misidentification) Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.) 10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.) Hispanic or Latino American Indian or Alaska Native 🔲 Black or African American White Native Hawaiian or Other Pacific Islander Not Hispanic or Latino Asian 11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions. a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.) b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.) c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.) d. Are you a fugitive from justice? (See Instructions for Question 11.d.) e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside. f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.) g. Have you been discharged from the Armed Forces under dishonorable conditions? h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.) i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.1.) 12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) United States of America (U.S.A) Other Country/Countries (Specify): 12.b. Have you ever renounced your United States citizenship? 12.c. Are you an alien illegally or unlawfully in the United States? 12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.) □ N/A □ □ 12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?

Transferee/Buyer Continue to Next Page

STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300.9)

Revised October 2016

13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or 194#)

Previous Editions Are Obsolete

Page 1 of 6

# 4473

U.S. Department of Justice OMI Bureau of Alcohol, Tobacco, Firearms and Explosives Firearms Transaction Record	3 No. 1140-0020				
WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.					
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises " includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is locatedy unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."					
Section A - Must Be Completed Personally By Transferee/Buyer 1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record	"NMN".)				
Last Name (Including suffix (e.g., Jr, Sr, ÎI, III)) First Name Middle Name					
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)         Number and Street Address       City         Current State of Residence and Address       City	ZIP Code				
3. Place of Birth 4. Height 5. Weight 6. Sex 7. Birth Date					
U.S. City and State -OR- Foreign Country Ft (Lbs.) Male Month Day	Year				
Social Security Number (Optional, but will help prevent misidentification)     Unique Personal Identification Number (UPIN) if applic     Instructions for Question 9.)	able (See				
10.a. Ethnicity         10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answed)	red.)				
Hispanic or Latino American Indian or Alaska Native Black or African American White					
Not Hispanic or Latino Asian Native Hawaiian or Other Pacific Islander					
11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.	Yes No				
a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfe the firearm(s) to you. Exception: If you are pleking up a repaired firearm(s) for another person, you are not required to answer 11.a and may proceed to question 11.b. (See Instructions for Question 11.a.)					
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)					
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)					
d. Are you a fugitive from justice? (See Instructions for Question 11.d.)					
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized of					
decriminalized for medicinal or recreational purposes in the state where you reside. f. Have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See Instructions					
for Question 11.f.)					
g. Have you been discharged from the Armed Forces under dishonorable conditions?					
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)					
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.1.)					
12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.)					
United States of America (U.S.A) Other Country/Countries (Specify):	Vec No				
12.b. Have you ever renounced your United States citizenship?					
12.c. Are you an alien illegally or unlawfully in the United States?					
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)					
12.d.2.       If "yes", do you fall within any of the exceptions stated in the instructions?       N/         13.       If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#);       N/	<u>`_L_</u>				
Figure and and an and a second your Cast-association of Admission number (ANS), OSCADS, OF DYNY.     Figure Continue to Next Page AIF Form 473 (	\$300.9)				
Page 1 of 6 STAPLE IF PAGES BECOME SEPARATED Revised October					

### Answering No to question 11(a) automatic denial

## Answering Yes to questions 11(b) – 12(c) automatic denial

11.	Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.	Yes	No
a.	Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you		
	are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer		
	the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a.		
	and may proceed to question 11.b. (See Instructions for Question 11.a.)		
b.	Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for		
	more than one year? (See Instructions for Question 11.b.)		
c.	Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more		
	than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)		
d.	Are you a fugitive from justice? (See Instructions for Question 11.d.)		
e.	Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?		
	Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or		
	decriminalized for medicinal or recreational purposes in the state where you reside.		
f.	Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions		
	for Question 11.f.)		
g.	Have you been discharged from the Armed Forces under dishonorable conditions?		
Б.			
h.	Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of		
	such partner? (See Instructions for Question 11.h.)		
i.	Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)		
12.	a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.)		
	United States of America (U.S.A) Other Country/Countries (Specify):		
		Yes	No
12.	b. Have you ever renounced your United States citizenship?		
12.	c. Are you an alien illegally or unlawfully in the United States?		
12.	d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)		
12	d 2. If "ves", do you fall within any of the exceptions stated in the instructions?		

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I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crine punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.a. and/or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. 1also understand that making any false oral or written statement, or exhibiting any false or miserpresented identification with respect to this transaction, is a crine punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. *(See Instructions for Question 14.)* 14. Transferee's/Buyer's Signature 15. Certification Date

16. Type of firearm(s) to be transferred (check	k or mark all that apply):	17. If transfer is at a qual	ifying gun sho	w or event:	
(rifles or See	r Firearm (frame, receiver, etc. Instructions for Question 16.)	Name of Function:			
shotguns)		City, State:			
18.a.Identification (e.g., Virginia Driver's licc Issuing Authority and Type of Identification		Instructions fo ate of Identific: Day			
18.b. Supplemental Government Issued Docu Question 18.b.)	mentation (if identification docum	ment does not show current	residence ada	ress) (See Inst	ructions for
18.c. Exception to the Nonimmigrant Alien Pr documentation showing the exception to					
Questions 19, 20, or 21 Must Be Co	mpleted Prior To The Transfer	Of The Firearm(s) (See	Instructions fo	r Questions 19,	20 and 21.)
19.a. Date the transferee's/buyer's identifying transmitted to NICS or the appropriate S		19.b. The NICS or State	transaction nu	mber <i>(if provide</i>	ed) was:
Month Day Year					
19.c. The response initially (first) provided by	NICS or the appropriate State	19.d. The following respo		re later received	from NICS or the
agency was:		appropriate State ag		(date)	Overturned
Proceed Delayed Denied (The firearm(s))	nav be transferred on			-	Overtained
Cancelled	_ if State law permits (optional))			_ (date)	
Calicelled		Cancelled		_ (date)	
		No response was p			
19.e. (Complete if applicable.) After the firea (date).		g response was received fro Denied Canc		e appropriate S	tate agency on:
19.f. The name and Brady identification num	ber of the NICS examiner. (Opt	ional) 19.g. Name of FFL	Employee Co	mpleting NICS	check. (Optional)
(name)	(number)				
20. No NICS check was required becau	ise a background check was com			n the individua	al who will receive
the NFA firearm(s), as reflected on 21. No NICS check was required becau				ar is to take pla	ca which qualifies
as an exemption to NICS. (See Ins		nu permit from the state wi	nere the transp	er is to take pla	ce, which quanties
Issuing State and Permit Type	Date of Issuance (if any)	Expiration Date (if any)	Per	mit Number (ij	(any)
	ction C - Must Be Completed I				
If the transfer of the firearm(s) takes place on Section C immediately prior to the transfer of	the firearm(s). (See Instructions	for Question 22 and 23.)		e transferee/bu	yer must complete
I certify that my answers to the questions in	a Section A of this form are stil	l true, correct, and compl	ete.	00 D	
22. Transferee's/Buyer's Signature				<ol> <li>Recertific</li> </ol>	ation Date
	Transferor/Seller Co	ntinue to Next Page			
	STAPLE IF PAGES BE	COME SEPARATED			
Page 2 of 6				ATF Form 4473 Revised Octobe	

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (*See Instructions for Question 14.*)

14. Transferee's/Buyer's Signature

15. Certification Date

Buyers above signature certifies they understand the questions they have answered, and that all questions were answered honestly and to the best of their knowledge

Signature certifies their understanding that providing false information is a crime punishable as a felony under Federal law, and may also violate State and/or local law

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bedquarters in the United States, (1) is an official representative of a foreign processes who is on instant or a firmt marketic county to which that arken is according (4) in an official at a factory provement or a subsequated longuturitar who has been a delegated by the Department of State; or (1) is a foreign low otherwood endoced at longify foreign government or endoced at United Nations on official low endoced market lowers.

Institute 19. NICS BACKGROOND CHECKS: 18.U.S.C. 92201 sequences and plot has a descent plant of the same statement person, a consistent plant and access the same first extra the back sequences and the soft material constraints of the plant plant of the same statement of the same similarity and the plant plant of the same statement of the same in demand and the plant plant of the same statement of the same in demand and the plant plant of the same statement of the same in demand and the plant plant of the same statement of the same in demand and the plant statement of the PDCs<sup>2</sup> has conduct NICS detects and the same statement of the PDCs<sup>2</sup> has conduct NICS detects

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Page 6 of 6

A NLCs check must be conducted if an NLA forum has been approved for trainfutors truet, et us legal entry, such as a corporation, and to background dick, wait conducted as part of the NLA approximation of the similar distribution for forum. Indexidate which may be an addressed as the signal dick during the NLA application process are hand on the approved NLA susuality from. Section C

Questions 22 and 23. Transfer on a Different Day and Recertification: If the standard takes place on a different day from the date that the transferentbuyer up Section A, the licensee must again check the places identification of the transfere hower at the time of transfer.

Section D Question 24-23. First-ended on experiment for forwards: indications: Forwards considerated after 1998 by Folderal lowest sectors shall also the marked with a serial sampler. Stranger a formation is with "WebS" (Ma Serial Namber), "WA" or "Note."

queresso 20 wash If more than four frequency are involved in a memoritor, the information require Section D. querions 24-26, must be provided for the additional forums on a w abort of paper, which must be attached to this ATF Form 4473.

Types of Brownia Include, but see not limited to: pixel, revolves, rule, sheapen orcever, france and other frequences that are nother handpass to be groups use reflected by the second second by the second second second second second second sequences in NNA for second second second second second second sheapen, the sequences in NNA for second second second second second sheapen, the sequences in NNA for second second second second second second sheapen, the second s

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Question 31. This item is for the locenser's use in recording to be more started business.

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utation of the information is authorized under IR U.S.C. 92MpJ. Dischams, ulterions by the transferred bayes a studiety for the transfer of a financiuorer of the individual's Social Society number is voluntary. The number is slits verify the transferre's bayes's identity.

eed to vervity incommunications uses of this form see System of Records Notice information about the routime uses of this form see System (68 FR 163558, Januar see XTF-008, Regulatory Enforcement Records System (68 FR 163558, Januar

#### 24, 2003). Panermark Reduction Act Natice

The information required on this form is in accordance with the Paperwork Robert from Act of 1995. The purpose of the information is in determine the alignblay of the transformers as receive and process forearms under Federated likes. The information where the supercention by ACT address and is required by 18 U.S.C. 492 and 523.

The estimated average barden associated with this collection is 20 minute respondent or recordinging, depending on individual creanistance of about the accouncy of this barden commute and suggestions for reducing in about directed to Reports Management Officer, IT Conclusions Staff, Barcan of Alcol directed to Reports Management Officer, Washington, DC 20228.

cy may not conduct or sponsor, and a person is not required to reap an of information subces it displays a cuttently valid OMB control a sublify is not assared.

ATF Form 4475 (5300.9) Revised October 2016

## More in depth for each sub question for #11

Further assistance?Contact ATF

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Applicant Background Checks X	Gun Background Checks	× +		
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	Dealer Information			
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Daily Log Daily Log Report	* Dealer #:	11111	* Caller: DEMO	
Dealer Log Check	* Dealer Name:	DEMO		
Concealed Firearm Check	* FFL:	11111:UT BUREAU OF CRIM IDENT:	~	
Concealed Check Query	Dealer Phone #:			
Dealer Query Legacy Gun Check	Retain for Multiples:			
	PD Information			
	Requesting Agency ORI:		Agency Case No.:	
	Basic Applicant Information		,	
	* License State:	UT-UTAH		
	* Place of Birth:	US-USA <united america="" of="" states=""></united>	~	
	* Birth State:	UT		
	Not US Citizen:		ARN:	
	Alternate Id:		Dual Residency:	
	Customer UPIN:			
	Active Military:			
	Purchase Information		_	
		SH - Sale Handgun	Fee Waived:	LID
	Detailed Applicant Information			
	* Driver License #:	172443851	Expiration Date: 02/11/2023	
	Driver License Status:	VALD - VALID		
	Social Security #:	111-11-1111		_
	* Last Name:	BEAR	* First Name: YOGI	
	Middle Name:			
	* Birth Date:	02/11/1950	* Gender: Male 🗸	CO PURCE REAL
	* Height:		* Weight: 380	6723 V
	* State of Residence:		5	Since A
	Refused			UTAH
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	Refused Other:			
				7 4
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Detailed Applicant Information							
* Driver License #:	172443851	$\mathbf{P}$	Expiration Date: 02/11/2023				
Driver License Status:	VALD - VALID						
Social Security #:	111-11-1111						
* Last Name:	BEAR		* First Name: YOGI				
Middle Name:							
* Birth Date:	02/11/1950		* Gender: Male V				
* Height:	6'05"		* Weight: 380				
* State of Residence:	UT-UTAH	•					
Refused							
Refused:	•		Misc:				
Refused Other:							

### Possible Brady Log Matches

Log Type ↑	Name	Birth Date
Prohibited	BEAR, YOGI	02/11/1950

Applicant Background Checks X G	oun Background Checks	Applicant Ba	ckground Checks 🧳	× +						× .
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		1 + 9 ( MA / )	Altre					Agency Date	r: skreek /: BCIBRI e: 2019 Ju Password	D un 13
Name: BEAR YOGI DOB: 02/11/1950	SSN: 111111111 Agency:	G11111 TCN: UT	07678852P Submit	Date: 06/13/2019	9 App SID:	UTE3151102 C	Orig TCN:		Log o	out 💋
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## Research and/or Denial?

# Why me?

- Common name
- $\succ$  No disposition
- Contact agencies
  - No statute
  - Still valid
  - Police reports
  - Severity
  - Prohibitor from another state

#### PROHIBITORS

#### FEDERAL

922(g)

1–(federal and state) Felony(ies) (federal) Disgualifying Misdemeanors 2+1

2 - (federal) Warrants - (judge, court, case#)

3 – *(federal and state)* Drug Conviction (within the last 12 mths) or + drug test during probation

- a. Drug Charges: The person MUST have a current drug charge, within the last 12 Mths,
- b. with or without a dispo. HOWEVER, if this current charge has a **DISMISSED** dispo it **CANNOT** be used as a charge to show habitual charges.

**i.e.** DOA between 08/03/06 to 08/03/07: **Misdemeanor** Possession of Marijuana with either a conviction or no dispo. If there are any other drug charges in the last 4yrs with either a conviction or no dispo. **DENIED** 

DOA between 08/03/06 to 08/03/07: **Misdemeanor** Possession of Marijuana with a dispo of DISMISSED. The 4yrs prior drug charges are not useable for the purpose to deny. **APPROVED** 

- 4 (federal and state) Adjudicated by the courts to be Mental Defective
- 5 (federal and state) Illegal Alien

6 - (federal and state) Dishonorable discharged from the Military

7 - (federal and state) Renounced US Citizenship

- 8 (federal and state) Protective Orders
- 9 (federal) Domestic Violence
- (n) *(federal)* Pending Felony(ies) this includes PIA cases

NRI – entry from other states

#### STATE

(state) Class A Misdemeanor with weapons restriction

*(state)* Juvenile Felony(ies) that have a violent nature – disqualified for 10 yrs that are non-violent in nature – disqualified for 7 yrs

Civil Stalking Order

#### **Reasons for Research**

No disposition on criminal history for any of the above disqualifiers Assault or similar conviction – needing additional information Anything that shows a record, which you are not sure of the category or disposition Anything that does not have clear identification of the respondent, arrestee, etc

California DL – NO Guns sales – unless the buyer is currently in the military stationed in Utah NO HAND GUN SALES TO ANY OUT OF STATE BUYERS

# Prohibitors

- Convicted/Pending felonies
- ➢ Warrants
- Drug conviction
- Protective orders
- Domestic violence
- Juvenile felonies





## Denial

RESEARCH /	PROHIBITED	FED		STATE
DATE 06/13/2019 NAME BEAR, YOGI	DA	TE SENT TO NICS		INITIALS 02/11/1950
BUYER ADDRESS JELLYS	TONE FOREST		DOB	02/11/1300
DL / ID # 172443851				
SSN # 111-11-1111			DV	
	CIT FBI # 9007700		REASON	011
			PURCHASE TYPE	5H
SEARCH REQUEST # 690			[	
FFL # 11111 DEAL			JUVE	NILE FELONY
DEALER ADDRESS TAYLO	URSVILLE		Case #	
CALLER NAME DEMO			Court Date	
PHONE # 801-999-9999			Offense	
ARI #	IAQ RESULT			
	C	THER INFO		
			Ci Gi	ime in 17/19 @12:56
MCDV Case: Yes	No MCDV Statute	/ Judgment:	Available	Not Available
APPEALED	WARRANT PICK-UP	ARRESTING	AGENCY	
APPROVED	PROHIE	SITED	ov	ERTURNED
	FED	STATE		
DATE DEALER CALLED	INFO GI	VEN TO		BY
Note Results on Research Paper				
All Sockets Reviewed	Enter/Removed Denials in Br	rady Logs	Entered into V	Vork File
Entered into Proof Letter Log				
Dealer Fax #				

### ≻ Reason

- Purchase type
- Time/Location

## > Inquired



# Research

RESEARCH PROHIBITED FED	STATE
DATE 06/13/2019 DATE SENT TO NICS DATE SENT TO NICS	NRI # INITIALS DOB 02/11/1950
BUYER ADDRESS         JELLYSTONE FOREST           DL / ID #         172443851         POB         UT           SSN #         111-11-1111         CIT         SID #         321323	REASON DV
SID #         321323         FBI #         9007700           SEARCH REQUEST #         690185747         690185747           FFL #         11111         DEALER NAME         DEMO           DEALER ADDRESS         TAYLORSVILLE         DEMO	JUVENILE FELONY
DEALER ADDRESS         IATEORSVILLE           CALLER NAME         DEMO           PHONE #         801-999-9999           ARI #	Case # Court Date Offense
VICTIM does not meet, non (	c-bab girlfriend
OTHER INFO VICTIM does not meet, non ( MCDV Case: Yes No MCDV Statute / Judgment:	, in the second se
victim does not meet, non (	Available Not Available

Reason
Purchase type
Time/Location
Outcome



# **Research Denial**

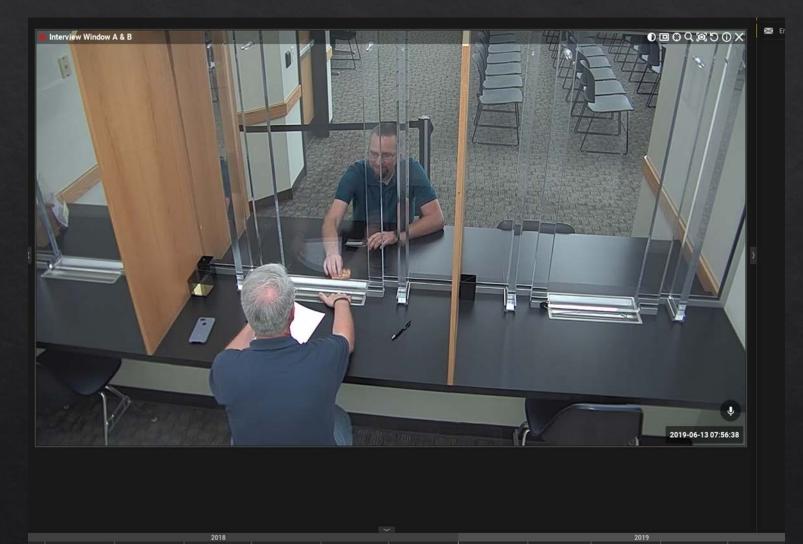
RESEARCH / PROHIBITED	FED	STATE
DATE 06/13/2019 NAME BEAR, YOGI	DATE SENT TO NICS	NRI # INITIALS DOB 02/11/1950
BUYER ADDRESS JELLYSTONE FOREST DL / ID # 172443851 POB UT		
SSN # 111-11-1111 CIT SID # 321323 FBI # 9007700	REASON PURCHASE	TYPE SH
SEARCH REQUEST # 690185747 FFL # 11111 DEALER NAME DEMO DEALER ADDRESS TAYLORSVILLE CALLER NAME DEMO	Case # Court Date	JUVENILE FELONY
PHONE # 801-999-9999 ARI # IAQ RESULT	Offense	12:37
Victim was his so	76-5-109 (3)( n	A)
40 District Court - Spanni MCDV Case: Yes No MCDV State	Sh Fork #1230 ute/Judgment: Available	156789 MB Not Available
APPEALED WARRANT PICK-UP APPROVED	ARRESTING AGENCY	OVERTURNED
DATE DEALER CALLED 6-3-19 INFO Note Results on Research Paper Change Status i All Sockets Reviewed reference Change Status i Entered into Proof Letter Log Letters: Overturned Dealer Fax #	n GIVEN TO Bob In Gun-checks Vpdate UCCH _ Brady Logs _ Enter	ed into Work File

### ➢ Reason

- Purchase type
- ➢ Time/Location
- > Outcome



# Investigative Resources



Video/Audio

• jacobdunn@utah.gov

## Denial sheet/packet

- bcibrady@utah.gov
- (801) 965-4867

"... Bureau shall inform the law enforcement agency in the jurisdiction where the individual resides"



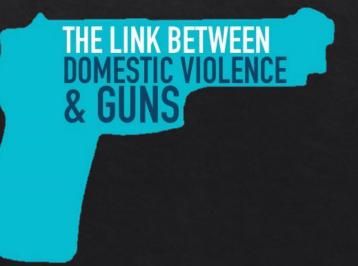




Report before August 1<sup>st</sup>

- "Number of cases involving an individual who is prohibited... as a result of a conviction for an offense involving domestic violence..."
  - Number investigated
  - Number resulting in a criminal charge

- Bureau of Criminal Identification
  > Compile information
  > Redact identifying information
  > November 1st
  - Law Enforcement & Criminal Justice Interim Committee





	BRDQL	New Broadcast Message	ALISA LARSON BCIFS (Log Out)
Utah Criminal Justice Information System	Close All	(109) UTAH AMBER ALER	59:45 until timeout
UCJIS Home			
			_
Favorites	411		in: 05-29-2020 11:19
ADDL - Ad			D-SNAPSHOT.\${buildNumber} pslweblogic-testrf1
MUSRL - M		0.10111040	
	ld Cert Date	Note: Drag	a favorite to reorder it. Right click an item to remove or add
RSPWL - R	eset Password	to favorites.	
RUL - Remo	ove User		
REPTL - TA	AC Report		
ACNTL-A	CNT Agency Trans Counts		
TCNTL-U	ser Trans Counts		
BMSGL-V	iew Broadcast Messages		
LOGSL - AI	I Message Logs		
	Aessage of the Day		
	rady Guncheck Denial		
Person	rady Guneneck Deniar		
CRIMHIST			
Wanted Pe			
Concealed Criminal Hi			
Corrections			
Court Case			
Driver Lice     Emergency			
• Forensic S			
e Gun			
<ul> <li>Juvenile</li> <li>Law Enforce</li> </ul>	cement Tran		
Multi-Inqui			
B Gun Check			
	MFQ - Mental File Gun Check		
	BRDQ - Brady Guncheck Deni		
Protective     Restitution			
• Vehicle			
Article			
Gun Messaging			
• Other			

	DQL X
Query	Brady Denial Query
ORI	
ORI:	
Date Range	
Start Range:	ENTER MMDDYYYY
End Range:	ENTER MMDDYYYY
Dealer Informat	ion
Denial Code:	
Buyer Information	on
Last Name:	ENTER LAST NAME
First Name:	ENTER FIRST NAME
Date of Birth:	ENTER MMDDYYYY
Buyer Address:	BUYER ADDRESS
Dealer Informat	ion
Store Address:	STORE ADDRESS
Dealer:	

ICJIS Home BRDQ	QL ×		
Query		Brady Denial Query	
ORI			
ORI:	UT0180300 - SALT LAKE CITY F	<u> </u>	
Date Range			
Start Range:	ENTER MMDDYYYY		
End Range:	ENTER MMDDYYYY		
Dealer Informatio	n		
Denial Code:		$\sim$	
		Searching by ORI will put	ll up both by dealer
Buyer Information	n	jurisdiction and buyer juri	
Last Name:	ENTER LAST NAME		
First Name:	ENTER FIRST NAME		
Date of Birth:	ENTER MMDDYYYY		
Buyer Address:	BUYER ADDRESS		
Dealer Informatio	on and a second s		
Store Address:	STORE ADDRESS		

### Denial Entry

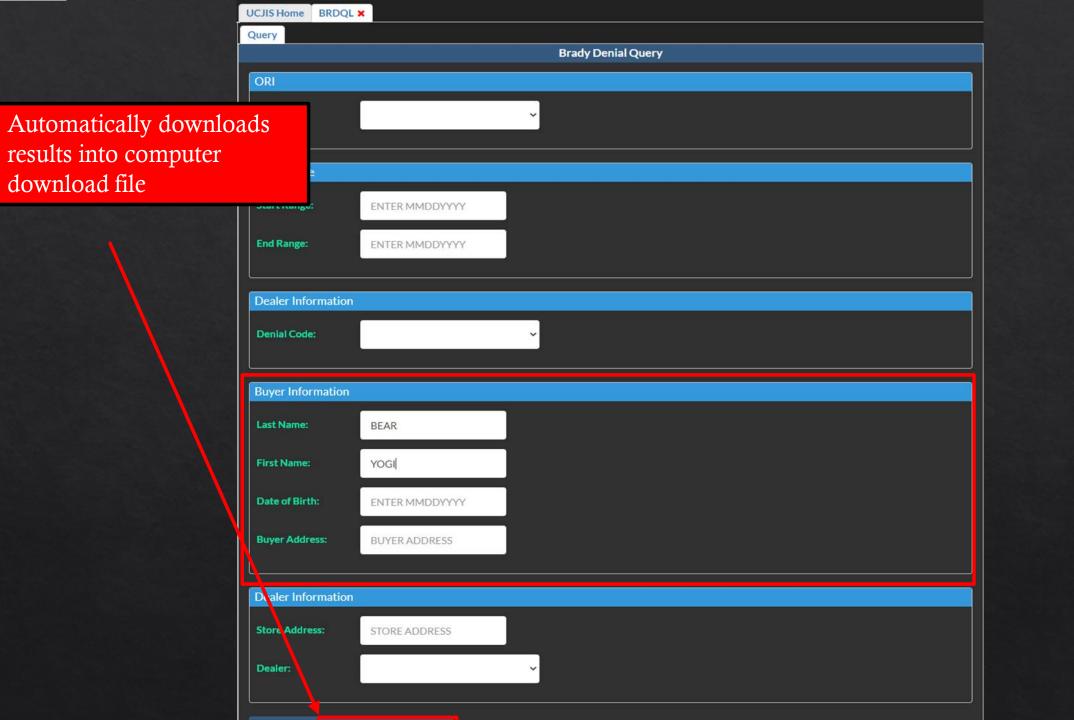
Buyer Information			
* First Name:			Middle Name:
* Last Name:			
* DOB:		_	
* Driver License #:			* Driver License State:
* Buyer Address:			
* Buyer City:			
* Buyer State:			
* Buyer's LEA ORI:		L	
Purchase Date & Time			
* Purchase Date:			* Purchase Time:
* Denial Date:			* Transaction Number:
Dealer Information			
* Dealer by FFL:		· · · · ·	Brady will always use a PD's ORI for this
Dealer Address:			information. If a city does not have a local PD,
* Dealer City:			Brady will then use the local Sheriff's Office's OR
* Dealer's LEA ORI:	$\wp$		Drady will then use the local sherin s onice's or
Denial Reasons			
Action	Denial Type	Severity Type	Adjudication Date State
Add Reason			
		Submit Cancel	

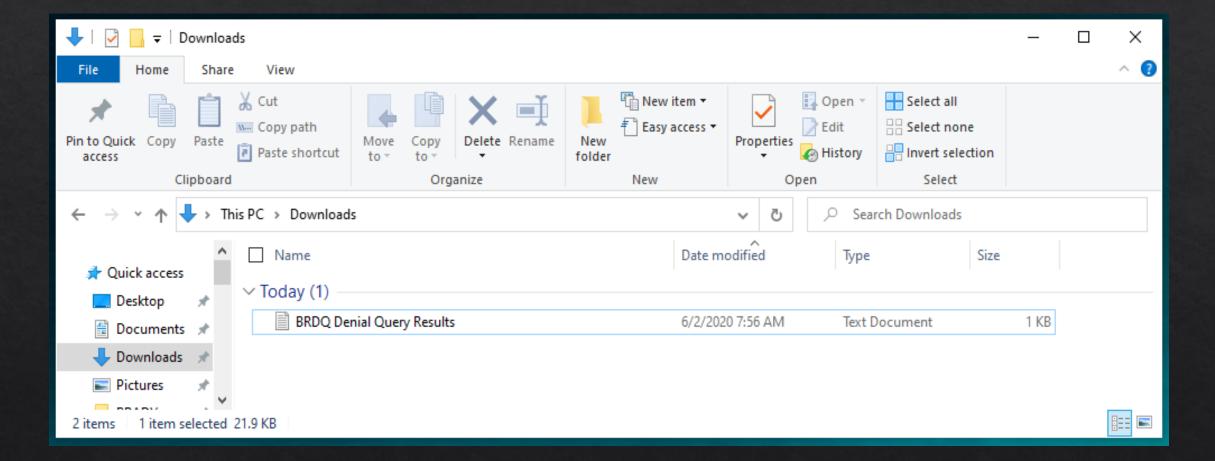
UCJIS Home BR	DQL 🗙									
Query Results ×										
BRDQ Search Results Created by alarson on 06/02/2020 07:53:14										
Action	First Name	Middle Name	Last Name	DOB	Denial Date	Transaction #	Dealer Agency	Dealer Name	Dealer Address	Dealer City
Get Packet	TEST		TESTER	01/01/1952	05/24/2020	1122334455	G00005	EXCALIBUR ARMS:8012800513	null	SALT LAKE CITY
Get Packet	BLACK		BEAR	03/30/2001	03/30/2020	UNKNOWN	E12345	ARMS AND STUFF	15233 EXPRESS WAY	SANDY
« 1 »										

		Brad	y Denial Query		
ORI					
ORI:		~			
OKI.		·			
Date Range					
Start Range:	ENTER MMDDYYYY				
End Range:	ENTER MMDDYYYY				
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	on BEAR	~ 			
Buyer Informatic	BEAR	~ 			_
Buyer Informatic Last Name: First Name:	BEAR YOGI	~			
Buyer Informatic Last Name:	BEAR				
Buyer Informatic Last Name: First Name:	BEAR YOGI				
Buyer Informatic Last Name: First Name: Date of Birth:	BEAR YOGI ENTER MMDDYYYY				
Buyer Informatic Last Name: First Name: Date of Birth:	BEAR YOGI ENTER MMDDYYYY BUYER ADDRESS				
Buyer Informatic Last Name: First Name: Date of Birth: Buyer Address:	BEAR YOGI ENTER MMDDYYYY BUYER ADDRESS				

UCJIS Ho	ome BR										
Query Results ×											
	BRDQ Search Results Created by alarson on 06/02/2020 07:55:40										
Action		First Name	Middle Name	Last Name	DOB	Denial Date	Transaction #	Dealer Agency	Dealer Name	Dealer Address	Dealer City
Get	Packet	YOGI		BEAR	01/01/1950	05/27/2020	W123456	G00110	BLUE MTN HUNTS:	321 FAKE STREET	SPRINGFIELD
×	1 >										

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Utah Criminal Justice Information System	Close All			ОК						
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	Query       Results ×         BRDQ Search Results         Created by alarson on 06/02/2020 11:32:									
Action	First Name	Middle Name	Last Name	DOB	Denial Date	Transaction #				
Action Get Packet	<b>First Name</b> YOGI	Middle Name	Last Name BEAR	<b>DOB</b> 01/01/1950	<b>Denial Date</b> 05/27/2020	Transaction # W123456				
		Middle Name								



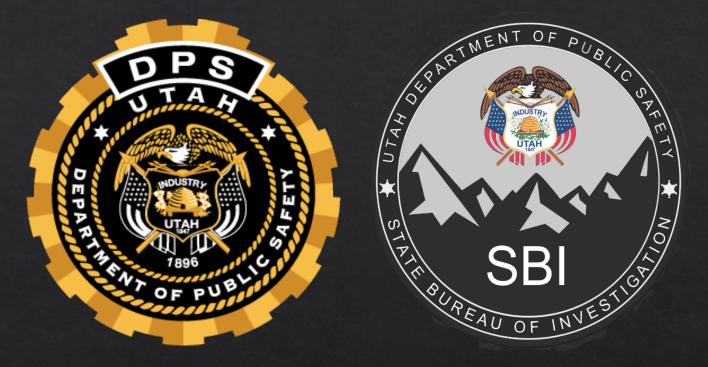


BRDQ Denial Query Results - Notepad × \_ File Edit Format View Help First Name,Middle Name,Last Name,DOB,Transaction #,Denial Date,Dealer Agency,Dealer Name,Dealer Address,Dealer City YOGI,-,BEAR,01/01/1950,W123456,05/27/2020,G00110,BLUE MTN HUNTS:,321 FAKE STREET,SPRINGFIELD All denial information will be listed that is associated with the users search criteria

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## **GUN DENIAL CASES**

## Why Pursue Gun Denial Cases?

- Proactive law enforcement
- > Public safety
  - Protect victims
  - Prevent shootings
  - Accountability



Month	Denials	Warrant	Felony	State	DV	Drug	Mental	ILL	PO	PF	DD
2017-							Incomp				
2018											
Jun	75	1	21	15	4	13	2	2	10	7	0
May	115	4	22	25	11	27	3	4	11	8	0
Apr	108	4	26	19	6	23	11	1	15	3	0
Mar	154	7	28	39	8	19	6	8	22	7	0
Feb	148	5	36	41	5	20	9	2	24	6	0
Jan	100	3	17	27	7	16	5	3	14	8	0
Dec	184	8	27	45	13	32	8	4	33	14	0
Nov	163	6	39	41	7	29	10	4	12	15	0
Oct	144	1	23	44	12	28	4	1	24	7	0
Sep	132	1	29	37	16	20	4	2	11	12	0
Aug	130	4	30	25	6	20	14	2	24	5	0
July	83	3	17	20	5	15	3	5	8	6	1
Total	1536	47	325	378	100	262	79	38	208	98	1



- > Violent offenses
- > Vulnerable victims
  - Protective orders and domestic violence
- > Repeat offenders
- > Multiple denials
- > Timeliness

# UCA 76-10-503 (9)(B)

A person may not provide to a dealer or other person any information that the person knows to be materially false information with intent to deceive the dealer or other person about the legality of a sale, transfer or other disposition of a firearm or dangerous weapon

(c) "Materially false information" means information that portrays an illegal transaction as legal or a legal transaction as illegal

(d) A person who violates this Subsection (9) is guilty of:

(i) A third degree felony if the transaction involved a firearm

# UCA 76-10-503 (2)

A Category I restricted person who intentionally or knowingly agrees, consents, offers, or arranges to purchase, transfer, possess, use...

(a) Any firearm is guilty of a second degree felony

## Evidence

- Copy of the signed 4473
- Video of transaction
  - Obtain copy ASAP
- BCI Brady denial packet

- Court records
  - Certified copies of convictions
- Interviews
  - Clerk that sold firearm
  - Subject that attempted purchase

### Considerations

- > Utilize victim advocates
  - Notification to victim on open cases
- Pawn shop purchases/ buying their gun back
  - Felon in possession

- Straw purchases
- Jurisdiction
  - Location of Crime vs.
     Residence of Restricted Person
- Educating prosecution



- Individual was a category one restricted person because of an adjudication for an offense of aggravated assault, a third degree felony, in February of 2018. They were 17-years-old at that time and a gang member.
- On Thursday, August 8<sup>th</sup>, 2019 they possessed and fired two different handguns while at the shooting range in Northern Utah. The individual was with another male who was present during the assault that took place in 2018. This incident was recorded by the surveillance camera in the range. Because the individual was a category one restricted person he committed a second degree felony by possessing and shooting two handguns.



- After shooting in the range the individual falsified a firearms transaction form in an attempt to purchase a rifle. This incident was recorded by the surveillance cameras in the shop. They indicated they had never been convicted in any court of a felony.
- On January 30<sup>th</sup>, 2020 the individual pled guilty to state charges of possessing a dangerous weapon by a restricted person and providing false information in order to obtain a firearm. Both charges were felonies.



- Federally restricted due to pending felony charges
- June 24<sup>th</sup>, 2019 attempted to purchase an assault rifle in Southern Utah and was denied. Individual sent mother in to purchase the firearm.
- On July 29<sup>th</sup>, 2019 was stopped by a trooper with the Utah Highway Patrol. Vehicle was impounded as a result of the stop. During the vehicle inventory the trooper located the rifle that was purchased by the mother in their vehicle.
- On August 9<sup>th</sup>, 2019 SBI was informed that they had been stopped by an officer with another agency and subsequently arrested. The vehicle was impounded. During the inventory the officer located three handguns.



- On August 15<sup>th</sup>, 2019 a federal warrant was issued for the individuals arrest. The charges listed on the warrant were providing false information in an attempt to obtain a firearm and possession of a firearm by a restricted person. They were incarcerated at the time the warrant was issued.
- On September 4<sup>th</sup>, 2019 individual was transferred from state custody to federal custody.
- On September 19<sup>th</sup>, 2019 a SAUSA, an Agent with the ATF, and SBI made contact with the individuals mother regarding the straw purchase.
- The individual later pled guilty to the suggested charges. A sentence has yet to be determined. The mother was sentenced to probation.





HEBER, Utah — A man faces several felony charges after police said he was detained by a manager and two employees while attempting to load a stolen firearm inside a sporting goods store

#### Jose Sosa



Brady denial on 6/23/2018

## **Contact Information**

State Bureau of Investigation:

Lieutenant Christian Newlin: 801-503-6516 or cnewlin@utah.gov

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Agent Austin Porter: 801-656-9221 or aporter@utah.gov

Agent Chad Bohman: 801-450-2023 or cbohman@utah.gov

Agent Austin O'Bray: 801-842-9640 or aobray@utah.gov







