



ACTING UPON INTELLIGENCE

BCI Brady Section
State Bureau of Investigation

BRADY BILL

- Former White House Press Secretary James S. Brady and other gun control advocates lobbied for over 7 years
 - James Brady was permanently disabled by gunman who attempted to kill Ronald Reagan in 1981
- Growing public support and Bill Clinton helped pass what we know today as the Brady Handgun Violence Prevention Act

BRADY BILL

Prospective firearm buyers are required to wait for a period of time while a background check is completed on the individual During which time the sale is approved or prohibited based on an established set of State and Federal Statutes

UCA 76-10-526

Law requires federally licensed firearms dealers to initiate a background check on the purchaser prior to sale of a firearm







BRADY CHECK

- > Federal Firearms Licensee
- > Type of Firearm
- > Firearm Part
 - Frame
 - Receiver

BRADY CHECK

- ➤ ATF Firearms Transaction Record 4473
- Must be filled out completely

- > Paper version
- > Electronic version
- ➤ Dealer cannot assist

ATF Firearms Transaction Record 4473

determine whether you are p are punishable by up to 10 y Read the Notices, Instruction includes business temporarily located) unless the transaction	ears imprisonment a ns, and Definitions or conducted from a qu	iving a firearm. ind/or up to a \$. in this form. Pr ualifying gun sh	. Certain v 250,000 fin repare in or now or even	riolations of ic. riginal only : t in the same	the C	Gun Contro e licensed p	ol Act, 18 U.S.C. remises ("licens the licensed pren	921 et. seq., ed premises" nises is			erial
	Section	n A - Must Be (Completed	Personally	By T	ransferee/E	Buyer		-		
 Transferee's/Buyer's Full N Last Name (Including suffix (e) 		First Name	only, recor	d "IO" after i	the in	itial. If no	Middle Initial or	name, record	"NMN".)		
2. Current State of Residence	and Address (U.S. Po	stal abbreviati	ons are acc	eptable. Ca	nnot	be a post of	office box.)				_
Number and Street Address		City				County			State	ZIP C	ode
3. Place of Birth		-	4. Height	5. Weight	6. S	ex	7. Birth Date	4	-		
U.S. City and State	-OR- Foreign Cou		Pt In	(LBs.)		Male Female	Month	Day	Ye		
 Social Security Number (0) 	ptimal, but will help p	revent misidentifi	ication)	9. Unique I Instructions			cation Number (L	(PIN) if applic	able (Se	е	
10.a. Ethnicity Hispanic or Latino Not Hispanic or Latino	10.b. Race (In addi	ition to ethnicity an or Alaska Na		Black or Af	rican	American	10.a. and 10.b.	White	ered.)		
 Answer the following que 										Yes	No
 Are you the actual transfers acquiring the firearm(s) of firearm(s) to you. Excepti proceed to question 11.b. () 	on behalf of another point on: If you are picking	person. If you a	are not the	actual trans	fere	e/buyer, th	e licensee cannot	transfer the			
Are you under indictment of year? (See Instructions for	or information in any c		y, or any ot	her crime for	whi	ch the judge	could imprison	you for more	han one		
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)								, _			
f. Are you a fugitive from jus	tice? (See Instructions	for Question 1	1.d.)								
e. Are you an unlawful user o Warning: The use or pos decriminalized for medici	session of marijuana	remains unlaw	vful under	Federal law	rega				r		
. Have you ever been adjudic	ated as a mental defec	tive OR have y	ou ever bec	n committed	to a	mental inst	itution? (See Insti	ructions for Q	uestion		
11,f.)	g. Have you been discharged from the Armed Forces under dishonorable conditions?										
	h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)							r child of such	partner'	0	
g. Have you been discharged h. Are you subject to a court of				i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.1.)							
g. Have you been discharged h. Are you subject to a court of (See Instructions for Questi	on 11.h.)	usdemeanor crit	me of dome	stic violence	? (Se		ns for Question i	1.1.)			
Have you been discharged Are you subject to a court of (See Instructions for Questi) Have you ever been convictions.	on 11.h.) ted in any court of a m (Check/List more than		ible. Natio	nals of the U	nited	States may	-	1.1.)			
Have you been discharged Are you subject to a court of See Instructions for Questi Have you ever been convict 2.a. Country of Citizenship: United States of Am	ion 11.h.) ted in any court of a m (Check/List more than erica (U.S.A.)	n one, if applica	ible. Natio	nals of the U	nited	States may	-	1.1.)		Yes	No
. Have you been discharged Are you subject to a court of (See Instructions for Questi Have you ever been convict 2.a. Country of Citizenship: United States of Am 2.b. Have you ever renounce	ion 11.h.) ted in any court of a m (Check/List more than erica (U.S.A.) d your United States of	n one, if applica Other Cou	ntry/Count	nals of the U	nited	States may	-	1.1)		Yes	No
p. Have you been discharged a. Are you subject to a court of (See Instructions for Questi Have you ever been convict 2.a. Country of Citizenship: United States of Am 2.b. Have you ever renounce 2.c. Are you an alien Hegalt	on 11.h.) ted in any court of a m (Check/List more that erica (U.S.A.) d your United States of y or unlawfully in the	n one, if applica Other Councitizenship?	ible. Nation	nals of the U	nited		check U.S.A.)			Yes	No
. Have you been discharged Are you subject to a court of See Instructions for Questi Have you ever been convict 2.a. Country of Citizenship: United States of Am 2.b. Have you ever renounce 2.c. Are you an alien Hegalt 2.d.1. Are you an alien who	on 11.h.) ted in any court of a m (Check/List more than erica (U.S.A.) d your United States of y or unlawfully in the has been admitted to the	one, if applica Other Councitizenship? United States?	nble. Nation	nals of the Utics (Specify)	nited		check U.S.A.)] N/A	Yes	No
g. Have you been discharged h. Are you subject to a court of (See Instructions for Questi i. Have you ever been convict 12.a. Country of Citizenship:	on 11.h.) ted in any court of a m (Check/List more than erica (U.S.A.) d your United States of y or unlawfully in the has been admitted to th tithin any of the except	one, if applica Other Cou- citizenship? United States? he United States	ntry/Country s under a no	nals of the Urics (Specify) nimmigrant	visa?	(See Instru	check U.S.A.)] N/A	Yes	No

o question 12.0 g any false or r e State and/or	"yes" to any of the questions 11.b. through 1.1. a person who answers "yes" to question 12.d.1. is d.2. and provides the documentation required in misrepresented identification with respect to this local law. I further understand that the repetitiv license is a violation of Federal law. (See
	15. Certification Date
Fransferor/Sell	ler
ransfer is at a q	ualifying gun show or event:
of Function:	
tate:	
sued photo iden	ntification.) (See Instructions for Question 18.a.)
	Expiration Date of Identification (if any)
	Month Day Year
-1-1	
tot show curren	nt residence address) (See Instructions for Question
ppropriate State occed enied incelled o response was was received fr	(date)Overturned(date)(date)
ppropriate State occed enied incelled o response was was received fr	(date) Overturned (date) Overturned (date) (date)
ppropriate State occed enied incelled o response was was received fr	e agency: (date) Overturned (date) (date) (date) provided within 3 business days. om NICS or the appropriate State agency on:
ppropriate State occed enied incelled o response was was received fr	e agency: (date) (date) (date) (date) provided within 3 business days. om NICS or the appropriate State agency on: Cancelled T. Employee Completing NICS check. (Optional)
ppropriate State occed mied meelled o response was was received fr	e agency: (date) (date) (date) (date) provided within 3 business days. om NICS or the appropriate State agency on: Cancelled T. Employee Completing NICS check. (Optional)
ppropriate State occed mied meelled o response was was received fr	e agency: (date) (date) (date) (date) provided within 3 business days. OM NICS or the appropriate State agency on: Cancelled T. Employee Completing NICS check. (Optional) roval process on the individual who will receive the 20.) re the transfer is to take place, which qualifies as an
ppropriate State occed inied incelled o response was was received fr g. Name of FF g the NFA appr s for Question 2 m the Sate whe	(date) Overturned (date) (date) (date) (date) provided within 3 business days. om NICS or the appropriate State agency on: Cancelled FL Employee Completing NICS check. (Optional) roval process on the individual who will receive the 20.) Permit Number (if any)
ppropriate State occed initied incelled o response was j was received fr g, Name of FF g the NFA appr g for Question in the Sate whe on Date (If any, By Transfere everbuyer signe	(date) Overturned (date) (date) (date) (date) provided within 3 business days. om NICS or the appropriate State agency on: Cancelled FL Employee Completing NICS check. (Optional) roval process on the individual who will receive the 20.) Permit Number (if any)
ppropriate State occed initied incelled oresponse was i was received fr g the NFA appr s for Question in the Sate whe on Date (If any). By Transfere ee/buyer signe n 22 and 23,)	(date) Overturned (date) (date) (date) (date) (gate) (provided within 3 business days. Om NICS or the appropriate State agency on: Cancelled The Employee Completing NICS check. (Optional) Toval process on the individual who will receive the 20.) Permit Number (if any) Permit Number (if any) Re/Buyer d Section A, the transferee/buyer must complete
ppropriate State occed initied incelled o response was j was received fr g, Name of FF g the NFA appr g for Question in the Sate whe on Date (If any, By Transfere everbuyer signe	(date) Overturned (date) (date) (date) (date) (gate) (provided within 3 business days. Om NICS or the appropriate State agency on: Cancelled The Employee Completing NICS check. (Optional) Toval process on the individual who will receive the 20.) Permit Number (if any) Permit Number (if any) Re/Buyer d Section A, the transferee/buyer must complete
ppropriate State occed initied incelled oresponse was i was received fr g the NFA appr s for Question in the Sate whe on Date (If any). By Transfere ee/buyer signe n 22 and 23,)	(date) Overturned (date) (date) (date) (date) (fate) (date) (provided within 3 business days. Om NICS or the appropriate State agency on: Cancelled FL Employee Completing NICS check. (Optional) (formula)

The Firearm(s) is Not Transferred Type (See Instruction Caliber or for Question 27.) ses of Handguns Within 5 Consecutive Business Days Check if any part of this transaction is a pawn redemption. Line Number(s) From Question 24 Above: Check if this transaction is to facilitate a private part transfer. (See Instructions for Question 32.) (Must contain at least first three and last five digits of FFL unlete Questions 34-37. tion B Must Complete Questions 34-36. n this ATF Form 4473; (2) the information recorded in been completed at my licensed business premises ("licensed n the same State in which the licensed premises is located) on has been denied or cancelled, I further certify on the); (2) my verification of the identification recorded in d (3) State or local law applicable to the firearms business ose of the firearm(s) listed on this form to the person

36. Transferor's/Seller's Title 37. Date Transferred

FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINEDof a firearm is denied/cancelled by NICS, or if for any other reason the completed after a NICS cheek is initiated, the licensee must retain the 73 in his/her records for at least 5 years. Forms 4473 with respect to felivery, or transfer did not take place shall be separately retained in yn name of transferee) or chronological (by date of transferee's rother.

or/seller or the transferee/buyer discovers that an ATF Form 4473 is improperly completed after the firezarn has boen transferred, and the or or the transfere/buyer wishes to correct the omission(s) or copy the inaccurate form and make any necessary additions or e photocopy. The transferee/seller should only make changes to Dr. The transferee/seller should only make changes to Section A and adde the changes should initial and date the changes. The corrected said be attached to the original Form 4473 and retained as part of the fler's permanent records.

f Firearms: The State or Commerce Departments may require a ter to obtain a license prior to export. Warning: Any person who m without proper authorization may be fined not more than d/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section

buyer must personally complete Section A of this form and certify answers are true, correct, and complete. However, if the transferee! to read and/or write, the answers (other than the signaturer) may be unother person, excluding the transferor/seller. Two persons (other ieror/seller) must then sign as witnesses to the transferee's/buyer's gnature/certification in question 14.

> ATF E-Form 4473 (5300.9) Revised October 2016

FEREE/BUYER of the firearm and must answer "NO" to lecense may not transfer the firearm to Mr. Jones. However, if firearm with his own money to give to Mr. Black as a gift (with le thing of value provided by Mr. Black). Mr. Brown is the actual he firearm and should answer "VES" to question 11a. However, may not transfer a firearm to any person be/she knows or has believe is problisted under 18 U.S.C., 222(g), (n) or (v), person is picking up a repaired firearm(s) for another person, d to answer 11a. and may proceed to question 11a.

Generally, 18 U.S.C. 922(g) prohibits the shipment, , or possession in or affecting interstate commerce of a firearm n convicted of a felony in any Federal, State or local court, or shable by imprisonment for a term exceeding one year (this does sdemeanors punishable by imprisonment of two years or less); stice; is an unlawful user of, or addicted to, marijuana or any or narcotic drug, or any other controlled substance; has been ntal defective or has been committed to a mental institution; has the Armed Forces under dishonorable conditions; is subject to ders; convicted of a misdemeanor crime of domestic violence or Tribal law: has renounced his/her U.S. citizenship: is an alien States or an alien admitted to the United States under a urthermore, section 922(n) prohibits the shipment, eipt in or affecting interstate commerce of a firearm by one who r information for a felony in any Federal. State or local court, or ishable by imprisonment for a term exceeding one year. An accusation of a crime verified by a prosecutor

med Forces must answer "yes" to 11.b. or 11.c. if charged with an er referred to a General Court Martial, or at which the member harged "under dishonorable conditions" means separation from sulting from a dishonorable discharge or dismissal adjudged by a al. That term does not include any other discharge or separation res.

rano who has been convicted of a felony, or any other crime, for the wind in the wind in the more than one year, or who has misdemeanor crime of domestic violence, is not prohibited from a, or possessing a firearm if: (1) under the law of the jurisdiction of occurred, the person has been pardoned, the conviction has been convicted to the proposed proposed to the proposed proposed to the conviction has been in a fire office of the proposed proposed to the proposed proposed proposed in the proposed proposed in the proposed propose

lither from Justice: Any person who has fled from any State to a felony or a misdemeanor; or any person who leaves the State and in any criminal proceeding. The term also includes any nat misdemeanor or felony charges are pending against such rese the State of prosecution.

udicated as a Mental Defective: A determination by a court, or other lawful authority that a person, as a result of marked ex, or mental illness, incompetency, condition, or disease: (1) is 1 to others; or (2) lacks the mental capacity to contract or 1s. This term shall include: (1) a finding of insmity by a court of (2) those persons found incompetent to stand trial or found not ck of mental responsibility.

ntal Institution: A formal commitment of a person to a mental board, commission, or other lawful authority. The term int to a mental institution involuntarily. The term includes tal defectiveness or mental illness. It also includes commitments has for drug use. The term does not include a person in a observation or a voluntary admission to a mental institution.

er the NICS Improvement Amendments Act of 2007, a person rated as a mental defective or committed to a mental institution is not prohibited by the adjudication or commitment if

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od and profit through the repetitive purchase and resale of is not required of a person who only makes occasional sales, ases of firearms for the enhancement of a personal obby, or who sells all or part of his/her personal collection

Section B

of Firearm(s): "Other" refers to frames, receivers and are neither handguns nor long guns (rifles or shotguns), such a pistol grip that expel a shotgun shell, or National Firearms including silencers.

ar can only be made into a long gan (rifle or shotgun), it is very not a handgun or long gan. However, frames and firearms by definition, and subject to the same GCA ther firearms. See Section \$21(40)(50). Bo Section \$92(20)(1) ar a ficense to self any firearm other than a shotgun or rifle the age of 21. Since a frame or receiver for a firearm to only be made into a long gun, is a "firearm other than a cannot be transferred to anyone under the age of 21, nor can inseferred to anyone who is not a resident of the State where e place. Also, note that multiple sale forms are not or receivers of any firearms, or pistol grip shotguns, since or revolvers' under Section \$92(30)(3)).

ifying Gun Show or Event: As defined in 27 CFR w or event is a function sponsored by any national, State, or levoted to the collection, competitive use, or other sporting no organization or association that sponsors functions etion, competitive use, or other sporting use of firearms in

ntification: Before a licensee may sell or deliver a firstam it licensee must establish the identity, place of residence, and shuyer. The transferee/buyer must provide a valid shoto identification document to the transferee/buyer's must, esidence address, and date of birth. A 1 identification card issued by a State in place of a license is identification card issued by a State in place of a license is in just of a state of the country cards are not acceptable because no address, date of is shown on the cards. A combination of governmental power power of the cards. A combination of governmental power power of the cards.

mentation.

er is a member of the Armed Forces on active duty
in the State where his/her permanent duty station is located,
er's license from another State, the transferor/seller should
suyer's military identification card and official orders
her permanent duty station is located in response to question
y accept electronic PCS orders to establish residency.

plemental Documentalins: Licensees may accept a Lgoverment-issued documents to satisfy the identification rats of the law. The required valid government-issued phot net bearing the name, photograph, and date of birth of y be supplemented by another valid, government-issued the transferce's belyear's residence address. This nentation should be recorded in question 18.h., with the 14 ype of identification presented. For example, if the two States of residence and is trying to buy a handgun in vide a driver's license (phowley fais more, date of birth, and by State Y and another government-issued document (ruch from State X showing his residence address. A valid from a government website may be used as supplemental ided it contains the transferce's buyer's name and currents.

Eptions to the Nonimunigrant Alien Prohibition and cartainen: An alien admitted to the United States under a not prohibited from purchasing, receiving, or possessing a (1) is in possession of a bunding license or permit lawfully I Government, a State or local government, or an Indian nizzed by the Bureau of Indian Affairs, which is valid and omitted to the United States for lawful hunting or aporting evived a waiver from the prohibition from the Attorney of States; (4) is an official representative of a foreign secredited to the United States Government or the part on an international organization having its

ATF E-Form 4473 (5300.9) Revised October 2016 onducted if an NFA firearm has been approved for legal entity such as a corporation, and no background part of the NFA approval process on the individual who Individuals who have undergone a background check on process are listed on the approved NFA transfer

ection C

ransfer on a Different Day and Recertification: If n a different day from the date that the transferee/buyer case e must again check the photo identification of the ne of transfer

Section D

m(s) Description: These blocks must be completed nation. Firearms manufactured after 1968 by Federal 1 all be marked with a serial number. Should you egally not marked with a serial number (i.e. pre-1968);

(No Serial Number), "N/A" or "None."

s are involved in a transaction, the information aestions 24-28, must be provided for the additional cet of paper, which must be attached to this ATF Form

de, but are not limited to: pistol, revolver, rifle, and other firearms that are neither handguns nor long such as firearms having a pistol grip that expel a firearm) or NFA firearms (machinegun, silencer, hort-barreled rifle, destructive device or "any other

nases by the same transferee/buyer may not be added to ron/seller has signed and dated it. A transferee/buyer ditional firearms after the transferor/seller has signed complete a new ATF Form 4473 and undergo a new

s for the licensee's use in recording any information

box, or write "Private Party Transfer" in question 31, ng the sale or transfer of a firearm between private accordance with ATP Procedure 2013-1. This will umenting which transaction records correspond with d why there may be no corresponding A&D entries proceed because it was denide, delayed, or cancelled.

Privacy Act Information

hation is authorized under 18 U.S.C. 923(g). ation by the transferee/buyer is mandatory for the closure of the individual's Social Security number is as be used to verify the transferee-foyuver's identity

routine uses of this form see System of Records tegulatory Enforcement Records System (68 FR

perwork Reduction Act Notice

on this form is in accordance with the Paperwork The purpose of the information is to determine the e to receive and possess firearms under Federal law. t to inspection by ATF officers and is required by 18

rden associated with this collection is 30 minutes per er, depending on individual circumstances. Comments burden estimate and suggestions for reducing it orts Management Officer, IT Coordination Staff, co, Firearms and Explosives, Washington, DC 20226.

act or sponsor, and a person is not required to respond tion unless it displays a currently valid OMB control is not assured.

ATF E-Form 4473 (5300.9) Revised October 2016

OMB No. 1140-0020 U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives Firearms Transaction Record WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 Transaction Serial et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine. Number (If any) Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is ed) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink, "PLEASE PRINT" Section A - Must Be Completed Personally By Transferee/Buyer Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".) Last Name (Including suffix (e.g., Jr, Sr, II, III)) | First Name Middle Name 2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.) Number and Street Address State | ZIP Code City Place of Birth Height Weight 5. Sex Birth Date (Lbs.) Male U.S. City and State -OR- | Foreign Country Month Female Social Security Number (Optional, but will help prevent misidentification) 9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.) 10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.) Hispanic or Latino American Indian or Alaska Native Black or African American Native Hawaiian or Other Pacific Islander Not Hispanic or Latino Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.
 Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.) b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Ouestion 11.b.) c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.) d. Are you a fugitive from justice? (See Instructions for Question 11.d.) e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside. f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions g. Have you been discharged from the Armed Forces under dishonorable conditions? h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Ouestion 11.h.) i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.) 12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) United States of America (U.S.A) Other Country/Countries (Specify): 12.b. Have you ever renounced your United States citizenship? 12.c. Are you an alien illegally or unlawfully in the United States? 12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.) 12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions? 13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or 194#):

> Transferee/Buyer Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

Previous Editions Are Obsolete

Page 1 of 6

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	on A - Must Be	•			•			
1. Transferee's/Buyer's Full Name (If legal nam		itial only, reco	rd "IO" afte			ial or name	, record	"NMN".)
Last Name (Including suffix (e.g., Jr, Sr, II, III))	First Name				Middle Name			
2. Current State of Residence and Address (U.	S. Postal abbre	viations are a	cceptable. (Cannot be a p	ost office box.)		
Number and Street Address	City			Cou	nty		State	ZIP Code
Place of Birth		4. Height	5. Weight	6. Sex	7. Birth D	ate		
U.S. City and State -OR- Foreign	Country	Ft	(Lbs.)	Male	Month	Day	13	Year .
,	-	In.		Female				
Social Security Number (Optional, but will hel	p prevent misiden		9 Unique I	Personal Identi	fication Numb	er (UPIN)	if applica	ble (See
, , , , , , , , , , , , , , , , , , , ,	,	,		for Question		. (0111)	пррисс	
10.a. Ethnicity 10.b. Race (In	addition to ethn	icity, select on	e or more ra	ce in 10.b. B	oth 10.a. and 1	O.b. must b	e answei	red.)
	Indian or Alaska	_		ican American			hite	
	ilidiali of Alaska				Pacific Islande		inte	
Not Hispanic or Latino Asian			Native Hawa	man or Other	racine islande	1		
> Name		7	> DC)R				
Current Reside	nce		SS1	V				
> POB		1	IIa	iana D	040040	1		
> POB			UII	ique P	ersona	l I		
Height			Ide	ntifica	tion N	umb	er	
		1						
Weight			Etn	nicity				
> Sex			Rac	re				

OMB No. 1140-0020

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq. are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.								ror's/Sell action Ser ber (If an	rial
Read the Notices, Instructions, and Del includes business temporarily conducted located) unless the transaction qualifies	d from a qualifying gun sh s under 18 U.S.C. 922(c).	ow or event in th All entries must	he same State be handwrit	<i>in which th</i> ten in ink.	e licensed pren PLEASE PR	nises is	es"		
	Section A - Must Be						•		
Transferee's/Buyer's Full Name (If Last Name (Including suffix (e.g., Jr, S.			rd "IO" afte	r the initial.	If no middle Middle Na		name, record	"NMN".)
 Current State of Residence and Ad Number and Street Address 	ddress (U.S. Postal abbre	eviations are a	cceptable. (post office b	ox.)	State	ZIP Co	ode
B. Place of Birth U.S. City and State -OR-	Foreign Country	4. Height Ft In	5. Weight (Lbs.)	6. Sex Male			Day	Year	
 Social Security Number (Optional, 	but will help prevent miside	ntification)	9. Unique I Instructions		ntification Nu n 9.)	mber (UI	PIN) if applic	able (See	
Hispanic or Latino Not Hispanic or Latino	Race (In addition to ethi American Indian or Alaski Asian	a Native I	Black or Afri Native Hawa	can Americ	an r Pacific Islar		White		
 Answer the following questions by Are you the actual transferee/buyer are acquiring the firearm(s) on b the firearm(s) to you. Exception: and may proceed to question 11.b. 	r of the firearm(s) listed o behalf of another person. If you are picking up a r	n this form? W If you are no epaired firearn	arning: You	u are not th transferee	e actual tran buyer, the lic	ensee car	nnot transfe		No
Are you under indictment or informmore than one year? (See Instruction	mation in any court for a		ther crime fo	or which the	judge could i	mprison	you for		
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)									
d. Are you a fugitive from justice? (See Instructions for Question 11.d.)									
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The user possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.							r 🗆		
Have you ever been adjudicated as for Question 11.f.)	s a mental defective OR h	ave you ever be	een committe	ed to a ment	al institution?	(See Ins	tructions		
. Have you been discharged from the	ne Armed Forces under di	shonorable co	nditions?						
Are you subject to a court order resuch partner? (See Instructions for		ing, stalking, or	r threatening	your child	or an intimate	partner o	r child of		
. Have you ever been convicted in a		or crime of don	nestic violen	ce? (See In.	structions for	Question	11.i.)		
2.a. Country of Citizenship: (Check/ United States of America (U.S.		licable. Nation ry/Countries (Sp		nited States	may check U.	S.A.)			
2.b. Have you ever renounced you	our United States citizensh	ip?						Yes	No
2.c. Are you an alien illegally or								ᆂ	H
2.d.1. Are you an alien who has bee 2.d.2. If "yes", do you fall within a	en admitted to the United	States under a		nt visa? (See	Instructions	for Quest	ion 12.d.)		
13. If you are an alien, record your U.S.								- _	

to the Cosolete Transferee/Buyer Continue to Next Page AIF Form 4473 (5300.9) et of 6 STAPLE IF PAGES BECOME SEPARATED Revised October 2016

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Answering No to question 11(a) automatic denial

Answering Yes to questions 11(b) – 12(c) automatic denial

11.	Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.	Yes	No				
a.	Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)						
b.	Are you under indictment or information in any court for a felony , or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)						
c.	Have you ever been convicted in any court of a felony , or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)						
d.	Are you a fugitive from justice? (See Instructions for Question 11.d.)						
e.	e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.						
f.	Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)						
g.	Have you been discharged from the Armed Forces under dishonorable conditions?						
h.	Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)						
i.	Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)						
12.	a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) United States of America (U.S.A) Other Country/Countries (Specify):						
		Yes	No				
12.	b. Have you ever renounced your United States citizenship?						
12.	c. Are you an alien illegally or unlawfully in the United States?						
12.	d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)						
12.	d.2. If "yes", do you fall within any of the exceptions stated in the instructions?						

13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or 194#):

4473

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.a undor 12.b. through 12.c. is probablied from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is probablied from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

14. Transferee** (Filtwer's Susantine)

Section B - Must Be Comple						
16. Type of firearm(s) to be transferred (check or mark all that apply):	17. If transfer is at a qualifyi	ing gun show or event:				
Handgun Long Gun Other Firearm (frame, receiver, etc. See Instructions for Question 16.)	Name of Function:					
shotguns)	City, State:					
18.a.Identification (e.g., Virginia Driver's license (VA DL) or other valid gover						
Issuing Authority and Type of Identification Number on Identification		xpiration Date of Identifica Ionth Day	tion (if any) Year			
		londi Day	1cui			
18.b. Supplemental Government Issued Documentation (if identification documentation 18.b.)	nent does not show current res	sidence address) (See Instr	uctions for			
18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer documentation showing the exception to the prohibition and attach a copy						
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer	Of The Firearm(s) (See Inst	tructions for Questions 19,	20 and 21.)			
19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:	19.b. The NICS or State tran					
Month Day Year						
19.c. The response initially (first) provided by NICS or the appropriate State agency was:	19.d. The following response appropriate State agence	ey:				
Proceed Delayed The firearm(s) may be transferred on	=	(date) (date)	Overturned			
Cancelled if State law permits (optional)]		(date)				
	No response was prov	ided within 3 business days				
19.e. (Complete if applicable.) After the firearm was transferred, the following (date).	response was received from	11 1	ate agency on:			
19.f. The name and Brady identification number of the NICS examiner. (Opti		nployee Completing NICS	check (Ontional)			
15.1. The haire and blady rechimenton number of the 1405 examiner. (opti	January 1998 Table 1997	-projec compressing rives	enten (opnomi)			
(name) (number)						
 No NICS check was required because a background check was comp the NFA firearm(s), as reflected on the approved NFA application. (3) 			who will receive			
21. No NICS check was required because the transferee/buyer has a val	d permit from the State where	e the transfer is to take plac	e, which qualifies			
as an exemption to NICS. (See Instructions for Question 21.) Issuing State and Permit Type Date of Issuance (if any)	Expiration Date (if any)	Permit Number (if	(any)			
7,5	,					
Section C - Must Be Completed P						
If the transfer of the firearm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). (See Instructions		ection A, the transferee/buy	yer must complete			
I certify that my answers to the questions in Section A of this form are still						
22. Transferee's/Buyer's Signature		23. Recertifica	tion Date			

Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300.9)

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

14. Transferee's/Buyer's Signature

15. Certification Date

- ➤ Buyers above signature certifies they understand the questions they have answered, and that all questions were answered honestly and to the best of their knowledge
- ➤ Signature certifies their understanding that providing false information is a crime punishable as a felony under Federal law, and may also violate State and/or local law

4473



and hose has deep very specific program of the control of the cont

SERICA temporaries and second second

Question 13, U.S. desauration and administration and administration and the control of Security documents are Cord (ARR or USC SA), (948). Additional information U.S. cattern or U.S. national if

Question 14. Under 18 U in the business of dealing the business of dealing in dealing in facuum as a s Page 5 of 6.

headquarters in the United States; (5) is an official representative or in a new term of memory and the preventant who is not such as for the memory and the state of the stat

Question 19. NICS BACKGRON'D CHECKS: 18 U.S.C. 922(1) require that prior to issueliering any features in an understand priorate, informed superior, including the control of the control o

wish biomicer abould NOT context NIX's and must may the transaction of filled in summability on the billow. So that the insudancies begins in probability dismost experior processing and the probability of the transferred by the probability of the summability. The Localization I. Lie, "the transferred by the summability of the sum

And the cost of the NNC's in command the decrease must record in specialists of superalists. The cost of the cost of the NNC's in which the NNC's of the will be the content of the the state of the NNC's of the NNC

NICS Response 11/30%; procedus, "proceed response, the transaction and proceed a SINIXE," proceedings of the process of the pr

Queriene 23 and 23. NICS SIXEFIRMS. A NICS clear, and assume that the second of the composition of the compo

Page 6 of 6

A NICS check must be conducted if an NIA firearm has been apparend for transception to a trust, set to a logal entity such as a cooperation, and no booksymoid check was conducted as part of the NIA approved processes on the individual who will receive the force of the NIA approved processes as the individual who will receive the force of the NIA approved to the processes and the individual who have undergone a background check during the NIA the force of the NIA approved to the approved NIA unaffer form.

Section C

turnium: 22 and 23. Transfer on a Different Day and Revertification: it is smalles takes place on a different day from the date that the transferen-bayes segment cotton A, the licensee must again check the photos identification of the transfered

Section D

Question 24-28. Flexarm(x) Description: These blocks must be complexed with the flexarm(x) information. Firearm manufactured after 1968 by Federal forestern licensees should all be marked with a serial number. Should you acquire a forestern that is ligally not marked with a serial number (i.e. pre-1968), you may answer that is ligally not marked with a serial number (i.e. pre-1968), you may answer

If more than four forearess are involved in a transaction, the information required by Section D. questions 24-28, must be provided for the additional firearess on a separate Section D. questions 24-28, must be provided for the ATTI Form 4473.

Types of ferceron includes, but are not limited to print, revolves, rife, sheiges, procedure, frame and other fragment that are nother handgame not long again rifles, and the procedure of or sheigens), may be a featured having a protein grap that expel a sheigen shell (print, print frame) on NFA fragment (reachine), a skencer, there-harried sheigen, sherngrap fragment on NFA fragment (reachine), and show the print of the

Additional firearms purchases by the same transferee bayes may ret or induce or interm after the transferor incline has signed and dated it. A transferee buyer who wishes so acquire additional freatures after the transferor incline has signed and dated the form an acquire additional features. ATT is considered a new NICS check.

Question 31. This item is for the liversee's use in recording any

Question 32. Check this hor, or write "Frenza Party International Conference on the Control of t

Privacy Act Information

Solicitation of this information is authorized under \$8.U.S.C. 92/28). Discussion this information by the transferre/buyer is manulatory for the transfer of a frequent Discussion of the individuals's Social Security insider is voluntary. The number is one under twitty the transferre's bruyer's identity.

For information about the routine uses of this form see System of records sushution ATF-008, Regulatory Enforcement Records System (68 FR, 163558, James

perwork Reduction Act Notice

emation required on this form is in accordance with the Paperwork Robinson of 1995. The purpose of the information is to determine the eligibility of deleve in receive and associations makes the delevation of the information in the receive and association and in required by 18 U.S.C. 922 and 923.

The estimated average business associated with this collection is 300 minutes per respondent or recordingne, depending on individual curvamitations. Comments about the necessary of this bender constitute and suggestions for subusing is should be detected to Reports Management Officer, IT Coordination Staff, Bareau of Alcohol, detected to Reports Management Officer, 17 Coordination Staff, Bareau of Alcohol, 100 Management (100 Management Officer) (100 Management Offic

An agency may not conduct or sponsor, and a person is not required control transfer, collection of information unless it displays a currently valid OMH control transfer.

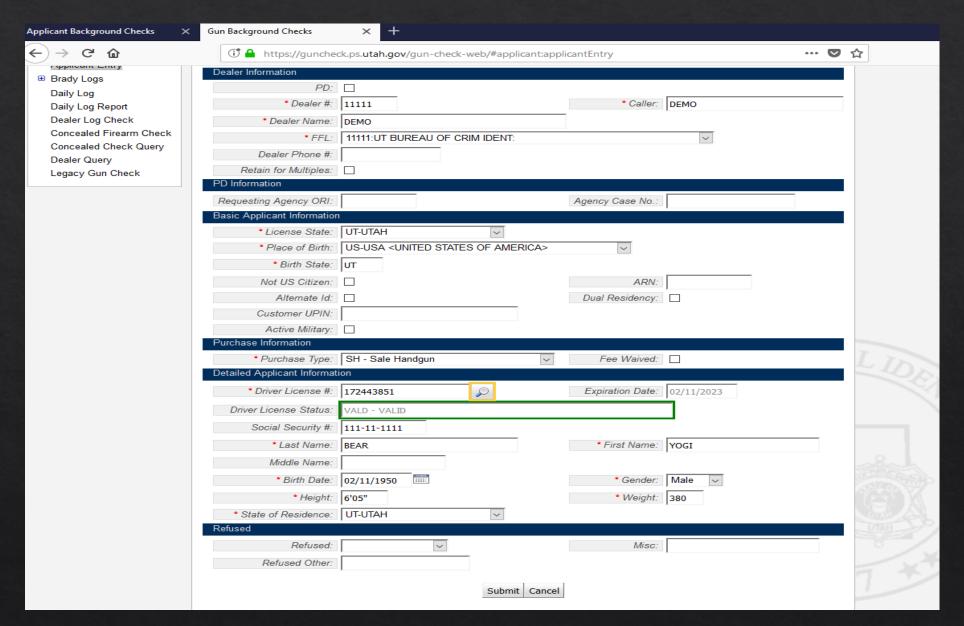
Revised October 2018

More in depth for each sub question for #11

>Further assistance?

Contact ATF

BRADY CHECK

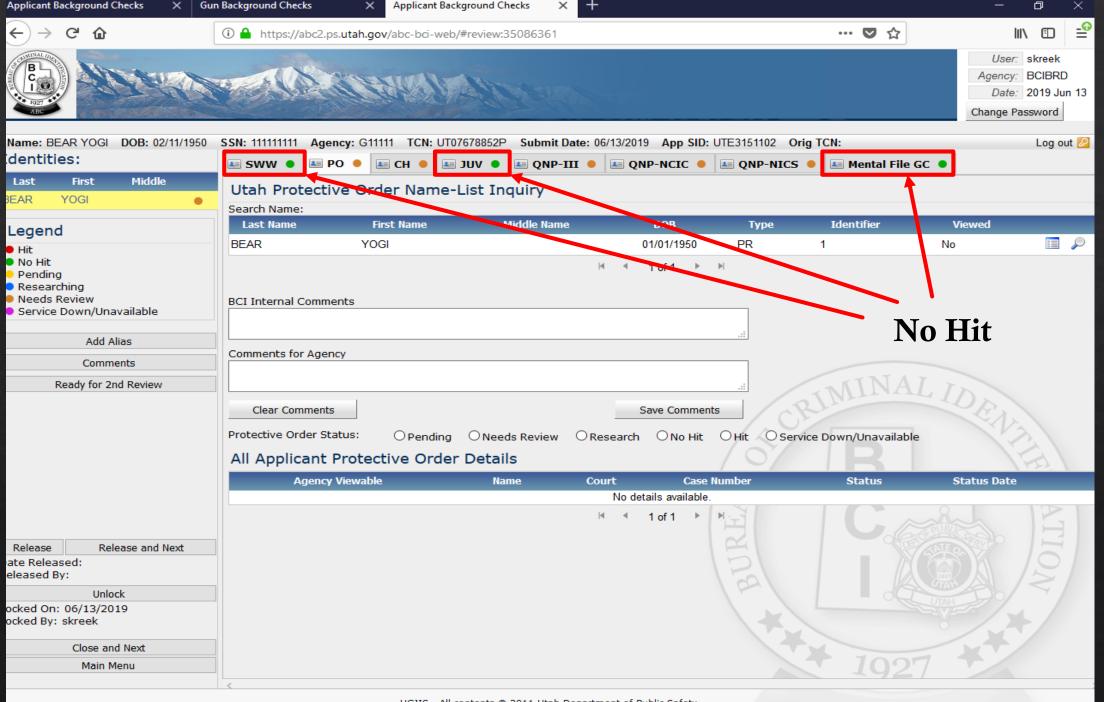


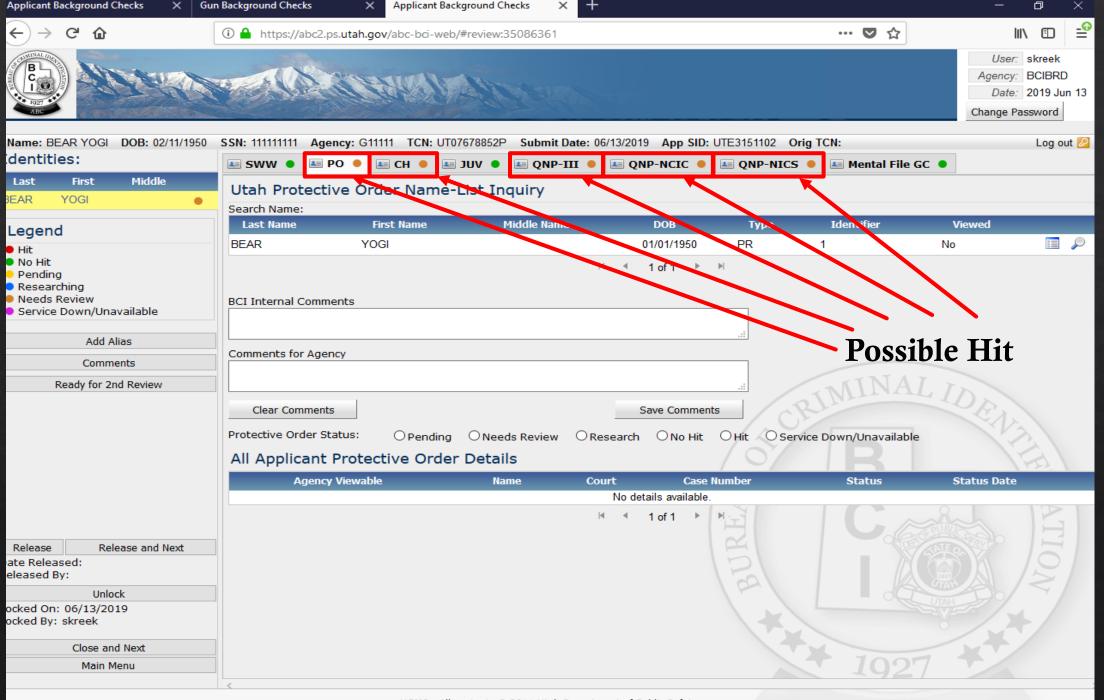
BRADY CHECK

Detailed Applicant Informat	ion			
* Driver License #:	172443851		Expiration Date:	02/11/2023
Driver License Status:	VALD - VALID			
Social Security #:	111-11-1111			
* Last Name:	BEAR		* First Name:	YOGI
Middle Name:				
* Birth Date:	02/11/1950		* Gender:	Male ▼
* Height:	6'05"		* Weight:	380
* State of Residence:	UT-UTAH	▼		
Refused				
Refused:	•		Misc:	
Refused Other:				

Possible Brady Log Matches

	,	
Log Type ↑	Name	Birth Date
Prohibited	BEAR, YOGI	02/11/1950
	Proceed Clear	





Why me?

- > Common name
- ➤ No disposition
- > Contact agencies
 - No statute
 - Still valid
 - Police reports
 - Severity
 - Prohibitor from another state

PROHIBITORS

FEDERAL

922(g)

- 1–(federal and state) Felony(ies) (federal) Disqualifying Misdemeanors 2+1
- 2 (federal) Warrants (judge, court, case#)
- 3 (federal and state) Drug Conviction (within the last 12 mths) or + drug test during probation
- a. Drug Charges: The person MUST have a current drug charge, within the last 12 Mths,
- with or without a dispo. HOWEVER, if this current charge has a DISMISSED dispo it CANNOT be used as a charge to show habitual charges.

i.e. DOA between 08/03/06 to 08/03/07: **Misdemeanor** Possession of Marijuana with either a conviction or no dispo. If there are any other drug charges in the last 4yrs with either a conviction or no dispo. **DENIED**

DOA between 08/03/06 to 08/03/07: **Misdemeanor** Possession of Marijuana with a dispo of DISMISSED. The 4yrs prior drug charges are not useable for the purpose to deny. **APPROVED**

- 4 (federal and state) Adjudicated by the courts to be Mental Defective
- 5 (federal and state) Illegal Alien
- 6 (federal and state) Dishonorable discharged from the Military
- 7 (federal and state) Renounced US Citizenship
- 8 (federal and state) Protective Orders
- 9 (federal) Domestic Violence
- (n) (federal) Pending Felony(ies) this includes PIA cases

NRI - entry from other states

STATE

(state) Class A Misdemeanor with weapons restriction

(state) Juvenile Felony(ies) that have a violent nature – disqualified for 10 yrs that are non-violent in nature – disqualified for 7 yrs

Civil Stalking Order

Reasons for Research

No disposition on criminal history for any of the above disqualifiers
Assault or similar conviction – needing additional information
Anything that shows a record, which you are not sure of the category or disposition
Anything that does not have clear identification of the respondent, arrestee, etc

Prohibitors

- > Convicted/Pending felonies
- > Warrants
- > Drug conviction
- > Protective orders
- > Domestic violence
- > Juvenile felonies
- > Mental



Denial

RESEARCH	/ PROHIBITED	FED		STATE
			NRI	#
DATE 06/13/2019		DATE SENT TO NICS		INITIALS
NAME BEAR, YOGI			DO	0B 02/11/1950
BUYER ADDRESS JELLY				
DL / ID # 172443851	POB UT			_
SSN # 111-11-1111	CIT		REASON D\	/
SID # 321323	FBI # 9007700		PURCHASE TYPE	SH
SEARCH REQUEST #	90185747			
FFL# 11111 DEA			JUV	ENILE FELONY
DEALER ADDRESS TAY	LORSVILLE		Case #	
CALLER NAME DEMO			Court Date	
PHONE # 801-999-999	99		Offense	
ARI #	IAQ RESULT			
		OTHER INFO		
			(ame in 017/19 @12:56
MCDV Case: Yes	No MCDV Stat	tute / Judgment:	Available	Not Available
APPEALED	WARRANT PICK-UP	ARRESTI	NG AGENCY	
APPROVED	PRO	OHIBITED	c	OVERTURNED
	FED	STATE		
ATE DEALER CALLED	INFO	O GIVEN TO		BY
ote Results on Research Paper				
Il Sockets Reviewed	Enter/Removed Denials	in Brady Logs	Entered into	o Work File
ntered into Proof Letter Log				
ealer Fax #				

- Reason
- > Purchase type
- > Time/Location
- > Inquired



Research

RESEARCH PROHIBITED FED	STATE
DATE 06/13/2019 DATE SENT TO NICS _ NAME BEAR, YOG!	
BUYER ADDRESS JELLYSTONE FOREST	DOB 02/11/1950
DL/ID# 172443851 POB UT SSN# 111-11-1111 CIT	REASON
SID # 321323 FBI # 9007700 SEARCH REQUEST # 690185747	PURCHASE TYPE SH
# 11111 DEALER NAME DEMO DEALER ADDRESS TAYLORSVILLE CALLER NAME DEMO PHONE # 801-999-9999 ARI # IAQ RESULT	Case # Court Date Offense
OTHER INFO	
victim does not meet, non C	
MCDV Case: Yes No MCDV Statute / Judgment:	
APPEALED WARRANT PICK-UP ARRESTIN APPROVED PROHIBITED FED STATE	G AGENCY OVERTURNED
DATE DEALER CALLED (0-13-19 INFO GIVEN TO	
Note Results on Research Paper Change Status in Gun-checks	
All Sockets Reviewed Enter/Removed Denials in Brady Logs ntered into Proof Letter Log Letters: Overturned Reason for D	
ealer Fax #	

- Reason
- > Purchase type
- > Time/Location
- > Outcome



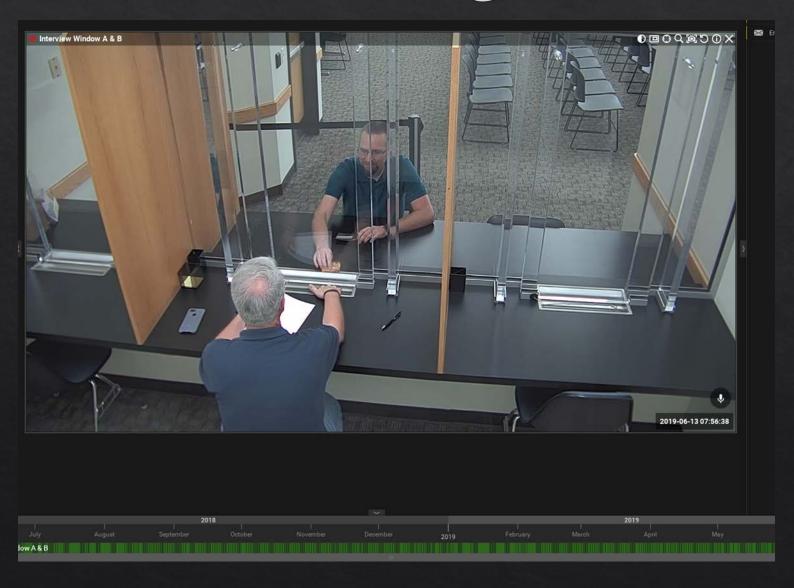
Research Denial

RESEARCH / PROHIBITED FED	STATE
	NRI #
DATE 06/13/2019 DATE SENT TO NICS	INITIALS
NAME BEAR, YOGI	DOB 02/11/1950
BUYER ADDRESS JELLYSTONE FOREST	
DL/ID# 172443851 POB UT	
SSN # 111-11-1111 CIT	REASON
SID# 321323 FBI# 9007700	PURCHASE TYPE SH
SEARCH REQUEST # 690185747	
FFL# 11111 DEALER NAME DEMO	JUVENILE FELONY
DEALER ADDRESS TAYLORSVILLE	Case #
CALLER NAME DEMO	Court Date
PHONE # 801-999-9999	Offense
ARI # IAQ RESULT	
OTHER INFO	12:37
7-30-18 76-5-10	9 (3)(A)
Victim was his son 4th District Court-Spanish Fork MCDV Case: Yes No MCDV Statute / Judgment:	#123456789 MB Available Not Available
APPEALED WARRANT PICK-UP ARRESTIN	G AGENCY
APPROVED	OVERTURNED
FED STATE	
DATE DEALER CALLED 6-3-19 INFO GIVEN TO	
Note Results on Research Paper Change Status in Gun-checks	
All Sockets Reviewed Enter/Removed Cenials in Brady Logs	
Entered into Proof Letter Log Letters: Overturned Reason for D	Denial Proof
Dealer Fax #	

- Reason
- > Purchase type
- > Time/Location
- > Outcome



Investigative Resources

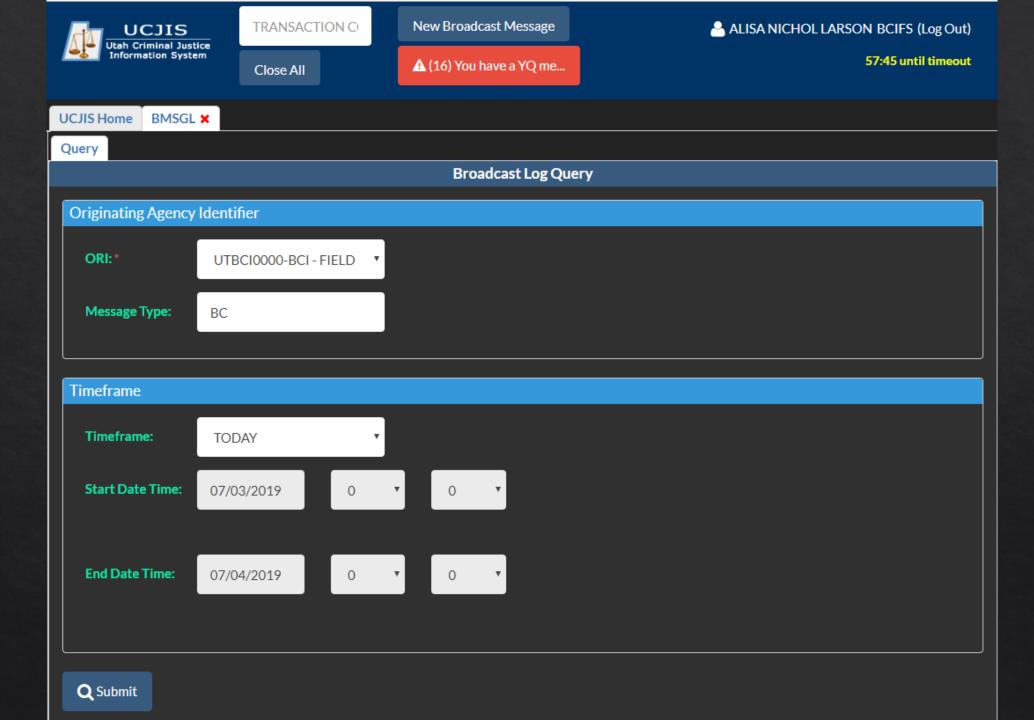


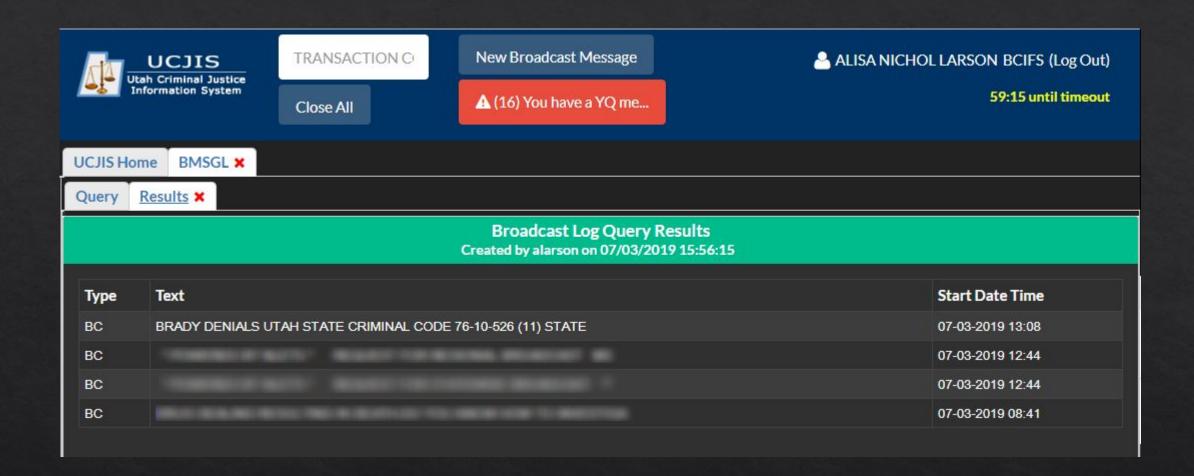
- > Video/Audio
 - jacobdunn@utah.gov

- ➤ Denial sheet/packet
 - bcibrady@utah.gov
 - (801) 965-4867

UCA 76-10-526

"... Bureau shall inform the law enforcement agency in the jurisdiction where the individual resides"





Each line is a hyperlink

Close All

▲ (20) You have a YQ me..

59:42 until timeout

UCJIS Home BMSGL X

Query Results x BC-33354491 x

Message Details

UTBCI0000

Message Type:

Message:

BRADY DENIALS

UTAH STATE CRIMINAL CODE 76-10-526 (11) STATES:

IF THE CRIMINAL HISTORY BACKGROUND CHECK DISCLOSES INFORMATION INDICATING THAT THE PERSON ATTEMPTING TO PURCHASE THE FIREARM IS PROHIBITED FROM PURCHASING, POSSESSING, OR TRANSFERRING A FIREARM, THE DIVISION SHALL INFORM THE LAW ENFORCEMENT AGENCY IN THE JURISDICTION WHERE THE PERSON RESIDES.

ALL PERSONS LISTED BELOW HAVE ATTEMPTED TO PURCHASE FIREARMS THIS DATE AND HAVE BEEN DENIED BY THE UTAH STATE BUREAU OF CRIMINAL IDENTIFICATION. PLEASE CHECK THE LIST FOR RESIDENTS OF YOUR JURISDICTION. DENIALS ARE OCCASIONALLY APPEALED AND OVERTURNED. INTERESTED PARTIES SHOULD CONFIRM DENIAL STATUS. FV= FELONY VIOLENT FNV= FELONY NON VIOLENT JFV= JUVENILE FELONY VIOLENT JFNV= JUVENILE FELONY NON VIOLENT ADV= STATE ASSAULT DOMESTIC VIOLENCE FDV= FEDERAL DOMESTIC VIOLENCE PO= PROTECTIVE ORDER FUG= FUGITIVE FROM JUSTICE DR= DRUG MEN= MENTAL FILE ILL= ILLEGAL ALIEN RCIT= RENOUNCED CITIZENSHIP DD= DISHONORABLE DISCHARGE PFV= PENDING FELONY VIOLENT PFNV= PENDING FELONY NON VIOLENT PROB= NO FIREARMS ON PROBATION STATE-XX= STATE PROHIBITOR FROM OTHER STATE WEAP= UTAH PROTECTIVE ORDER/CIVIL STALKING WITH WEAPON CONDITIONS.

7/3/2019

AUTHORIZATION: DIVISION DIRECTOR CAPTAIN GREG WILLMORE LOCATION: SLC

OPERATOR/ZGREEN AGENCY/UTBCI0000 WED JUL 03 13:01:21 GMT-600 2019

Start Date:

07-03-2019 13:08

7/3/2019 Smith, John 7/2/2019 01/01/1990 6/12/2019 11:42 1007 Mountain Drive Gotham City FDV Joker Guns **Gotham City** 265699999

AUTHORIZATION: DIVISION DIRECTOR CAPTAIN GREG WILLMORE

OPERATOR/ZGREEN AGENCY/UTBCI0000 WED JUL 03 13:01:21 GMT-600 2019

LOCATION: SLC

Date & time prohibited/purchased

- > Buyers name, DOB, & address
- > Reason denied
- > Dealer information

UCA 76-10-526

Report before August 1st

- Number of cases involving an individual who is prohibited... as a result of a conviction for an offense involving domestic violence..."
 - Number investigated
 - Number resulting in a criminal charge

UCA 76-10-526

Bureau of Criminal Identification

- > Compile information
- > Redact identifying information
- November 1st
 - Law Enforcement & Criminal Justice

Interim Committee



GUN DENIAL CASES

Why Pursue Gun Denial Cases?

- > Enforce the laws already enacted
- > Proactive law enforcement
- > Threat management
 - Public safety
 - Protect victims
 - Prevent shootings
 - Accountablilty

Month	Denials	Warrant	Felony	State	DV	Drug	Mental	ILL	PO	PF	DD
2017-							Incomp				
2018											
Jun	75	1	21	15	4	13	2	2	10	7	0
May	115	4	22	25	11	27	3	4	11	8	0
Apr	108	4	26	19	6	23	11	1	15	3	0
Mar	154	7	28	39	8	19	6	8	22	7	0
Feb	148	5	36	41	5	20	9	2	24	6	0
Jan	100	3	17	27	7	16	5	3	14	8	0
Dec	184	8	27	45	13	32	8	4	33	14	0
Nov	163	6	39	41	7	29	10	4	12	15	0
Oct	144	1	23	44	12	28	4	1	24	7	0
Sep	132	1	29	37	16	20	4	2	11	12	0
Aug	130	4	30	25	6	20	14	2	24	5	0
July	83	3	17	20	5	15	3	5	8	6	1
Total	1536	47	325	378	100	262	79	38	208	98	1

Triage

- > Violent offenses
- > Vulnerable victims
 - · Protective orders and domestic violence
- > Repeat offenders
- > Multiple denials
- > Timeliness

UCA 76-10-503 (9)(B)

A person may not provide to a dealer or other person any information that the person knows to be materially false information with intent to deceive the dealer or other person about the legality of a sale, transfer or other disposition of a firearm or dangerous weapon

- (c) "Materially false information" means information that portrays an illegal transaction as legal or a legal transaction as illegal
 - (d) A person who violates this Subsection (9) is guilty of:
 - (i) A third degree felony if the transaction involved a firearm

UCA 76-10-503 (2)

A Category I restricted person who intentionally or knowingly agrees, consents, offers, or arranges to purchase, transfer, possess, use...

(a) Any firearm is guilty of a second degree felony

Evidence

- > Copy of the signed 4473
- > Video of transaction
 - Obtain copy ASAP
- > BCI Brady denial packet

- > Court records
 - Certified copies of convictions
- > Interviews
 - Clerk that sold firearm
 - Subject that attempted purchase

Considerations

- Utilize victim advocates
 - Notification to victim on open cases
- Pawn shop purchases/ buying their gun back
 - Felon in possession

- Straw purchases
- > Jurisdiction
 - Location of Crime vs.
 Residence of Restricted Person
- > Educating prosecution



HEBER, Utah — A man faces several felony charges after police said he was detained by a manager and two employees while attempting to load a stolen firearm inside a sporting goods store

Jose Sosa



Brady denial on 6/23/2018

Contact Information

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Questions?