## TAC Responsibilities

TAC Conference 2019



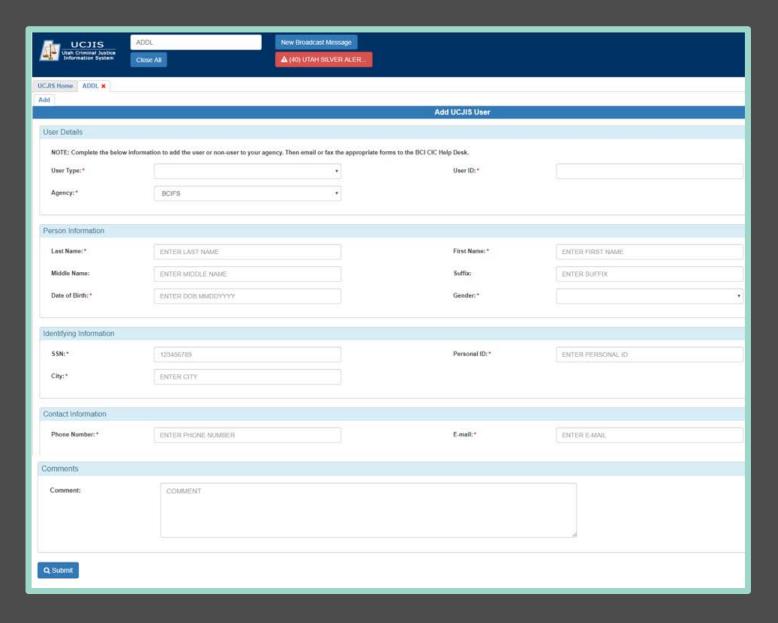


## New Hire

- Name and DOB search
  - Optional
  - MIL transaction
- ADD Transaction
  - What type of user are they?
  - Login ID



## Step One- ADD Transaction





## Before Granting Access



### UCJIS USER SECURITY AGREEMENT

Per Utah Administrative Rule R722-900, a USER means a person working for or with an agency who has direct access to UCJIS or a NON-ACCESS USER who obtains UCJIS records from a person who has direct access.

### UCJIS USER SECURITY STATEMENT

<u>Dissemination</u>, <u>Privacy</u>, <u>and Security of Information</u>: All of the information acquired from any file accessed in UCJIS, which includes Palantir and the Public Safety Alerts and Notifications System (PSAANS), is governed by regulations and policies of the FBI and the State of Utah. Dissemination, along with the privacy and security of any information acquired from any file in UCJIS, is for criminal justice purposes only. This information should be used for criminal justice investigations and criminal justice employment only. Printed copies must be destroyed by shredding or burning when no longer needed. Per the Administrative Office of the Courts, local agencies may NOT generate a hard copy of a juvenile's rap sheet or record summary.

Misuse of UCJIS information: Violation of dissemination, privacy, or security regulations may result in civil and/or criminal prosecution of the person(s) involved and loss of state computer access for the user and his/her agency. BCI maintains an automated dissemination log of all UCJIS file transactions to help ensure this information is being accessed for authorized purposes. Any unauthorized request or receipt of this information could be considered misuse. Utah Code Annotated 53-10-108(12) (a) states:

(12) (a) It is a class B misdemeanor for a person to knowingly or intentionally access, use, disclose, or disseminate a record created, maintained, or to which access is granted by BCI or any information contained in a record created, maintained, or to which access is granted by BCI for a purpose prohibited or not permitted by statute, rule, regulation, or policy of a governmental entity.

<u>User ID:</u> Each UCJIS user must have his/her own user ID that must never be shared even for training purposes. Each user will be held accountable for each transaction in UCJIS under his/her user ID.

Criminal Background Checks: All UCJIS users, including those who are POST certified or who have a Utah Concealed Firearm Permit (CFP), must undergo a criminal background check prior to having direct access to UCJIS information or receiving UCJIS information from a user with direct access. The criminal background check contains both a name and fingerprint search of UCJIS files and the FBI RAP Back System. The FBI RAP Back System retains prints for the purpose of being searched by future submissions including latent fingerprint submissions. The existence of a criminal conviction, outstanding warrant, or a new criminal arrest may result in loss of access to UCJIS or UCJIS information.

### UCJIS USER SECURITY AGREEMENT

I,understand that I i	must abide by this agreement to	, have read and accepted the UCJIS User Security Statemen have access to any information acquired through UCJIS.	ıt ar
Signature:		User ID:	
Date:	Agency ORI:	Agency Name:	
	This form does not n	or to accessing UCJIS or receiving any UCJIS information. eed to be signed for biennial re-certification. rvices by e-mail at bcifs@utah.gov or fax to 801-969-7065 per U	tah

Administrative Rule R722-900-4.

Ranisad March 2017

Submit:

- Fingerprints
- User Setup Form
- User Security Agreement
- Fingerprint background check *COMPLETED*

## User Setup Form

- Filled out by TAC
- Submitted to UCJIS Help Desk
- Links fingerprints to user
- Requests access for user



## User Setup Form



Utah Department of Public Safety - TAC

Welcome!

TAC

### Forms

\*The fingerprint submission and login activation forms have been combined and are now called the User Setup Form.\*

ORI Validation 2019

Criminal Justice Agency Agreement 2019 (formerly the Agency User Agreement)

User Security Agreement

User Training and Testing Agreement

Non-User Security Agreement

Authorization for Livescan

<u>User Setup Form (physical card)</u>

<u>User Setup Form (Livescan)</u>

<u>Dissemination Log Request Form</u>

NCIC Hit Confirmation Agreement

User Type Change

User Deletion

## Ten-print Card

Submitted to UCJIS
Help Desk through
mail or hand delivered





### **User Setup Form**

3888 W 5400 S - Salt Lake City, Utah - 84129

Phone: 801-965-4446 Email: <a href="mailto:DPSCIC@UTAH.GOV">DPSCIC@UTAH.GOV</a>



1921						
	his form must be	Date of request				
MAILED to BCI in the same envelope as the fingerprint card(s).  All requests must include one set of fingerprints on the blue applicant card. Incomplete forms and/or fingerprint cards will be returned without being processed.		Agency				
		TAC's Name				
		TAC's Phone				
		TAC's Email				
	User Number (	One			User Number Two	
User's name			User	's name		
AKA's			AKA	i's		
Login ID			Logi	in ID		
SSN and DOB			SSN	and DOB		
User Type:	User		Use	er Type:	User	
	Non-Access User	Non-User			Non-Access User  Non-User	
If user t	type is <u>USER</u> : Select	Option 1, 2, or 3.	Access 1	Not neede	d for Non-Users or Non-Access Users	
	Default Agency Acces granted access to all file that				Default Agency Access r is granted access to all file that agency accesses	
	Other Access Request anted access to the indicate	ed types of access.			Other Access Request granted access to the indicated types of access.	
NCIC: □ IF □ II □ None				□ 11 □ None		
III: □ 2F □ None  NLETS: □ MF □ MLIM □ None			ETS:   MF	□ None □ MLIM □ None		
Local: LF [	LLIM		Loca	al: LF	LLIM	
☐ Option 3. S	Special Instructions			Option 3.	Special Instructions	
		Fingerprin	ts (Fill o	out for all 1	user types)	
User Number	One		Us	er Numbe	r Two	
Submitted by m	ail (with this form)		Sul	Submitted by mail (with this form)		
Retained prints	on file		Ret	tained print	s on file	

## Livescan

## Prints submitted through Livescan



User Setup Form
For incoming Employees in an agency using UCJIS
ONLY USE THIS FORM IF YOU'RE SUBMITTING LIVESCAN
Enter user/non-user/non-access user into UCJIS using the ADD transaction.     Fill out this form and BCI CIC will process your request.     Fingerprints required for ALL User types!
TAC Email Address in Email address field.
* Required
Email address *
Your email
Agency Name *
Your answer
Requesting Agency ORI *
Your answer
TAC's Name *
Your answer
User's Name *
Your answer

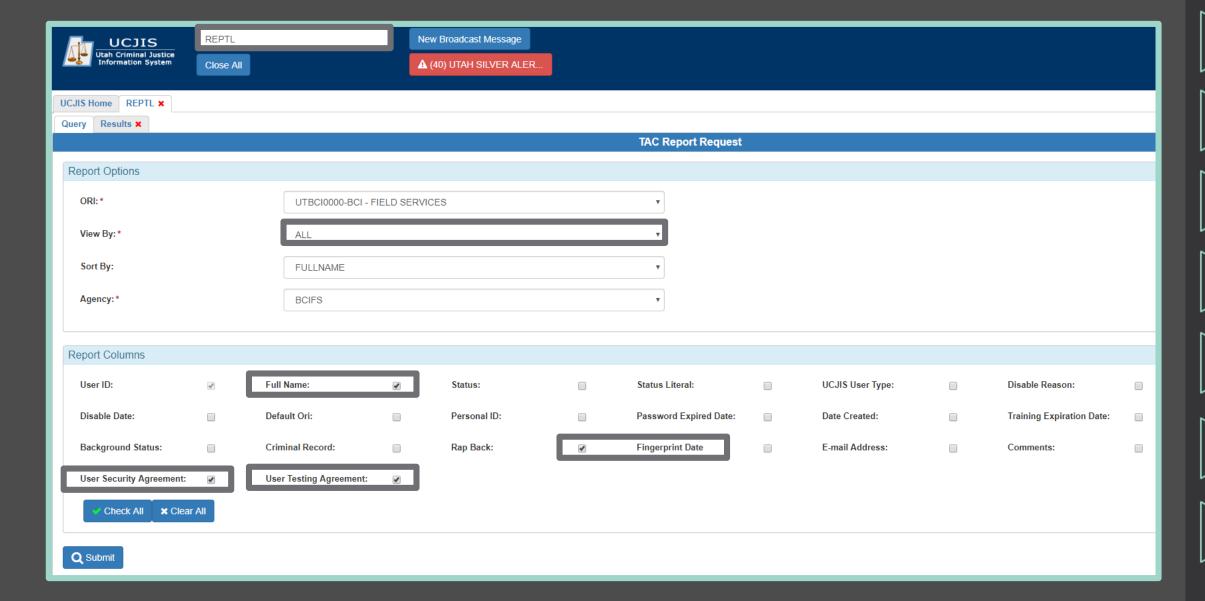


## True or False

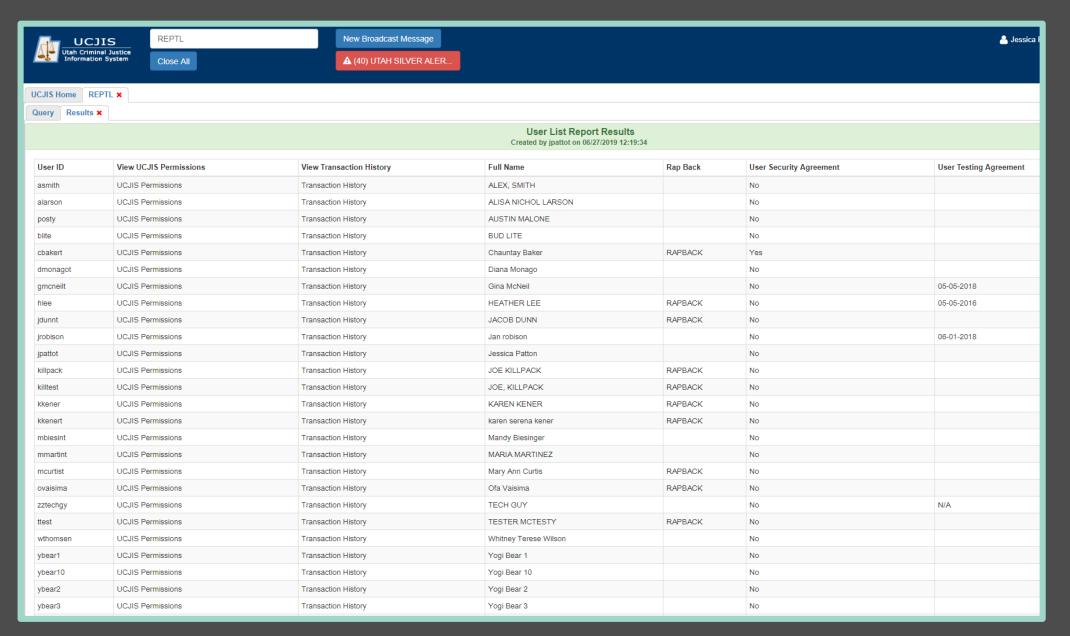
When submitting new prints, you do NOT have to submit the fingerprints with a User Setup form.



## REPT



## REPT

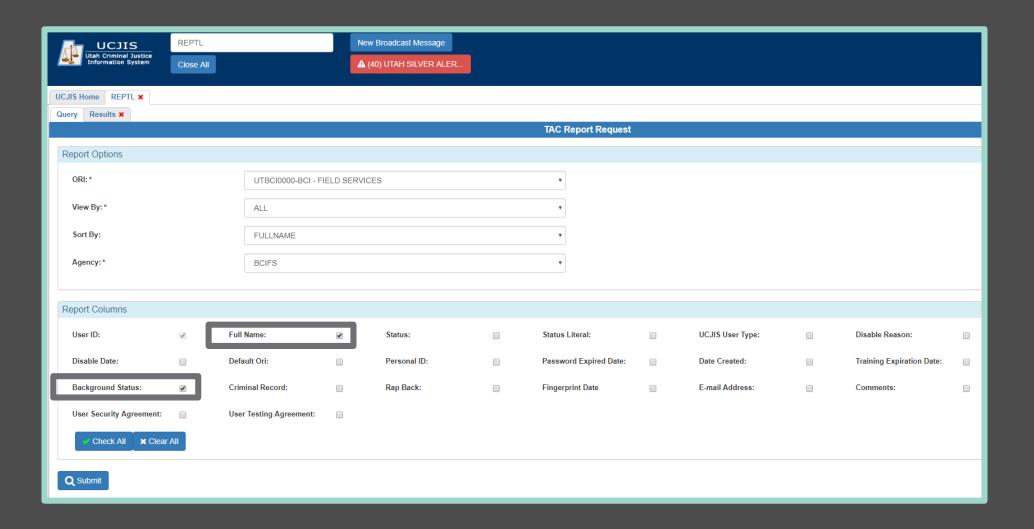


## True of False:

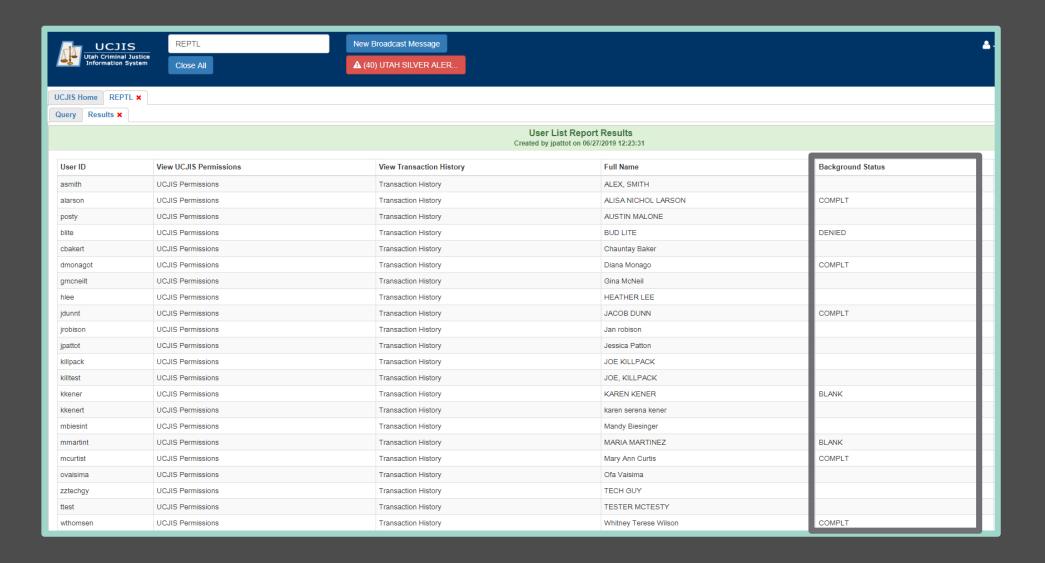
The TAC is responsible for running the REPT often to ensure accuracy and completeness.

True

# How do I know if my user has been approved?



## Background Status



### True or False

CERT is the transaction to update the date that the individual was trained and tested.

True

## Training and Testing

- Train and test within
  - 6 months
- User Testing Agreement



### UCJIS USER TRAINING AND TESTING AGREEMENT UCJIS NON-ACCESS USER TRAINING AGREEMENT



USER OR NON-ACCESS USER (Please Print)

USER OR NON-ACCESS USER ID

This agreement must be signed and submitted to BCI after the completion of the user or non-access user's initial training and testing and after each biennial training and testing.

### UTAH ADMINISTRATIVE RULE R722-900 DEFINITIONS:

<u>USER:</u> a person working for or with an agency who has direct access to UCJIS.

NON-ACCESS USER: a person working for or with an agency who asks for and/or receives UCJIS records.

### REQUIRED TRAINING OF EACH USER AND NON-ACCESS USER:

RESTRICTIONS ON ACCESS, USE, AND CONTENT OF UCJIS RECORDS: UTAH CODE 53-10-108 
DISSEMINATION, PRIVACY, AND SECURITY OF UCJIS INFORMATION 
CJIS REQUIRED SECURITY AWARENESS TRAINING

### REQUIRED TRAINING AND TESTING FOR USER:

BCI MANUALS AND/OR NCIC MANUALS: LOCATION AND USAGE

### PLEASE CHECK THE FILES THE USER WAS TRAINED AND TESTED ON:

 DLD
 NLETS
 MVD
 III

 UCH
 NCIC Inquiry
 NCIC Entry
 SWW/PO

UCJIS Home CERTL X		No 🗆
Certify		required training
	Enter Train/Test	t Certification Date
User Details		IENT  1 according to the process
User ID: *	ENTER USER ID	mation accessed under demeanor for a person ained, or to which acce
Agency:*	BCIFS v	ch access is granted by ernmental entity.
Training Date: *	ENTER TRAINING DATE MMDDYYYY	
Test Date must be within the past	30 days.	
	C: By entering a Train/Test Date, I, the TAC of this agency, certify that on this date, I have TRAINED AND PROFICIE MINATION, PRIVACY, AND SECURITY of UCJIS information. I understand it is my responsibility to train and profici	
	Y TAC: By entering a Train/Test Date, I, the TAC of this agency, certify that on this date, I have TRAINED this non-u understand it is my responsibility to train all non-users every two years.	user on DISSEMINATION, PRIVACY, AND
		inistrative Rule R722-90

## Training and Testing

What do you do if you forgot to update the CERT date and it has been longer than 30 days?

Email your Field Service representative

## Training must include:

- ·Security awareness
  - CJIS Security Policy
- ·Misuse, privacy and security of UCJIS
  - •UCA 53-10-108
  - •R722-900
- BCI Operating Manual and NCIC Manuals
- File specific

## True or False:

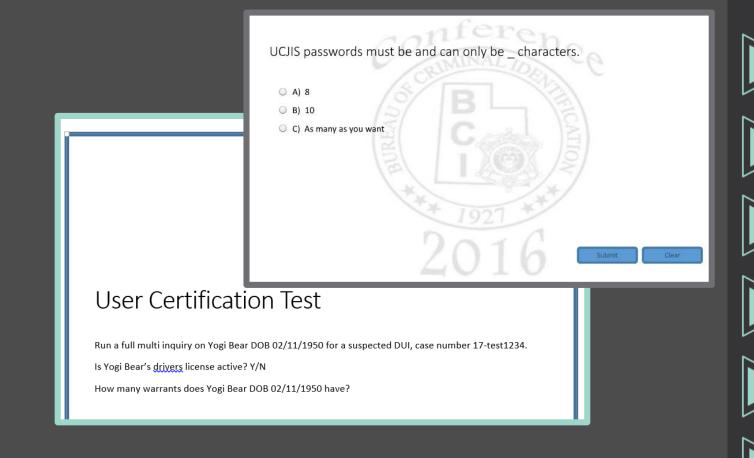
Janitors, facility personnel, vending machine fillers, etc. must be TRAINED by the TAC.

True



## Testing

- Users only
- •Determined by TAC
  - •Paper test
  - •Verbal test
  - •Proficiency test

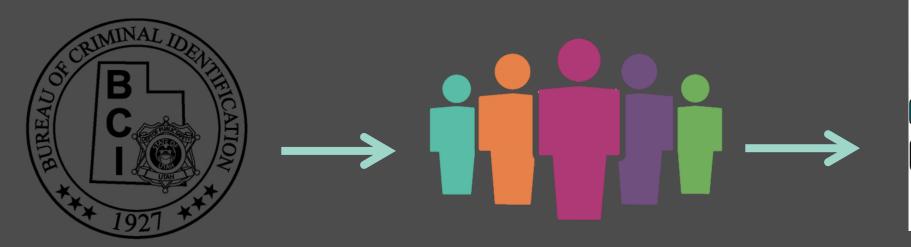


Training and testing must happen every 2 years

\* If BCI already has a Security Agreement for your user, don't send another with the Training and Testing Agreement.

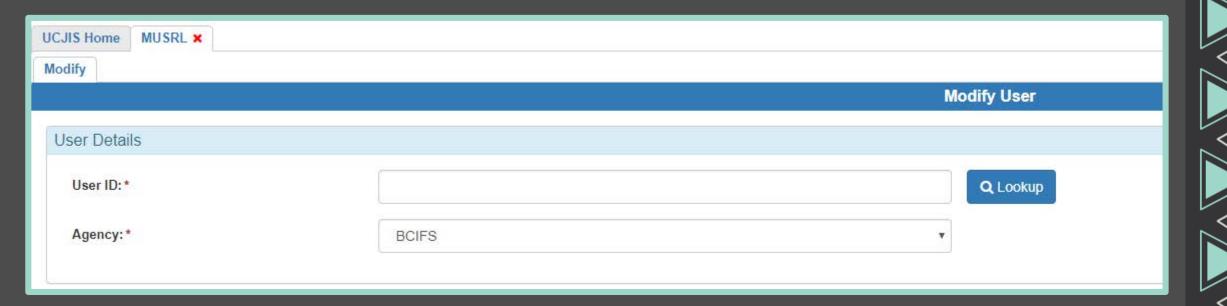


Due every year by October 31st



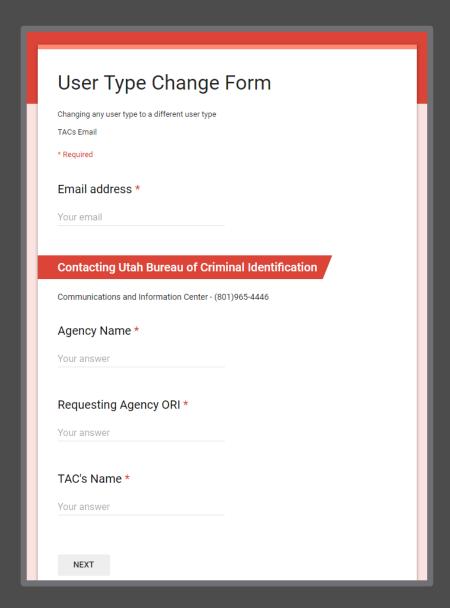


# Modifying user information - MUSR





## Changing a user type



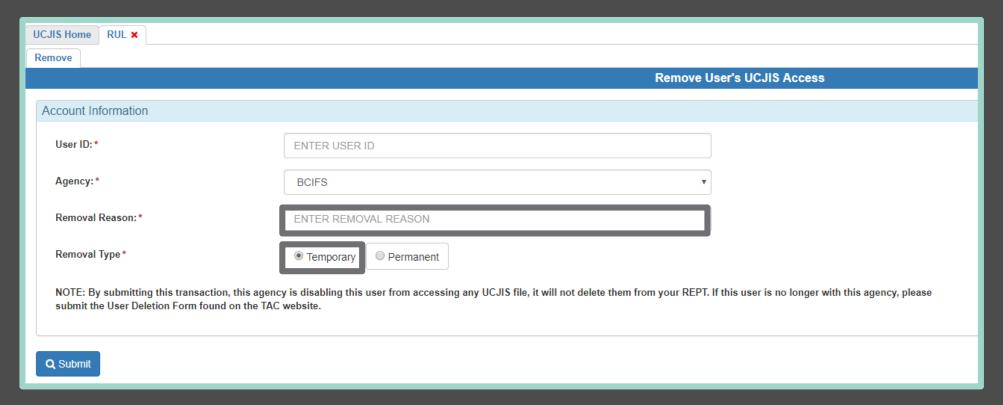
User's Information
User's Name *
Your answer
User ID *
Your answer
Preferred New ID *
Your answer
Last Date Trained *
mm/dd/yyyy
User Type Change *
Non-User or Non-Access User TO User
User TO Non-User
User TO Non-Access User
Non-User TO Non-Access User
Non-Access User TO Non-User
BACK NEXT
Never submit passwords through Google Forms.

## True or False

By completing the RU transaction, or "Remove User" transaction, this will completely delete someone from your agency.

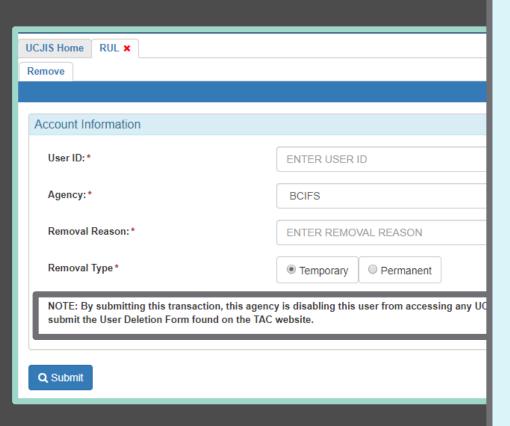
False

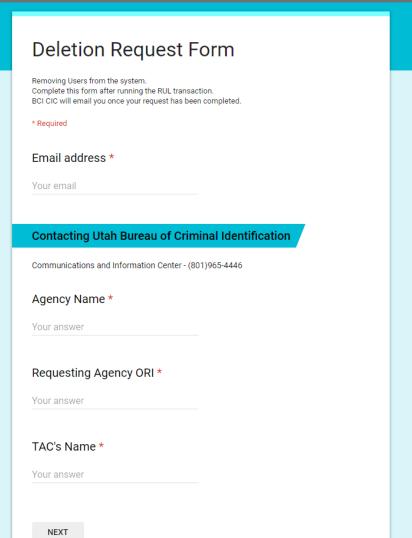
# Temporarily "removing" someone from your agency



- Military leave
- Maternity leave
- Extended leave

## Deleting a user from your agency







UCJIS Home LOGSL X						
Query						
	Message Parameters					
Query Parameters						
View Messages By: *	USER •					
Sort By:*	DATE DESC					
Time Frame						
Timeframe:	TODAY					
Start Date Time:	06/20/2019					
End Date Time:	06/21/2019 0 ▼					
• Runs LOGS for user for the last 21						
Query Parameters	days					
ORI:	• LOGS transaction					
User ID:						
Agency ID:	ENTER AGENCY					

\*Recommended to run the LOGS transaction at least once a week, even if you are the only user.



### LOGS

### What if you need to run logs that are past 21 days?

From:	Agency Name:		ORI:
	Contact Person:		Telephone:
	E-mail:		Fax:
Γο:	BCI FIELD SERVICES		
	gmcneil@utah.gov (cc mbi	esinger@utah.gov )	
RE:	BCI Dissemination Log Re	Date:	
Reason	for search:		Date range for
			search:
	Search C	riteria: provide all that ap	pply
Name/U	ser ID:		
nforma	tion searched (DL #, name, l	icense plate, etc.):	
Other:			
Note: Dis	semination logs can be searched or	n all UCJIS queries. List the trans	action type in the "Other" column.
	te that TACs and Alt TACs have th n in UCJIS. Dissemination log req		
_	•		n Code Annotated 53-10-108(12)(b).
The chie	f administrator of the requesting a	gency must sign all dissemination	log requests.
	SIGNAT	URE OF CHIEF ADMINISTRAT	OR
		BCI USE ONLY	
CEIVED:		INFORMATION FOUN	D: YES NO

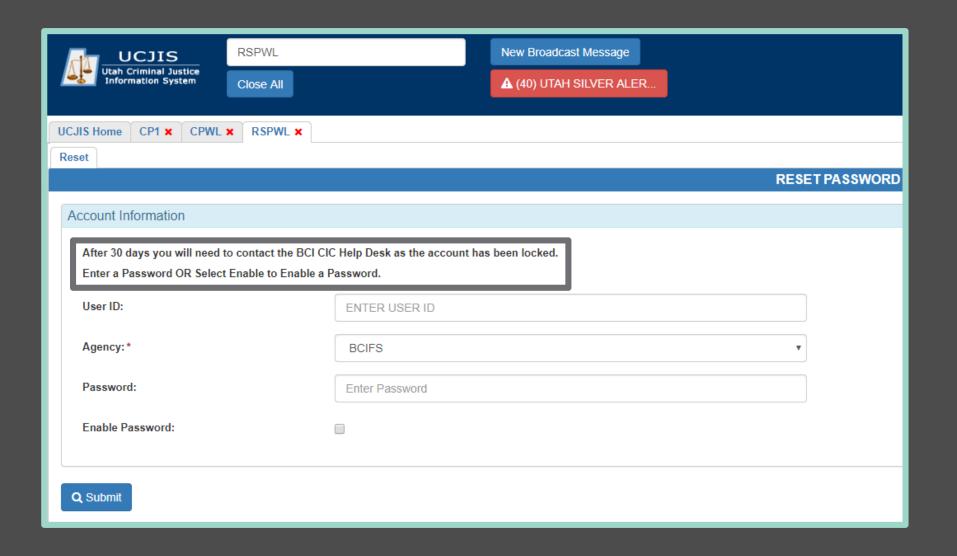


Gina McNeil gmcneil@utah.gov

## What do you look for when running the LOGS transaction?

- Purpose codes
- File types
- Unusual dates & times
- Famous names
- Family names
- Etc.

### RSPW – Reset Password



## BCI Audit

BCI is required to audit each agency at a minimum of once every three years



## Policies & Procedures

- Misuse
- Privacy and Security
- Validations
- Warrants
- \$.P. and \$.F.
- BMSG

## Transactions

- ADD Add someone in UCJIS
- MUSR Modify personal info
- RU Remove someone
- RSPW Reset password
- CERT Update training dates
- REPT Agency report
- LOGS Transaction logs of agency
- ACNT Agency transaction counts
- TCNT User transaction counts



## Agency Default

- Know your agency default:
  - o Know what access your users have
  - o Auditing will vary based on your access
    - 1F, MF, MLIM, LLIM

How do I get permissions added to an existing user?

UCJIS Help
Desk:
dpscic@utah.gov

## Questions?

